

**BUDGET PART I: BUDGET SUMMARY TABLE**

The following table summarizes New York State’s total request for Race to the Top funding.

<b>Budget Part II: Project Budget Table (in \$MM)</b>					
<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	\$2.7	\$3.9	\$3.9	\$4.5	\$15.0
2. Fringe Benefits	\$1.1	\$1.6	\$1.7	\$1.9	\$6.4
3. Travel	\$0.2	\$0.2	\$0.2	\$0.2	\$0.8
4. Equipment	\$4.0	\$0.1	\$0.1	\$0.1	\$4.3
5. Supplies	\$8.1	\$0.0	\$0.0	\$0.0	\$8.2
6. Contractual	\$51.7	\$52.0	\$53.7	\$44.1	\$201.5
7. Training Stipends	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0
8. Other	\$0.1	\$0.0	\$0.0	\$0.0	\$0.1
9. Total Direct Costs (lines 1-8)	\$67.9	\$57.9	\$59.8	\$51.0	\$236.5
10. Indirect Costs	\$0.4	\$0.6	\$0.6	\$0.7	\$2.2
11. Funding for Involved LEAs	\$0.2	\$0.4	\$0.8	\$0.7	\$2.1
12. Supplemental Funding for Participating LEAs	\$42.1	\$47.3	\$43.6	\$41.6	\$174.6
13. Total Costs (lines 9-12)	\$110.6	\$106.1	\$104.7	\$93.9	\$415.3
14. Funding Subgranted to Participating LEAs (50% of Total Grant)	\$119.7	\$133.7	\$83.9	\$78.0	\$415.3
15. Total Budget (lines 13-14)	\$230.3	\$239.8	\$188.6	\$171.9	\$830.7

---

## **BUDGET PART I: BUDGET SUMMARY NARRATIVE**

New York State requests \$831MM of Race to the Top funding to support 30 projects over the four grant years.

New York envisions the Race to the Top projects as advancing progress of a reform agenda that overarches the State's diverse but limited resources. The State has identified a diversity of additional sources of funding to align to support this work, increasing the impact of each dollar of Race to the Top. The complete portfolio of projects planned to move New York forward toward its ambitious goals will require an overall incremental investment over the next four years of \$1,054MM.

The total Race to the Top benefit granted to LEAs is \$592MM, planned as follows:

- \$415MM, awarded in subgrants to participating LEAs, distributed based on participating LEAs' shares of Title I, Part A funds, comprise 50% of the budget. 54%, or \$223.7MM, of this total is tied to project-level budgets. The balance, \$191.7MM, of subgrants will be awarded to LEAs in excess of their project-based needs (needs specified in the following project-level budget narratives) to enable local execution of local priorities in the spirit of the Race to the Top reform agenda. New York State will monitor and track all expenditures to ensure that participating LEAs spend these funds in accordance with the State's plan and the scope of work described in the agreement between the State and the participating LEA.
- An additional \$175MM of the State's 50% share is budgeted for supplemental funding of participating LEAs, largely to provide for successful implementation in participant districts whose Title-I-related allocations are not sufficient to do the work as defined in the Scope of Work. These LEAs number 262 and most of this money is required to make possible the turnaround efforts, especially those planned in Rochester, Buffalo and Syracuse City School Districts.
- An incremental \$2.1MM (of the State's share) will be allocated to involved LEAs to support *statewide* projects. These LEAs number 291.

Projects drawing money from the remainder of the State's share (direct and indirect state-level costs) total \$239MM. The largest projects are those directly affecting all LEAs and those that build new, foundational systems, including: Curriculum frameworks, Adopting standards and K-12 formative assessment; Instructional improvement system construction & rollout, and integration of higher education and non-education systems; and, External Technical Assistance Center for Innovation and Turnaround and the competitively awarded Full-Service School Model Fund.

Of the grant dollars planned for spending in the fourth year of the Race to the Top grant, \$51.6MM will be funding operations at the State level. Of that money, the State expects approximately \$12.8MM will be carried forward in some part into the year(s) to follow (\$5.3MM of which will support ongoing contractors, and the remaining \$7.5MM associated with personnel). The State has identified multiple potential resources of ongoing funding that can absorb the increased demand of sustaining the projects. In addition to the Federal resources described below, the State will take advantage of this period of reorganization to create

opportunities to re deploy staff in support of the ongoing initiatives. Even now, staff in the Regional School Services, for example, could take responsibilities associated with professional development delivery and/or school improvement. In addition, new funding opportunities emerge, such as the potentially Federally-funded Early Learning Challenge Grant, for which the State hopes to qualify.

Specifically, NYSED will undertake the following 30 projects as part of the RTTT grant:

**Project-by-Project Budget Summary (\$MM)**

<b>Project Name</b>	<b>Assurance Section</b>	<b>State's Project Cost</b>	<b>Total Project Cost</b>	<b>RTTT Requirement, Including Expected LEA Investment</b>	<b>Non-RTTT Dollars and Source</b>
PMO Office For RTTT Initiatives	A	\$14.1	\$14.1	\$14.1	
Development of PK-12 Curriculum Frameworks	B	\$26.6	\$26.6	\$26.6	
Implementation of Regionally-based Professional Development Activities	B	\$1.2	\$60.5	\$1.2	\$59.4 (Title II, Part A)
Adoption of New Common Core Standards	B	\$5.8	\$5.8	\$5.8	
Development of Formative and Interim Assessments	B	\$43.6	\$43.6	\$43.6	
Instructional Improvement System Construction And Rollout	C	\$33.8	\$46.7	\$46.7	
Provision Of Professional Development Through A Statewide Network	C	\$41.2	\$96.6	\$96.6	
Integration Of Higher Education (Public And Independent)	C	\$20.5	\$20.5	\$20.5	
Integration Of Non-Education Systems	C	\$10.8	\$10.8	\$10.8	
Adoption And Development Of Growth Model	C	\$4.0	\$4.0	\$4.0	
Annual Professional Performance Review (APPR) For Teachers	D	\$3.3	\$5.8	\$5.8	
Performance Evaluation System For Principals	D	\$1.8	\$1.8	\$1.8	
Creating Teacher And Principal Career Ladder	D	\$2.2	\$2.2	\$2.2	
Increasing The Number And Percentage Of Effective Teachers In Hard To Staff Subjects	D	\$0.8	\$50.8	\$0.8	\$50.0 (Title II, Part A)
Residency Program For Teachers	D	\$6.1	\$30.0	\$30.0	
Transforming Principal Preparation Programs	D	\$4.4	\$22.2	\$22.2	

<b>Project-by-Project Budget Summary (\$MM)</b>					
<b>Project Name</b>	<b>Assurance Section</b>	<b>State's Project Cost</b>	<b>Total Project Cost</b>	<b>RTTT Requirement, Including Expected LEA Investment</b>	<b>Non-RTTT Dollars and Source</b>
Leadership Academies For School Principals	D	\$3.0	\$48.0	\$8.0	\$40.0 (Title I, Section 1003(G))
Model Induction Programs To Prepare All Teachers To Be Teacher Leaders	D	\$0.9	\$39.2	\$0.9	\$38.3 (Microsoft Settlement)
Teacher Professional Development Focused On The Use Of Student Performance And Growth Data For Formative Assessment	D	\$0.6	\$24.6	\$0.6	\$24.0 (Title I, Section 1003(G))
Teacher Growth and Accountability Incentive Fund	D	\$100.0	\$100.0	\$100.0	
Turning Around Struggling Schools	E	\$21.0	\$208.0	\$95.3	\$112.7 (Title I, Section 1003(G))
External Technical Assistance Center For Innovation And Turnaround (ETACIT)	E	\$21.5	\$21.5	\$21.5	
New York State Department Office Of Innovative School Models	E	\$2.7	\$2.7	\$2.7	
Innovative Schools Model Incentive Fund (RFP)	E	\$0.0	\$42.0	\$21.0	\$21.0 (Title I, Section 1003(G))
Statewide Evaluation Of Intervention And Innovative School Models	E	\$1.0	\$1.0	\$1.0	
Virtual School: Digital Learning: Approval Of Course Content	E	\$2.5	\$2.5	\$2.5	
Virtual Schools: Digital Learning: Establishment Of Virtual High Schools (RFP)	E	\$6.5	\$17.6	\$17.6	
Virtual Schools: Digital Learning: Build A Statewide Virtual Learning Environment	E	\$7.6	\$7.6	\$7.6	

<b>Project-by-Project Budget Summary (\$MM)</b>					
<b>Project Name</b>	<b>Assurance Section</b>	<b>State's Project Cost</b>	<b>Total Project Cost</b>	<b>RTTT Requirement, Including Expected LEA Investment</b>	<b>Non-RTTT Dollars and Source</b>
Improving Early Learning Outcomes	Invitational	\$10.0	\$10.0	\$10.0	
Full Service Schools RFP	Invitational	\$18.0	\$18.0	\$18.0	
<b>Race To The Top Project Total</b>		<b>\$415.3</b>	<b>\$984.4</b>	<b>\$639.0</b>	<b>\$345.4</b>
Principal Growth and Accountability Incentive Fund	D	\$0.0	\$20.0	\$0.0	\$20.0 (Title I, Section 1003(G))
Instructional Teams To Support Teacher And Principal Reviews	D	\$0.0	\$50.0	\$0.0	\$50.0 (Title I, Section 1003(G))
<b>Total Across All Projects</b>		<b>\$415.3</b>	<b>\$1,054.4</b>	<b>\$639.0</b>	<b>\$415.4</b>

Funds are required to support initiatives across all of the reform assurances, as well as for overall grant management. In particular, projects associated with each of the assurances require shares of the State's Race to the Top budget: 30% for Great Teachers & Leaders, 27% for Data Systems to Support Instruction, 18% to Standards & Assessments, 15% for Turning Around Struggling Schools and the remaining 10% for overall project management and other initiatives to ensure success of the assurance-related strategies, as well as to invest in early childhood education.

<b>Assurance-Level Budget Summary (\$MM)</b>					
<b>Project Name</b>	<b>Assurance Section</b>	<b>State's Project Cost</b>	<b>Total Project Cost</b>	<b>RTTT Requirement, Including Expected LEA Investment</b>	<b>Non-RTTT Dollars and Source</b>
State Success Factors	A	\$14.1	\$14.1	\$14.1	\$0.0
Standards & Assessments	B	\$77.0	\$136.4	\$77.0	\$59.4
Data Systems To Support Instruction	C	\$110.3	\$178.5	\$178.5	\$0.0
Great Teachers & Leaders	D	\$123.1	\$324.5	\$172.2	\$152.3

<b>Assurance-Level Budget Summary (\$MM)</b>					
<b>Project Name</b>	<b>Assurance Section</b>	<b>State's Project Cost</b>	<b>Total Project Cost</b>	<b>RTTT Requirement, Including Expected Lea Investment</b>	<b>Non-RTTT Dollars and Source</b>
Turning Around The Lowest-Achieving Schools	E	\$62.8	\$302.9	\$169.2	\$133.7
Invitational Priorities	Invitational	\$28.0	\$28.0	\$28.0	\$0.0
<b>Race To The Top Project Total</b>		<b>\$415.3</b>	<b>\$984.4</b>	<b>\$639.0</b>	<b>\$345.4</b>

The state will leverage *Federal* funds as follows in support of the above projects to ensure success of the Race to the Top plan:

- NYSED is scheduled to receive an FY2009 grant award of \$261MM in ARRA Title I 1003(g) School Improvement Grant funds. The period of availability for this award is years 2009-10 and 2010-11, with the potential for an obligation extension into 2011-12. The majority of funds will target chronically low-performing schools, including high schools and their feeder schools, to implement robust and comprehensive reforms to dramatically transform school culture and increase student outcomes.
  - Section 1003(g) money will be used primarily to implement the same intervention models that are encouraged in the Race to the Top criteria, ie, turnaround, restart, closure and transformation. Approximately \$113MM of Section 1003(g) dollars will partner with Race to the Top funds to drive this turnaround of struggling schools in the first two years of the grant.
  - Section 1003(a) and/or 1003(g) money will create an incentive fund providing matching grants to LEAs committing a share of their Race to the Top allocation to open an innovative model school. The State expects to use approximately \$21MM toward this project.
  - Section 1003(g) money will support New York's efforts to expand the use of data to improve instruction and recruit, train and retain effective teachers and principals: funds will supplement projects targeting improved practice for teachers, specifically of professional development on the use of data to improve instructional practice. Approximately \$24MM over four years will be requested for this project.
  - The State has built into the 2009-10 expenditure plan \$10MM to fund development and support of Principal Leadership Academies. This planned use of Section 1003(g) will make possible sustaining the Academies established under the two-year \$3MM Wallace Foundation grant, expanding the reach of those Academies, and creating new Principal Leadership

Academies modeled on those Academies. The State intends to include this \$10MM obligation in subsequent years.

- Where Race to the Top will enable the State to create a fund to incentivize the use of qualifying teacher evaluations in making compensation and other decisions, Section 1003(g) will provide a similar fund to encourage LEAs to tie Principal-level compensation to the new Principal Evaluation. The money can be used for incentives, including financial incentives, to principals who work in high-need schools. This fund will require \$20MM over four years.
- The State will also encourage LEAs to use Section 1003(g) awards to identify and develop a “pool” of individuals (principals, other administrators, peer reviewers, etc.) to provide instructional leader support in preparing and delivering teacher and principal reviews in the highest need schools. We believe that this support for professional reviews is an effective means to identify local needs for professional development and hiring. This support for instructional leaders will require approximately \$50MM over four years.
- In the third and fourth years of the grant, after the ARRA award sunsets, the projects will draw from *non-ARRA* 1003(g) funds (presently approximately \$47MM annually).
- Funds distributed under Title II, Part A, will be used to complement and enhance programs planned under Race to the Top. These Federal dollars, of approximately \$213MM annually, are available to the State for multiple uses in support of preparation, recruitment, and development of teachers and principals. This money has been identified for several purposes to reinforce the work done within the Race to the Top projects.
  - An incentive bonus (described in detail in project D4) to recruit and retain highly effective teachers into shortage teaching positions in the highest-need schools will draw on \$50MM of Title II, Part A, resources over the four years of the grant. New York will use these funds for the \$30,000 per-teacher bonus in order to ensure that the program is funded after the life of the Race to the Top grant. The Title II, Part A, aligns well with this program given the emphasis on recruiting and retaining highly effective teachers by providing differential pay incentives to those who teach in academic subjects and in schools in which there exists a shortage of highly qualified teachers.
  - The State will also encourage LEAs to use Title II, Part A, to provide professional development in support of new standards and curriculum frameworks, using regional networks. LEAs are required to do a Needs Assessment under Title II, Part A. This support for teacher development will require approximately \$59MM over four years.

The State will also make additional alignments of Federal funds with Race to the Top priorities, not projects, as follows:

- In addition, New York has used NCLB Title I school improvement funds to support enrichment programs in science, technology, engineering and mathematics, provide professional development to teachers and principals, and provide grants to the lowest achieving schools to improve teaching and learning.

- ARRA funds distributed under Title II-Part D, Enhancing Education through Technology, of approximately \$56MM, will be used for several aligned projects: to create a technology rich environment through student-centered active learning environments (SCALE), to develop online formative assessment in support of personalized instruction and data-driven decision-making, to develop online learning and instruction connected with NYSED Virtual High School, to ensure better use of technology to support English language learners (ELLs) and students with disabilities (SWDs), and to implement a process for digital content providers to submit for state approval their high school level courses in the four core content areas, ultimately for credit-bearing electronic delivery programs at the LEAs.
- SED has applied for ARRA Longitudinal Data System funding of \$20MM to create teacher-student linkages and to develop a P-20 data system. This Instructional Support System will build a data base to support research and policy analysis and a process for tracking student progress, in coordination with Race to the Top projects described in further detail below.
- ARRA Government Services Funds (\$350,000): “Say Yes to Education” is a grant to increase high school and college graduation rates for New York’s inner city youth by offering a range of services including after-school and summer programming, mentoring, tutoring, and school-day academic support, family outreach, scholarships, and social work/psychological services.
- Teacher and Leader Quality Partnership Program (\$5.6MM): Improve the academic success of New York's students by improving the quality of their teachers.

The state will draw on *State* funds in support of many aligned projects to ensure success of the Race to the Top plan:

- *Bilingual Education* (\$12.5MM)- state funds are used to address the needs of LEP/ELLs consistent with the Department’s Strategic Plan for Raising Standards, with special focus on the implementation of the Seven Essential Elements for the Education of LEP/ELLs in high-need districts. Funds support activities in the areas of higher standards and new assessments involving LEP/ELLs; the preparation and certification of bilingual and ESL staff to incorporate the new standards and assessments into the curriculum for LEP/ELLs; LEP/ELL parent empowerment to increase their knowledge and understanding of the new requirements; capacity building at the school and district levels; and, most especially, the provision of equitable services for LEP/ELLs.
- *Charter Schools Development and Stimulus Fund* (\$4.8MM state, \$14.4MM spread over 3 years) - state and federal funds provide assistance to applicants for planning purposes and to approved charter schools for the acquisition, renovation or construction of school facilities. The Stimulus Fund makes these funds available for the specific purposes of providing start-up grants associated with the development and submission of a charter school application to the Board of Regents as the charter entity. The funds are also available for facilities grants for costs associated with school facilities.
- *Learning Technology* (\$3.3MM) - state funds provide grants to public school districts and Boards of Cooperative Education (BOCES) for progressive integration of instructional

technology in classrooms and library media centers and sustained professional development to increase the skills of teachers in the use of instructional technology in order to help students attain higher levels of performance in the New York State Learning Standards.

- *Computer Hardware Aid* (\$38MM) - School districts are eligible for aid for the purchase or lease of micro and/or mini computer equipment or terminals for instructional purposes. School districts are eligible for aid for the purchase or lease of micro and/or mini computer equipment or terminals for instructional purposes.
- *Aid for Computer Software Purchases* (\$46MM) - Each public school district may claim a maximum apportionment of Computer Software Aid to purchase and loan computer software for instructional purposes on an equitable basis to both public and nonpublic school students attending schools within the district's boundaries during the current school year.
- *Teachers of Tomorrow* (\$25MM) - Awards and stipends to create incentives to retain and attract teachers, especially in STEM subjects and other areas where teacher shortages exist, and to assist subject matter specialists working in private industry to become certified teachers.
- *National Board for Professional Teaching Standards Certification* (\$0.49MM) – New York funds grants for teachers of up to \$2,500 toward the cost of certification by the National Board for Professional Teaching Standards.
- *Contracts for Excellence (C4E)* (\$854MM) -The C4E program was established in 2007 to provide additional accountability over increased State Aid for low performing school districts. C4E is a comprehensive approach to targeting fiscal resources to specific allowable programs proven to raise the achievement of the students with the greatest educational need. Districts are required to enter a contract and must document the schools, programs, and student subgroups to be targeted for funding, along with specific, achievable performance improvements that will result.

Finally, as result of a class action settlement with Microsoft, New York State will receive between \$70-85MM for distribution to qualifying, high-poverty public schools. The SEA can set requirements for use of the money within broad guidelines established under the terms of the settlement (ie, money will be used for software, hardware, professional development, and/or computer services). New York State will target \$38MM to ensure successful implementation of the pilots of model induction programs to prepare all teachers to be teacher leaders. The administration of this program will be established under Race to the Top funding.

**BUDGET PART II: PROJECT-LEVEL BUDGET TABLES AND NARRATIVES**

*all figures in tables are in thousands of dollars*

**Project ID:** A1

**Project Name:** PMO Office for RTTT Initiatives

**Associated with Criteria:** (A)(2)

*(in \$K)*

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual	\$3,530	\$3,530	\$3,530	\$3,530	\$14,120
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$3,530	\$3,530	\$3,530	\$3,530	\$14,120
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$3,530	\$3,530	\$3,530	\$3,530	\$14,120

- 1) **Personnel**
- 2) **Fringe Benefits**
- 3) **Travel**
- 4) **Equipment**
- 5) **Supplies**
- 6) **Contractual**

Funding for a competitive RFP for a four-year contract to drive and oversee implementation of the grant initiatives. Team will be comprised of a senior director, multiple liaisons for each assurance area, finance, and special initiatives. The team will also have two administrative assistants and coordinators. Funding includes costs for laptops, travel, and office supplies

(including 1 printer, 1 projector and other office supplies). Comparative benchmarks for prior contractors have been used.

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Each project year: \$3.5MM
- Total : \$14.1MM

**10) Indirect Costs**

**11) Funding For Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Each project year: \$3.5MM
- Total : \$14.1MM

---

**Project ID:** B1

**Project Name:** Development of PK-12 Curriculum Frameworks

**Associated with Criterion:** (B)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$2.5	\$2.5	\$2.5	\$2.5	\$10.0
4. Equipment	\$4.6				\$4.6
5. Supplies	\$1.2	\$1.2	\$1.2	\$1.2	\$4.8
6. Contractual	\$8,129	\$6,133	\$6,137	\$6,141	\$26,540
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$8,137	\$6,137	\$6,141	\$6,145	\$26,559
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$8,137	\$6,137	\$6,141	\$6,145	\$26,559

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

The Associate will make 10 trips per year at an average of \$250 per trip to provide technical assistance and monitoring.

**4) Equipment**

Equipment to purchase includes printer (\$373), laptop (\$639), desk, PC table, and bookcase (\$3,000), and Executive Chair (\$550).

## **5) Supplies**

General office supplies budgeted at \$1200

## **6) Contractual**

The State requires funding for the competitive RFP for a four-year contract for a Statewide Curriculum Resource Center to develop and disseminate Pre-Kindergarten to Grade 12 curriculum frameworks based on the standards and to assist in the statewide implementation of new frameworks. The \$26MM requirement will be apportioned across the four grant years with \$8MM in the first year and \$6MM in each subsequent year.

In addition, the state will contract for an Associate to be responsible for supervision of the Statewide Curriculum Resource Center (commensurate with an initial salary of \$82,000, loaded with benefits and indirect costs).

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year 1: \$8.0MM
- Project Years 2-4: \$6.0MM
- Project Total \$26.0MM

## **10) Indirect Costs**

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

## **13) Total Costs**

- Project Year 1: \$8.1MM
- Project Years 2-4: \$6.1MM
- Project Total \$26.6MM

---

**Project ID:** B2

**Project Name:** Implementation of Regionally-based Professional Development Activities

**Associated with Criteria:** (B)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)					
10. Indirect Costs					
11. Funding for Involved LEAs	\$9	\$19	\$19	\$19	\$65
12. Supplemental Funding for Participating LEAs	\$157	\$313	\$313	\$313	\$1,096
13. Total Costs (lines 9-12)	\$166	\$332	\$332	\$332	\$1,162

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

**5) Supplies**

**6) Contractual**

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

**10) Indirect Costs**

**11) and 12) Funding for LEAs**

Implementation of the developed curriculum framework will happen through professional development delivered through the regional networks. Statewide LEAs will spend from their Title II, Part A, grants to support core curriculum adoption. Funding in the first year will be at 50% of the run-rate level to ensure widespread dissemination and engagement with stakeholders across the State. Full implementation support begins in the second year. Where LEAs cannot qualify for a (sufficient) Title II, Part A, allocation, the State will use Race to the Top funds to supplement the statewide need.

The expected total implementation cost will reach \$60.5MM,

- **11) Funding for Involved LEAs:** approximately \$0.1MM will be provided through Race to the Top as support for involved LEAs
- **12) Supplemental Funding for Participating LEAs:** approximately \$1.1MM will be provided through Race to the Top as supplemental support for participating LEAs

### **13) Total Costs**

- Project Year 1: \$0.17MM
- Project Years 2-4: \$0.33MM
- Project Total \$1.2MM

---

**Project ID:** B3

**Project Name:** Adoption of New Common Core Standards

**Associated with Criteria:** (B)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$2.5	\$2.5	\$2.5	\$2.5	\$10.0
4. Equipment	\$13.9				\$13.9
5. Supplies	\$1.2	\$1.2	\$1.2	\$1.2	\$4.8
6. Contractual	\$1,427	\$1,432	\$1,437	\$1,443	\$5,739
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$1,444	\$1,436	\$1,441	\$1,447	\$5,768
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$1,444	\$1,436	\$1,441	\$1,447	\$5,768

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

Travel budget will fund ten trips per year at an average of \$250 per trip to provide technical assistance and monitoring.

**4) Equipment**

Total equipment for purchase includes: two desktop computers (\$519 each), one printer (\$1,511), one laptop @ \$639, one desk, computer table and bookcase (\$3,000), two L-desks (\$1,800), one

executive chair (\$550), two secretary chairs (\$350 each), one fax machine (\$300), one meeting table (\$800), and six chairs for table (\$300 each).

#### **5) Supplies**

General office supplies required.

#### **6) Contractual**

This project includes funding for convening various stakeholder groups to review and revise the many standards areas over the course of four years. This also includes contracting with an independent evaluator to assess the impact of new standards on local school districts. The total requirement is \$5MM, spread evenly over the four project years.

In addition, the State will contract for a supervising Associate to oversee the review and revision process for all standards areas (commensurate with an initial salary of \$82,000, loaded with benefits and indirect costs).

#### **7) Training Stipends**

#### **8) Other**

#### **9) Total Direct Costs**

- Project Years 1-4: \$1.4MM each
- Project Total \$5.7MM

#### **10) Indirect Costs**

#### **11) Funding for Involved LEAs**

#### **12) Supplemental Funding for Participating LEAs**

#### **13) Total Costs**

- Project Years 1-4: \$1.4MM each
- Project Total \$5.8MM

---

**Project ID:** B4

**Project Name:** Development of Formative and Interim Assessments

**Associated with Criteria:** (B)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$7.5	\$7.5	\$7.5	\$7.5	\$30.0
4. Equipment					
5. Supplies	\$10	\$10	\$10	\$10	\$38
6. Contractual	\$10,395	\$10,707	\$11,028	\$11,359	\$43,489
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$10,412	\$10,724	\$11,045	\$11,376	\$43,558
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$10,412	\$10,724	\$11,045	\$11,376	\$43,558

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

The team travel will amount to five trips in two months (\$250 each trip).

**4) Equipment**

**5) Supplies**

Office supplies at \$9600 a year

## **6) Contractual**

This project will fund a competitive RFP for a four-year contract to develop new K-12 formative assessment online tools, data management systems, and professional development activities, including the leveraging of new technologies for administration and K-3 early reading formative assessments. The cost will be initially \$10MM, to grow at 3% annually through the three subsequent grant years.

In addition, the State will contract for a team to manage the development and dissemination of K-12 formative assessment tools. The contract will be commensurate with the salaries, fully loaded with benefits and indirect costs, of two Assistants (one in Instructional Services, one in Educational Testing), and 50% of a secretarial support.

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year 1 \$10.4MM
- Project Year 2 \$10.7MM
- Project Year 3 \$11.0MM
- Project Year 4 \$11.3MM
- All Project Years \$43.4MM

## **10) Indirect Costs**

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

## **13) Total Costs**

- Project Year 1 \$10.4MM
- Project Year 2 \$10.7MM
- Project Year 3 \$11.0MM
- Project Year 4 \$11.4MM
- All Project Years \$43.6MM

---

**Project ID:** C1

**Project Name:** Construction and Rollout of Statewide Instructional Reporting and Improvement System (NY-SIRIS)

**Associated with Criteria:** (C)(2) and (C)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment	\$990				\$990
5. Supplies	\$3,000				\$3,000
6. Contractual	\$6,583	\$10,719	\$4,136		\$21,437
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$10,573	\$10,719	\$4,136		\$25,427
10. Indirect Costs					
11. Funding for Involved LEAs	\$182	\$91	\$91		\$364
12. Supplemental Funding for Participating LEAs	\$4,003	\$2,002	\$2,002		\$8,006
13. Total Costs (lines 9-12)	\$14,758	\$12,811	\$6,228		\$33,797

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

Increased set of servers, networking and storage will require \$990K

**5) Supplies**

Increased Oracle licensing costs requires \$3MM

**6) Contractual**

This project comprises of 3 key sub-projects:

1. Expansion of Instructional System
2. LEA Support for Instructional System Rollout
3. Creation of Teacher Evaluation System

Sub-project 1: Expansion of Instructional System: Includes funding for building out the instructional improvement system at NYSED. Includes infrastructure, design, coding, implementation, testing, and standardized reports for building out the instructional system. This sub-project will have no grants to LEAs. The detailed staffing of the sub-project is as follows:

Cost Category	Sub-category (Type)	Cost/FTE (\$K)	# of FTE Required	RTTT Total Cost (\$K)
<b>Personnel - External (Contractors)</b>				
	Admin Assistant	\$56	4.3	\$243
	IT/Project Manager	\$103	9.0	\$925
	Business Analyst	\$159	30.1	\$4,777
	Data Architect	\$176	4.8	\$835
	Data Manager	\$191	9.8	\$1,858
	Database Admin	\$176	3.3	\$571
	Process Consultant	\$176	0.3	\$44
	Reporters	\$159	23.1	\$3,672
	System Integrators /Consultants	\$176	15.0	\$2,636

Sub-project 2: LEA Support for Instructional System Rollout: Includes funding to LEAs to build, expand, and strengthen instructional data systems. All of the funding will be provided to the LEAs depending on the number of schools in the LEA. LEAs will use these funds to create or expand their instructional data systems to comply with State standards and connect with the centralized state system. The LEAs will need to leverage the Regional Networks for doing this. The Regional Networks will utilize one or more teams of IT implementation experts – each team will serve a group of 25 schools within the state. The staffing of each team is:

Type	FTEs	Duration (Months)	Cost Per FTE Per Year
Admin Assistant	0.25	6	\$56.0
Business Analyst	0.5	6	\$84.0
Data Architect	0.5	6	\$93.0

IT/ Project Manager	0.2	6	\$103.1
System Integrators/ Consultants	1.0	6	\$93.0

NY has approximately 4540 schools. However this will be focused on the roughly 3100 schools in the rest of the state, as NYC schools are already integrated into a district wide instructional reporting system.

Sub-project 3: Creation of Teacher Evaluation System: Includes funding for building out the teacher evaluation IT system. Includes infrastructure, design, coding, implementation, testing, and standardized reports for building out the teacher evaluation system at the state level. All teacher related data is or will be collected as part of enhancements to the state system based on grants from IES and other sources of ongoing funding. This sub-project will have no grants to LEAs. The detailed staffing of the sub-project is as follows:

Cost Category	Sub-category (Type)	Cost/FTE (\$K)	# of FTE Required	RTTT Total Cost (\$K)
<b>Personnel - External (Contractors)</b>				
	Admin Assistant	\$56	1.6	\$89
	IT/Project Manager	\$103	3.1	\$316
	Business Analyst	\$159	6.2	\$978
	Data Architect	\$176	1.5	\$264
	Data Manager	\$191	1.5	\$286
	Reporters	\$159	6.7	\$1,058
	System Integrators /Consultants	\$176	9.0	\$1,581

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Year 1 \$10.5MM
- Project Year 2 \$10.6MM
- Project Year 3 \$4.1MM
- Project Year 4 \$-
- All Project Years \$25.2MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

- Funding provided to the involved LEAs to ensure their systems meet performance and data requirements of the State

**13) Total Costs**

- Project Year 1 \$14.5MM
- Project Year 2 \$12.8MM
- Project Year 3 \$6.2MM
- Project Year 4 \$-
- All Project Years \$33.8MM

**Project ID:** C2

**Project Name:** Provision of Professional Development through a Statewide Network

**Associated with Criteria:** (C)(2) and (C)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel		\$1,110	\$1,110	\$1,110	\$3,330
2. Fringe Benefits		\$474	\$474	\$474	\$1,421
3. Travel		\$22	\$22	\$22	\$67
4. Equipment					
5. Supplies					
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)		\$1,606	\$1,606	\$1,606	\$4,818
10. Indirect Costs		\$162	\$162	\$162	\$486
11. Funding for Involved LEAs		\$312	\$625	\$625	\$1,562
12. Supplemental Funding for Participating LEAs		\$6,874	\$13,749	\$13,749	\$34,372
13. Total Costs (lines 9-12)		\$8,955	\$16,142	\$16,142	\$41,238

### 1) Personnel and 6) Contractual

This project comprises of 2 key sub-projects:

1. Building PD Oversight Team at NYSED
2. Provision of Professional Development and Training for Instructional System Adoption

**Sub-project 1: Building PD Oversight Team:** NYSED will create a professional development oversight team that will oversee the administration of professional development and training to the LEAs. The team will provide design and management support for all professional development activities including oversight, communication, knowledge sharing, analytic support, creation of online curricular tools, training logistics and execution. This sub-project will have no grants to LEAs. The detailed staffing of the sub-project is as follows:

Type	FTEs	Duration (Months)	Cost Per FTE Per Year
Center for Inquiry Executive Director	1	36	\$120.0
Director of Educator Development and Educator Development Manager	2	36	\$105.0
Center for Inquiry Director	2	36	\$105.0
Curriculum Developer	2	36	\$95.0
Curriculum Tools Support and Design	2	36	\$95.0
Operations Specialist	2	36	\$95.0

Sub-project 2: Provision of Professional Development and Training for Instructional System Adoption: Provision of PD to LEAs comprises of the regional inquiry teams that will support schools to ensure adequate and consistent usage of instructional systems. The structure of each regional inquiry team is as follows:

Type	FTEs	Duration (Months)	Cost Per FTE Per Year
Network Leader	1	12	\$95.0
Technology Integration Coordinator (TIC)	1	12	\$100.0
Senior Achievement and Technology Integration Facilitator (SATIF)	1	12	\$145.0
Educator Development	1	12	\$100.0

As before, considering that NY has 4540 public schools, all schools and corresponding districts will be served by such teams.

Each additional year assumes a 3% salary increase.

**2) Fringe Benefits**

Calculated at 42.67% of Personnel costs

**3) Travel**

- 45 trips a year at \$500 a trip

**4) Equipment**

**5) Supplies**

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Year 1 \$-
- Project Years 2-4: \$1.6MM
- All Project Years \$4.8MM

**10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

**11) Funding for Involved LEAs; 12) Supplemental Funding for Participating LEAs**

Total LEA costs for this provision of professional development to all LEAs, participating and involved, inclusive, is \$91MM.

Funding provided to the involved LEAs for providing professional development for adoption of the instructional system will total \$1.7MM. Supplements to participating LEAs who require additional funds to fully participate in all Race to the Top plans will total \$36MM.

**13) Total Costs**

- Project Year 1 \$-
- Project Year 2 \$9.0MM
- Project Years 3-4: \$16.1MM
- All Project Years \$41.2MM

---

**Project ID:** C3

**Project Name:** Integration of Higher Education (Public and Independent)

**Associated with Criteria:** (C)(2) and (C)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment	\$1,650				\$1,650
5. Supplies	\$3,000				\$3,000
6. Contractual	\$2,525	\$2,525	\$7,944	\$2,894	\$15,888
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$7,175	\$2,525	\$7,944	\$2,894	\$20,538
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$7,175	\$2,525	\$7,944	\$2,894	\$20,538

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

Increased set of servers, networking and storage – \$1,650K

**5) Supplies**

Budget of \$3MM to pay for increased software licensing costs.

**6) Contractual**

This project comprises of two key sub-projects:

1. Integrating SUNY and CUNY
2. Integrating 4 private colleges associated with CICU

Sub-project 1: Integrating SUNY and CUNY: This will include costs associated with shoring up the SUNY and CUNY systems for activities mentioned in the narrative, as well as integrating them with the statewide P-20 longitudinal data warehouse. This sub-project will have no grants to LEAs. Support will be provided to SUNY and CUNY to support upgrading their systems. The detailed staffing of the sub-project is as follows:

Cost Category	Sub-category (Type)	Cost/FTE (\$K)	# of FTE Required	RTTT Total Cost (\$K)
<b>Personnel - External (Contractors)</b>				
	Admin Assistant	\$56	3.2	\$181
	IT/Project Manager	\$103	5.7	\$582
	Business Analyst	\$159	12.5	\$1,978
	Data Architect	\$176	4.3	\$747
	Data Manager	\$191	3.4	\$648
	Database Admin	\$176	3.3	\$571
	Process Consultant	\$176	0.9	\$154
	Reporters	\$159	8.6	\$1,362
	System Integrators /Consultants	\$176	18.5	\$3,251

Sub-project 2: Integrating four independent colleges associated with CICU: This includes integrating four independent colleges, standardizing the interfaces, gathering best practices, and using the same for integrating the rest of the private colleges. This sub-project will have no grants to LEAs though sub-grants to the four CICU colleges will be provided. The detailed staffing of the sub-project is as follows:

Cost Category	Sub-category (Type)	Cost/FTE (\$K)	# of FTE Required	RTTT Total Cost (\$K)
<b>Personnel - External (Contractors)</b>				
	Admin Assistant	\$56	2.7	\$149
	IT/Project Manager	\$103	2.7	\$275
	Business Analyst	\$159	5.3	\$846

Data Architect	\$176	2.7	\$469
Data Manager	\$191	2.7	\$508
Database Admin	\$176	2.7	\$469
Process Consultant	\$176	0.0	\$-
Reporters	\$159	5.3	\$846
System Integrators /Consultants	\$176	10.7	\$1,874

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Year 1 \$7.1MM
- Project Year 2 \$2.5MM
- Project Year 3 \$7.9MM
- Project Year 4 \$2.9MM
- All Project Years \$20.4MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1 \$7.2MM
- Project Year 2 \$2.5MM
- Project Year 3 \$7.9MM
- Project Year 4 \$2.9MM
- All Project Years \$20.5MM

**Project ID:** C4

**Project Name:** Integration of Non-Education Systems

**Associated with Criteria:** (C)(2) and (C)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment	\$660				\$660
5. Supplies	\$1,500				\$1,500
6. Contractual			\$4,316	\$4,316	\$8,632
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$2,160		\$4,316	\$4,316	\$10,792
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$2,160		\$4,316	\$4,316	\$10,792

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

Increased set of servers, networking and storage will require \$660K

**5) Supplies**

Increased software licensing costs requires \$1.5MM

**6) Contractual**

The plan is to integrate two systems in the next four years, and develop standards and best practices to aid integration of other relevant systems. None of this funding will go to LEAs. The detailed staffing for the project is as follows:

Cost Category	Sub-category (Type)	Cost/FTE (\$K)	# of FTE Required	RTTT Total Cost (\$K)
<b>Personnel - External (Contractors)</b>				
	Admin Assistant	\$56	2.1	\$115
	IT/Project Manager	\$103	4.2	\$433
	Business Analyst	\$159	12.5	\$1,978
	Data Architect	\$176	4.1	\$720
	Data Manager	\$191	1.1	\$200
	Database Admin	\$176	4.3	\$756
	Reporters	\$159	4.8	\$767
	System Integrators /Consultants	\$176	18.1	\$3,186

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Year 1 \$2.2MM
- Project Year 2 \$-
- Project Years 3-4: \$4.3MM
- All Project Years \$10.8MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1 \$2.2MM
- Project Year 2 \$-
- Project Years 3-4: \$4.3MM
- All Project Years \$10.8MM

---

**Project ID:** C5

**Project Name:** Adoption of Growth Model (*IT System Enhancements*)

**Associated with Criteria:** (C)(2) and (C)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment	\$330				\$330
5. Supplies	\$550				\$550
6. Contractual	\$773	\$1,546	\$773		\$3,092
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$1,653	\$1,546	\$773		\$3,972
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$1,653	\$1,546	\$773		\$3,972

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

Increased set of servers, networking and storage will require \$330K

**5) Supplies**

Increased software licensing costs requires \$550K

**6) Contractual**

Deals with developing the IT system (design, coding and implementation) as well as supporting reports for adopting the new growth model. The costing is developed based on Colorado’s growth model and considered representative of any growth model New York State will adopt. None of this funding will go to LEAs. The detailed staffing of the project is as follows:

Cost Category	Sub-category (Type)	Cost/FTE (\$K)	# of FTE Required	RTTT Total Cost (\$K)
<b>Personnel - External (Contractors)</b>				
	Admin Assistant	\$56	0.6	\$35
	IT/Project Manager	\$103	2.5	\$258
	Business Analyst	\$159	3.8	\$595
	Data Architect	\$176	1.3	\$220
	Data Manager	\$191	1.3	\$238
	Database Admin	\$176	1.3	\$220
	Reporters	\$159	2.7	\$423
	System Integrators /Consultants	\$176	5.0	\$879

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Year 1 \$1.6MM
- Project Year 2 \$1.5MM
- Project Year 3 \$0.8MM
- Project Year 4 \$-
- All Project Years \$3.9MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1 \$1.6MM

- Project Year 2 \$1.5MM
- Project Year 3 \$0.8MM
- Project Year 4 \$-
- All Project Years \$4.0MM

---

**Project ID:** D1

**Project Name:** Annual Professional Performance Review (APPR) for Teachers

**Associated with Criteria:** (D)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$4.9	\$2.5	\$2.5	\$2.5	\$12.3
4. Equipment	\$17.5				\$17.5
5. Supplies	\$1.3	\$1.3	\$1.3	\$1.3	\$5.2
6. Contractual	\$388	\$400	\$412	\$424	\$1,624
7. Training Stipends					
8. Other	\$10	\$3	\$3	\$3	\$19
9. Total Direct Costs (lines 1-8)	\$422	\$407	\$419	\$431	\$1,678
10. Indirect Costs					
11. Funding for Involved LEAs	\$28	\$14	\$14	\$14	\$71
12. Supplemental Funding for Participating LEAs	\$622	\$313	\$313	\$313	\$1,559
13. Total Costs (lines 9-12)	\$1,072	\$733	\$745	\$758	\$3,308

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

- Approximately 14 trips in the first year to train the trainers: one to each regional Joint Management Team and one at each of the “Big Five” school districts, made by the 3 staff. At an average of \$350 per trip to provide training and technical assistance, totaling \$4,900
- Three staff will in total make approximately 7 trips in years 2-4. These trips will be follow up training sessions to new trainers in the JMTs. At an average of \$350 per trip to provide training and technical assistance, totaling \$2,450

**4) Equipment**

Equipment for purchase includes: one laptop (\$639 ), four desktop computers (\$519 each, for a total of \$2076), one printer (\$1511), one fax (\$300), four chairs (\$550 each, for a total of \$2200), three desks (\$3000 each, for a total of \$9000), and one L-desk (\$1800), for a complete total of \$17,526.

## **5) Supplies**

General office supplies of \$1,300 annually

## **6) Contractual**

A contracted team will carry out the work of the project, with responsibilities and pay commensurate with those of the following grade Personnel:

- 0.9 FTE Associate responsible for overall leadership of the teacher and school leader initiatives involving teacher and principal career ladders, teacher and principal performance evaluation systems, and the reporting of teacher and principal performance evaluation results to the USED as part of the Race to the Top Grant. The Associate will also work specifically to implement the new teacher and principal career ladders described in (D)(2)(iv)(b), including preparation of state regulations for modifications to the certification structure for both teachers and principals to implement the new career ladders; oversee the budget for training staff of LEAs in the implementation of the new career ladders; advising LEAs on the interpretation of the new regulations; responding to complaints and questions from interested parties, such as teacher and principal unions, school board association, and other professional associations (annually \$82,871)
- Assistant responsible for revision and implementation of the revised teachers' annual professional performance review and implementation of the new principals' performance evaluation system. Duties will include drafting of implementing regulations; analyzing public comment, consulting and negotiating with interested parties (including unions, school board associations, and other professional associations); preparation of training materials for implementation in the LEAs; advising LEAs on implementation of the new performance evaluation systems, and answering complaints and questions from all interested parties (annually \$67,607)
- Assistant responsible for advising LEAs and coordinating with the information technology staff to ensure that accurate reporting of teacher and principal performance evaluation results is accomplished to meet the requirements of the Race to the Top Grant. This will include interpretation of new regulations, verifying and validating data, training and advising staff from LEAs (annually \$67,607)
- Secretarial support

Each additional year assumes a 3% increase in contract price.

## **7) Training Stipends**

## **8) Other**

Printing of manuals for Performance reviews will require \$10,000 in the first year and \$3,000 annually in the subsequent three grant years.

## **9) Total Direct Costs**

- Project Year 1 \$0.4MM
- Project Year 2 \$0.4MM
- Project Year 3 \$0.4MM
- Project Year 4 \$0.4MM
- All Project Years \$1.5MM

**10) Indirect Costs**

**11) Funding for Involved LEAs; 12) Supplemental Funding for Participating LEAs**

Race to the Top Funds will make possible both the development of the APPR and will supplement performance program training required for the state’s 226,000 teachers. To ensure that all teachers have opportunity to understand the performance programs, the training will be carried out through a train-the-trainer model.

The Boards of Cooperative Education Service (BOCES) will train the LEAs in their component districts and any other public school districts in their geographical area and staff in the big five cities LEAs will be responsible for training their staff in the performance evaluation systems for teachers. Each BOCES will serve as the primary resource to LEAs in their respective geographic area of New York State on an ongoing basis, providing technical assistance, interpreting of performance evaluation requirements, and assistance in resolving data problems. Each of the big five cities will provide training for the staff of their city schools and similarly provide technical assistance to schools as described above for the BOCES. This will require additional staff at each BOCES and each of the big five city districts and additional travel expenses especially in those upstate BOCES covering large geographic areas.

LEA Race to the Top dollars, awarded as subgrants, will largely fund the training of their district-level trainers, as LEAs will be purchasing these aforementioned services. The State has set aside \$1.6MM to provide supplements to participating LEAs and \$80K in grants to involved LEAs, in order to ensure that *all* teachers are appropriately trained in the introduction and use of the enhanced APPR.

**13) Total Costs**

- Project Year 1 \$1.1MM
- Project Year 2 \$0.7MM
- Project Year 3 \$0.7MM
- Project Year 4 \$0.8MM
- All Project Years \$3.3MM

---

**Project ID:** D2

**Project Name:** Evaluation System for Principals

**Associated with Criteria:** (D)(4)(ii)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual	\$550	\$400	\$400	\$400	\$1,750
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$550	\$400	\$400	\$400	\$1,750
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$550	\$400	\$400	\$400	\$1,750

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

**5) Supplies**

**6) Contractual**

SED will select a professional organization to design and deliver a training program regarding the new Principal Performance Evaluation System to be made available regionally to principals and their supervisors throughout New York State. The training will be based on the required design elements and components of this new evaluation system and tied to national leadership standards. Costs estimated using available benchmarks for similar programs. This contract is budgeted to require an initial investment of \$550,000, and \$400,000 in each following year.

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Year 1 \$0.55MM
- Project Years 2-4: \$0.4MM each
- Project Total: \$1.8MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1 \$0.55MM
- Project Years 2-4: \$0.4MM each
- Project Total: \$1.8MM

---

**Project ID:** D3

**Project Name:** Creating Teacher and Principal Career Ladder

**Associated with Criteria:** (D)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment	\$24				\$24
5. Supplies	\$1.3	\$1.3	\$1.3	\$1.3	\$5.2
6. Contractual	\$513	\$521	\$537	\$553	\$2,124
7. Training Stipends					
8. Other (Printing)					
9. Total Direct Costs (lines 1-8)	\$538	\$522	\$538	\$554	\$2,153
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$538	\$522	\$538	\$554	\$2,153

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

Equipment for purchase includes: six desktop computers (\$519 each, for a total of \$3,114), one printer (\$1511), six chairs (\$550 each, for a total of \$3300), four desks (\$3000 each, for a total of \$12,000), two L-desks (\$1800 each, for a total of \$3600), for a complete total of \$23,525.

**5) Supplies**

General office supplies of \$1,300 annually

**6) Contractual**

In addition,

A contracted team will be identified to carry out the work of the project:

- Project Coordinator responsible for the overall Teacher and School Leader career ladders including reporting results to the USED as part of the Race to the Top Grant. The Associate will also work specifically to implement the new teacher and principal career ladders described in D2(iv)(b) and any new pathways to certification identified in (D)(3), including preparation of state regulations for modifications to the certification structure for both teachers and principals to implement the new career ladders and new pathway; oversee the budget for training staff of LEAs in the implementation of the new career ladders; advising LEAs on the interpretation of the new regulations; responding to complaints and questions from interested parties, such as teacher and principal unions, school board association, and other professional associations (annually \$82,871)
- In year 2-4 and beyond, a Teacher Certification office will need to review applications and issue certificates for new levels created in the Teacher and Principal Career Ladders and the new pathway to Teacher Certification created in (D)(2). The Department anticipates at least three new credentials resulting from the new career ladder and one new pathway created in (D)(2). If only 10% of the workforce receive these credentials, that would represent more than 25,000 additional credentials to be issued. The following team of contracted certification specialists would be needed to review applications and issue certificates.
  - Clerk 1: This position will open and enter all information received into TEACH. This person will be allocated 100% of the time at an initial salary of \$29,838
  - Licensing Services Clerk: This position will review and issue the more straight forward applications. This position will be allocated 100% of the time at an initial salary of \$37,486
  - Education Credential Specialist: These positions will be needed to evaluate the increase in applications due to the new certificate structure proposed. These 3.0 Specialists will be allocated 100% of the time each, at initial salaries of \$55,200 each
  - Senior Education Credential Specialist: This position will have the overall responsibility for the evaluation of the new certificate structure. This position will be allocated 100% of the time at an initial salary of \$71,103

Each additional year assumes a 3% increase in contract price.

In addition, SED will contract for development of the Career Ladders levels, including any new certificates for the Teachers and Principal Career Ladder and identification of any statewide assessments necessary as part of both career ladders. This will only include identification of the need for statewide assessments and not include work on developing any assessments required.

## **7) Training Stipends**

## **8) Printing**

## **9) Total Direct Costs**

- Project Year 1 \$0.5MM

- Project Year 2 \$0.5MM
- Project Year 3 \$0.5MM
- Project Year 4 \$0.5MM
- All Project Years \$2.0MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1 \$0.5MM
- Project Year 2 \$0.5MM
- Project Year 3 \$0.5MM
- Project Year 4 \$0.6MM
- All Project Years \$2.1MM

**Project ID:** D4

**Project Name:** Incentive for Highly Effective Teachers Entering Highest-Need Schools to Teach Hard-to-Staff Subjects

**Associated with Criteria:** (D)(3)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	\$116	\$120	\$123	\$127	\$486
2. Fringe Benefits	\$50	\$51	\$53	\$54	\$207
3. Travel	\$5.0	\$5.0	\$5.0	\$5.0	\$20.0
4. Equipment	\$12.0				\$12.0
5. Supplies	\$1.5	\$1.5	\$1.5	\$1.5	\$6.0
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$184	\$177	\$182	\$187	\$731
10. Indirect Costs	\$17	\$17	\$18	\$19	\$71
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$201	\$195	\$200	\$206	\$802

### 1) Personnel

The following requested personnel will be hired as employees of the project.

- Project Coordinator (Associate in Higher Education, Grade 26): This position will meet the minimum qualifications per the New York State Civil Service system and have the overall responsibility to coordinate this initiative including the continuing analysis and review of data, goals and objectives; development of the RFP; the review of applications; provide technical assistance and monitoring; etc... This position is assigned to this initiative 100% of the time at an initial salary of \$82,871
- Secretary (Keyboard Specialist, Grade 6) This position will meet the minimum qualifications per the New York State Civil Service system will maintain all data, files and information pertinent to this initiative, prepare reports, tables, charts and necessary correspondence, etc... This position is assigned to this initiative 100% of the time at an initial salary of \$29,838

Each additional year assumes a 3% salary increase..

## **2) Fringe Benefits**

Calculated at 42.67% of Personnel costs

## **3) Travel**

- Approximately 10 trips per year at an average of \$350 per trip to provide technical assistance and monitoring for a total of \$3,500
- The balance of \$1,500 is to be used to attend one to two conferences annually. This would include the cost of registration, transportation, food and lodging.

## **4) Equipment**

Equipment for purchase includes: one laptop at \$639, two desktop computers (\$519 each, for a total of \$1038), one printer (\$1511), one fax (\$300), two chairs (\$550 each, for a total of \$1100), one desk (\$3000), one L-desk (\$1800), and one meeting table & 6 chairs (\$2600), for a complete total of \$11,988.

## **5) Supplies**

- General office supplies of \$1,3000 annually
- Subscriptions to periodicals and journals, \$200 annually

## **6) Contractual**

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year 1 \$0.2MM
- Project Year 2 \$0.2MM
- Project Year 3 \$0.2MM
- Project Year 4 \$0.2MM
- All Project Years \$0.7MM

## **10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

Under the guidance of the state program administrators, participating LEAs will create an incentive program using Title II, Part A grants to provide high need schools leverage to recruit and retain effective teachers either certified in one of the STEM disciplines or as teachers of English language learners or students with disabilities (who are actively engaged in supporting the learning needs of students in STEM disciplines).

*Race to the Top funds will be allocated to this project only at the level of statewide project administration; the bonuses will be carved out of Title II, Part A, money to ensure that the program is sustained beyond 2013-14.*

Further details of the program: Per the tables below, eligible teachers will receive \$30,000 in total bonuses over the course of five years to support the learning needs of students in STEM disciplines and other designated subjects in the State’s high need middle and high schools.

All LEAs with high-need schools will qualify for these Title II, Part A, grants, distributed according to the following priorities: schools with teacher shortages in all four areas (Math, Science, English Language learners and students with disabilities) will be priority one; those with needs in Math, Science, English Language learners or students with disabilities would be priority two; those with Math and Science shortage will be priority three.

**Table: Creating a new five-year differential incentive to recruit and retain highly effective teachers to support the learning needs of students in STEM**

	Year 1	Year 2	Year 3	Year 4	Year 5
	2010-11	2011-12	2012-13	2013-14	2014-15
<b><i>Funds expended per teacher</i></b>					
Cohort 1	\$4,000	\$5,000	\$6,000	\$7,000	\$8,000
Cohort 2		\$4,000	\$5,000	\$6,000	\$7,000
Cohort 3			\$4,000	\$5,000	\$6,000
Cohort 4				\$4,000	\$5,000
Cohort 5					\$4,000
<b><i>Teachers participating per year</i></b>					
Cohort 1	1,000	1,000	1,000	1,000	1,000
Cohort 2		1,000	1,000	1,000	1,000
Cohort 3			1,000	1,000	1,000
Cohort 4				1,000	1,000
Cohort 5					1,000
<b>Total</b>	<b>1,000</b>	<b>2,000</b>	<b>3,000</b>	<b>4,000</b>	<b>5,000</b>
<b><i>Total Direct Cost Expenditure Per Year</i></b>					
Cohort 1	\$4MM	\$5MM	\$6MM	\$7MM	\$8MM
Cohort 2		\$4MM	\$5MM	\$6MM	\$7MM
Cohort 3			\$4MM	\$5MM	\$6MM
Cohort 4				\$4MM	\$5MM
Cohort 5					\$4MM
<b>Total</b>	<b>\$4MM</b>	<b>\$9MM</b>	<b>\$15MM</b>	<b>\$22MM</b>	<b>\$30MM</b>

**13) Total Costs**

- Project Year 1 \$0.2MM
- Project Year 2 \$0.2MM
- Project Year 3 \$0.2MM
- Project Year 4 \$0.2MM
- All Project Years \$0.8MM

**Project ID:** D5

**Project Name:** Residency Programs For Teachers

**Associated with Criteria:** (D)(4)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$5.0	\$5.0	\$5.0	\$5.0	\$20.0
4. Equipment	\$9.4				\$9.4
5. Supplies	\$1.5	\$1.5	\$1.5	\$1.5	\$6.0
6. Contractual	\$583	\$588	\$694	\$600	\$2,464
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$598	\$595	\$700	\$606	\$2,499
10. Indirect Costs					
11. Funding for Involved LEAs	\$5.8	\$5.8	\$5.8	\$5.8	\$23.3
12. Supplemental Funding for Participating LEAs	\$903	\$903	\$903	\$903	\$3,613
13. Total Costs (lines 9-12)	\$1,508	\$1,504	\$1,609	\$1,515	\$6,136

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

- Approximately 10 trips per year at an average of \$350.00 per trip to provide technical assistance and monitoring for a total of \$3,500
- The balance of \$1,500 is to be used to attend one to two conferences annually. This would include the cost of registration, transportation, food and lodging.

**4) Equipment**

Equipment for purchase includes one laptop at \$639, two desktop computers at \$519 each, one printer at \$1511, one fax at \$300, two chairs at \$550 each, one desk at \$3000, and one L-desk at \$1800, for a total of \$9388.

#### **5) Supplies**

- General office supplies (paper, pens, pencils, markers, cartridges for printers, pads, newsprint, folders, staples, paper clips, postage, etc...), \$1,3000 annually
- Subscriptions to periodicals and journals, \$200 annually
- Total \$1,500

#### **6) Contractual**

We will assess the efficacy of the teacher residency programs project through rigorous evaluation. The evaluation will include several components, including an assessment of the fidelity of implementation, an assessment of teacher effectiveness employing multiple measures of effectiveness for two years following the completion of preparation, and a survey of teachers to assess various components of the programs. Effectiveness will be judged primarily, although not solely, based on impacts on student achievement. It is estimated that this evaluation will cost \$1.7 million over the four project years.

In addition, to execute the work on this project, the following position will be contracted:

- Project Coordinator to coordinate this initiative including the continuing analysis and review of data, goals and objectives; development of the RFP; the review of applications; provide technical assistance and monitoring; etc (commensurate with an annual salary of \$82,871, loaded with benefits and indirect costs, as well as appropriate secretarial support)

Each additional year assumes a 3% contract price increase.

#### **7) Training Stipends**

#### **8) Other**

#### **9) Total Direct Costs**

- Project Year 1 \$0.6MM
- Project Year 2 \$0.6MM
- Project Year 3 \$0.6MM
- Project Year 4 \$0.6MM
- All Project Years \$2.4MM

#### **10) Indirect Costs**

#### **11) Funding for Involved LEAs**

#### **12) Supplemental Funding for Participating LEAs**

According to this project, LEAs will use their subgrants to provide stipends or tuition reimbursement to high-need low performing schools in the district partnering with IHEs to develop teacher residency programs. The programs will provide residency settings to prepare

teachers who will be effective in meeting the needs of the students in the LEA at the highest-need schools. These teachers will be prepared to effectively teach English language learners, students with disabilities and black and Hispanic males, and in content shortage areas. Eligible candidates will receive \$30,000 in stipends or tuition reimbursement during the residency year. The State expects that LEAs will provide \$27.5MM over the four grant years to fund residencies. As part of that \$27.5MM, the State anticipates providing \$3.7MM to supplement participating LEAs.

**13) Total Costs**

- Project Year 1 \$1.5MM
- Project Year 2 \$1.5MM
- Project Year 3 \$1.6MM
- Project Year 4 \$1.5MM
- All Project Years \$6.1MM

**Project ID:** D6

**Project Name:** Transforming Principal Preparation Programs

**Associated with Criteria:** (D)(4)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$7.0	\$7.0	\$7.0	\$7.0	\$28.0
4. Equipment	\$8.9				\$8.9
5. Supplies	\$1.5	\$1.5	\$1.5	\$1.5	\$6.0
6. Contractual	\$383	\$388	\$394	\$400	\$1,564
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$400	\$397	\$402	\$408	\$1,607
10. Indirect Costs					
11. Funding for Involved LEAs	\$1	\$3	\$4	\$4	\$12
12. Supplemental Funding for Participating LEAs	\$314	\$753	\$878	\$878	\$2,823
13. Total Costs (lines 9-12)	\$715	\$1,153	\$1,284	\$1,290	\$4,442

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

- Approximately 20 trips per year at an average of \$350.00 per trip to provide technical assistance and monitoring for a total of \$7,000.00.

**4) Equipment**

Equipment for purchase includes one laptop at \$639, one desktop at \$519, one printer at \$1511, one fax at \$300, two chairs at \$550 each, one desk at \$3000, and one L-desk at \$1800, for a total of \$8869

## **5) Supplies**

- General office supplies of \$1,3000 annually
- Subscriptions to periodicals and journals, \$200 annually

## **6) Contractual**

In addition, to execute the work on this project, the following position will be contracted:

- Project Coordinator to coordinate this initiative including the preparation of a Request for Proposals (RFP) based on research-based leader preparation program design elements; review of applications; technical assistance and monitoring of transformed leader preparation programs; etc.; (commensurate with an annual salary of \$82,871, loaded with benefits and indirect costs, as well as appropriate secretarial support)

Each additional year assumes a 3% increase in the contract price.

In addition, the estimated cost of a rigorous evaluation of the efficacy of the project as measured by the impact of leadership on student achievement, is \$800,000 over four years

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Years 1-4: \$0.4MM each
- All Project Years \$1.5MM

## **10) Indirect Costs**

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

The State estimates that LEAs will invest \$20.5MM in these transformed Principal preparation programs. Money will be used to offset the costs of Principal tuitions, and of providing an FTE replacement for a school leader for the period of the residency component.

The program provider will be responsible for overseeing the expenditures necessary to transform the leader preparation programs. The competitive RFP process will identify 10 additional leadership preparation programs annually. Programs approved in the RFP process will receive moneys in the form of Principal tuitions from LEAs. (In the first grant year, awards will also be complemented with additional money available via the TLQP project.)

Both participating and involved LEAs with high-need schools will be encouraged to enroll Principals in the transformed preparation programs. The State has established in its Race to the Top budget allowances to supplement participating LEAs to ensure that the costs of program participation are not inhibitive.

Presently, the State expects to provide approximately \$2.9MM to ensure inclusive participation among all high-need schools.

## **13) Total Costs**

- Project Year 1 \$0.7MM

- Project Year 2 \$1.1MM
- Project Year 3 \$1.3MM
- Project Year 4 \$1.3MM
- All Project Years \$4.4MM

**Project ID:** D7

**Project Name:** Leadership Academies for School Principals

**Associated with Criteria:** (D)(5)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$10.0	\$10.0	\$10.0	\$10.0	\$40.0
4. Equipment	\$15.3				\$15.3
5. Supplies	\$3.0	\$3.0	\$3.0	\$3.0	\$12.0
6. Contractual	\$451	\$465	\$479	\$493	\$1,887
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$479	\$478	\$492	\$506	\$1,954
10. Indirect Costs					
11. Funding for Involved LEAs	\$1.5	\$1.5	\$1.5	\$1.5	\$6.2
12. Supplemental Funding for Participating LEAs	\$266	\$266	\$266	\$266	\$1,065
13. Total Costs (lines 9-12)	\$747	\$745	\$759	\$774	\$3,025

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

- Approximately 20 trips per year at an average of \$350 per trip to provide technical assistance and monitoring for a total of \$7,000
- The balance of \$3,000 is to be used to attend one to two conferences annually. This would include the cost of registration, transportation, food and lodging.

**4) Equipment**

Equipment for purchase includes two laptops at \$639 each, one desktop at \$519, one fax at \$300, three chairs at \$550 each, three desks at \$3000 each, one meeting table & 6 chairs at \$2600, for a total of \$15,347.

#### **5) Supplies**

- General office supplies of \$2,800 annually
- Subscriptions to periodicals and journals, \$200 annually

#### **6) Contractual**

In addition, to execute the work on this project, the following team will be contracted:

- Three Project Coordinators responsible to coordinate the development of leadership academies including the continuing analysis and review of data, goals and objectives; development of the RFP; the review of applications; provide technical assistance and monitoring; etc (commensurate with an annual salary of \$82,871, loaded with benefits and indirect costs, as well as appropriate secretarial support)

Each additional year assumes a 3% increase in contract price.

#### **7) Training Stipends**

#### **8) Other**

#### **9) Total Direct Costs**

- Project Year 1 \$0.4MM
- Project Year 2 \$0.4MM
- Project Year 3 \$0.4MM
- Project Year 4 \$0.5MM
- All Project Years \$1.8MM

#### **10) Indirect Costs**

#### **11) Funding for Involved LEAs**

#### **12) Supplemental Funding for Participating LEAs**

The three coordinators will each launch two Academies in each of the first two years with each requiring an initial investment of \$250K per Academy. Investments will be made possible through Principal “tuitions” paid out of LEA Race to the Top funds. Each leadership academy site will conduct focus groups for Principals and develop curriculum based on needs, research-based best practice on closing the achievement gap. Turnaround coaches will be identified and trained. Once academies are launched, the later years of the grant money will provide for the regional expansion of existing Academies, as well as to focus on sharing practices across Academies. The total expected investment in Principal Leadership Academies over the life of the grant will be \$6MM. The State will provide, from its share of Race to the Top, approximately \$1MM to supplement the investments LEAs make in this program.

#### **13) Total Costs**

- Project Year 1 \$0.7MM

- Project Year 2 \$0.7MM
- Project Year 3 \$0.8MM
- Project Year 4 \$0.8MM
- All Project Years \$3.0MM

**Project ID:** D8

**Project Name:** Model Induction Programs To Prepare All Teachers To Be Teacher Leaders

**Associated with Criteria:** (D)(5)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$27.5	\$5.0	\$5.0	\$5.0	\$42.5
4. Equipment	\$11.5				\$11.5
5. Supplies	\$1.5	\$1.5	\$1.5	\$1.5	\$6.0
6. Contractual	\$183	\$188	\$194	\$200	\$764
7. Training Stipends					
8. Other	\$75				\$75
9. Total Direct Costs (lines 1-8)	\$298	\$195	\$200	\$206	\$899
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$298	\$195	\$200	\$206	\$899

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

- Approximately ten trips per year at an average of \$350 per trip to provide technical assistance and monitoring for a total of \$3,500. In year 1, an additional \$22,500 is needed to support five meetings of the ten-member work group of teachers and school leaders developing the model program, at \$450 per member per meeting.
- The balance of \$1,500 is to be used to attend one to two conferences annually. This includes the cost of registration, transportation, food and lodging.

#### **4) Equipment**

Equipment for purchase includes one laptop at \$639, one desktop at \$519, one printer at \$1511, one fax at \$300, two chairs at \$550 each, for a total of \$1100, one desk at \$3000, one L-desk at \$1800, and one meeting table & 6 chairs at \$2600, for a total of \$11,469.

#### **5) Supplies**

- General office supplies of \$1,300 annually
- Subscriptions to periodicals and journals, \$ 200 annually

#### **6) Contractual**

In addition, to execute the work on this project, the following position will be contracted:

- One FTE Project Coordinator to coordinate this initiative including the continuing analysis and review of data, goals and objectives; development of the RFP; the review of applications; provide technical assistance and monitoring; etc.; (commensurate with an annual salary of \$82,871, loaded with benefits and indirect costs, as well as appropriate secretarial support)

Each additional year assumes a 3% increase in the contract price.

#### **7) Training Stipends**

#### **8) Other**

- \$50,000 in Year 1 for replacement teacher costs for members of the work group to participate in five meetings.
- \$25,000 in Year 1 to support a statewide conference to roll out the model program.

#### **9) Total Direct Costs**

- Project Year 1 \$0.3MM
- Project Year 2 \$0.2MM
- Project Year 3 \$0.2MM
- Project Year 4 \$0.2MM
- All Project Years \$0.8MM

#### **10) Indirect Costs**

#### **11) Funding for Involved LEAs**

#### **12) Supplemental Funding for Participating LEAs**

This project fosters pilots of model induction programs for first- and second-year teachers in the highest-need schools. The model induction program will require approximately \$40MM LEA-level investment. The State has identified funds for this project within a settlement with Microsoft of which the money is intended to benefit highest-need, high-poverty schools. These dollars will provide for replacement teacher costs, coordination costs, professional development, supplies; and travel. The driving estimate of \$6,000 per teacher was derived using the information generated from the existing Mentor Teacher Internship Program grant.

*For this project, Race to the Top funds will be used only for program administration, not LEA-level costs.*

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1 \$0.3MM
- Project Year 2 \$0.2MM
- Project Year 3 \$0.2MM
- Project Year 4 \$0.2MM
- All Project Years \$0.9MM

---

**Project ID:** D9

**Project Name:** Professional Development For Teachers Focused On The Use Of Student Performance And Growth Data For Formative Assessment

**Associated with Criteria:** (D)(5)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	\$85	\$88	\$91	\$93	\$357
2. Fringe Benefits	\$36	\$38	\$39	\$40	\$152
3. Travel	\$0.0	\$3.5	\$3.5	\$3.5	\$10.5
4. Equipment	\$5.7				\$5.7
5. Supplies	\$1.5	\$1.5	\$1.5	\$1.5	\$6.0
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$129	\$130	\$134	\$138	\$532
10. Indirect Costs	\$12	\$13	\$13	\$14	\$52
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$141	\$143	\$147	\$152	\$584

**1) Personnel**

The following requested personnel will all be hired as employees of the project.

- One FTE Project Coordinator (Associate in Higher Education, Grade 26): Coordinate this initiative including the continuing analysis and review of data, goals and objectives; development of the RFP; the review of applications; provide technical assistance and monitoring; etc.; hired an initial salary of \$82,871

Each additional year assumes a 3% salary increase.

**2) Fringe Benefits**

Calculated at 42.67% of Personnel costs

**3) Travel**

- Approximately 10 trips per year at an average of \$350.00 per trip to provide technical assistance and monitoring for a total of \$3,500.00. No travel will be required in year 1

#### **4) Equipment**

Equipment for purchase includes one laptop at \$639, one printer at \$1511, one chair at \$550, and one desk at \$3000, for a total of \$5700.

#### **5) Supplies**

- General office supplies of \$1,3000 annually
- Subscriptions to periodicals and journals, \$200 annually

#### **6) Contractual**

#### **7) Training Stipends**

#### **8) Other**

#### **9) Total Direct Costs**

- Project Year 1 \$0.13MM
- Project Year 2 \$0.13MM
- Project Year 3 \$0.13MM
- Project Year 4 \$0.14MM
- All Project Years \$0.53MM

#### **10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

#### **11) Funding for Involved LEAs; 12) Supplemental Funding for Participating LEAs**

The entirety of the LEA-level costs associated with this project will be made available through the provision of money from the 1003(g) School Improvement Grant.

An RFP process will be used to incentivize approximately 10 two-year projects, serving an average of 200 teachers per project, at an average cost of \$2.4MM per project, according to the following schedule:

- Project Year One      5 projects; \$6MM
- Project Year Two      Initiate 3 new projects; \$9.6MM
- Project Year Three    Initiate 2 new projects; \$6MM
- Project Year Four     No new projected commenced; \$2.4MM

All LEAs with high-need schools will be eligible to apply for these project awards.

*Race to the Top money will support the creation and administration of the RFP process, as well as the evaluation of the pilots, but will not be used to fund the awards.*

#### **13) Total Costs**

- Project Year 1 \$0.14MM

- Project Year 2 \$0.14MM
- Project Year 3 \$0.15MM
- Project Year 4 \$0.15MM
- All Project Years \$0.58MM

---

**Project ID:** D10

**Project Name:** Teacher Growth and Accountability Incentive Fund

**Associated with Criteria:** (D)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)					
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs	\$25,000	\$25,000	\$25,000	\$25,000	\$100,000
13. Total Costs (lines 9-12)	\$25,000	\$25,000	\$25,000	\$25,000	\$100,000

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

**5) Supplies**

**6) Contractual**

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

**10) Indirect Costs**

**11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

Participating LEAs will partner with NYSED via the Teacher Growth and Accountability Incentive Fund (\$100MM) to develop and implement robust teacher evaluations *to inform human capital decisions*. Specifically, the State plans to use \$100MM of its Race to the Top grant to provide an incentive fund for participating LEAs that use a qualifying performance-based evaluation to inform key personnel actions. This funding is supplemental to the subgrants.

## **13) Total Costs**

- Project Years 1-4: \$25MM
- Project Total: \$100MM

---

**Project ID:** E1

**Project Name:** Turning Around Lowest-Achieving Schools

**Associated with Criteria:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)					
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs	\$10,367	\$10,648			\$21,015
13. Total Costs (lines 9-12)	\$10,367	\$10,648			\$21,015

- 1) Personnel
- 2) Fringe Benefits
- 3) Travel
- 4) Equipment
- 5) Supplies
- 6) Contractual
- 7) Training Stipends
- 8) Other

## **9) Total Direct Costs**

## **10) Indirect Costs**

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

Total funding for all participating LEAs will amount to \$207,999,564 (see below), half of which will be covered through the LEA allocations of Race to the Top subgrants and the other half through the School Improvement Grant. Where the burden of project implementation on certain LEAs overtaxes the awarded subgrant, however, the State has identified funds to supplement LEA implementation needs for effectively carrying out a turnaround strategy. The provision for supplemental funds is estimated at \$21.2MM.

Districts will have the option to deploy one of the four strategies outlined under Race to the Top that best fits the need of the school in need of turnaround. We estimate 80% of schools will choose either the Turnaround (40%) or Transformation (40%) strategies, while the remaining 20% of schools will choose between Closure and Restart. Each RTTT funded turnaround is expected to last two years. This is however a suggested costing/usage strategy for the funds based on interactions with several providers, and individual providers will have complete autonomy for using funds as long as they meeting the requisite criteria.

### **Strategy (a): The Turnaround model**

For this model, the cost is estimated based on supports needed at the district, school and student level. The district requires a turnaround office to lead the turnaround efforts at the LEA. Responsibilities will include contracting with outside providers, disbursing funding and overseeing and being held accountable for turnaround results. In addition to organizational supports, a staff of district level experts in turnaround is needed to provide oversight and support to the field staff within schools.

LEAs will place a field staff of turnaround experts in every school to spearhead changes and support the building leadership in the critical transitional time. Curriculum trainers, coaches, a social worker and coordinators at the school level have also been factored in. These field staff are expected to serve a cluster of three schools in the district.

At the student level, students will be provided a new curriculum and established schedules and implemented strategies that provide for increased learning time. Recognizing that the foundation of a good school is a safe environment, a violence prevention curriculum will be provided.

The average funding per student from the RTTT grant is estimated at \$625 for turnaround, which will be complemented with, at minimum, a matching grant allocated from the Section 1003(g) funds.

### **Strategy (b): Restart model.**

The cost structure of the Restart model is very similar to that of the turnaround model. Both would rely on a similar portfolio of proven turnaround strategies such as extended day, violence

prevention and a new curriculum. However, one distinct difference between the estimated budgets - in the district-level costs, an outside provider can leverage a regional or national office to receive support for their field staff; whereas in the turnaround model, these functions sit at the district level. An incremental cost for the re-start model is funding for facilities. School Improvement 1003(g) funds will be awarded to those districts who choose to employ the Restart model at a lowest-performing school.

### **Strategy (c): School closure.**

The cost at the district and school level is minimal for a school closure. A staff person at the district level is charged with facilitating the transfer of students, and to coordinate related logistics such as transportation and materials. At the school level, a project manager and 2 research associates transfer records and provide support to the community during the transition. Finally, the bulk of the cost for school closure comes from the incentives offered to neighboring schools to accept students from the closing schools. Thus, the average funding per student from the RTTT grant is \$462 – the lowest of the four strategies. A district will qualify for additional money to carry out school closure from 1003(g) funds.

### **Strategy (d) Transformation model**

The fundamentals of the cost estimations for a transformation model are the same as that of the turnaround. District-level staff support those in the field to drive change management in the lowest performing schools. Meanwhile, curriculum and extended day programs are provided on a student level.

There is one key difference between the turnaround model and transformation model:

- Additional school programs - Secondary School freshmen academies, Summer transition schools, credit recovery programs, Full day Pre-K and AP/IB STEM offerings enrich learning opportunities for NY's children. Costs incurred for a selection of these programs include teachers to staff the additional offerings and extra curriculum

This increases the average cost per student significantly and the average funding per student from the RTTT grant is estimated at \$810, with additional 1003(g) funds complementing the funding.

### **13) Total Costs**

- Project Year 1: \$10.4MM
- Project Year 2: \$10.6MM
- Project Years 3-4: \$-
- Project Total: \$21.0MM

---

**Project ID:** E2

**Project Name:** External Technical Assistance Center for Innovation and Turnaround

**Associated with Criteria:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$125	\$125	\$125	\$125	\$500
4. Equipment	\$183	\$125	\$125	\$125	\$558
5. Supplies	\$5.7	\$5.7	\$5.7	\$5.7	\$22.8
6. Contractual	\$5,012	\$5,136	\$5,136	\$5,136	\$20,420
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$5,325	\$5,392	\$5,392	\$5,392	\$21,501
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$5,325	\$5,392	\$5,392	\$5,392	\$21,501

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

The center professional staff will be traveling at least every two months to identified LEAs (six trips per year per district, to at least five districts) to provide technical assistance to districts regarding the planning and implementation of the four models. Trips budgeted at \$4,167 per trip for annual total of \$125,000.

#### **4) Equipment**

For the establishment of the ETACIT office. The office houses 24 staff.

- 21 Laptops (\$689 each)
- 3 Desktops, including monitors and printers (\$519 per Desktop computer)
- 2 printers (\$1,511 per printer)
- 4 desks and chairs (@\$1,250 per desk)
- 20 laptop stations and chairs for use by 20 staff (\$1,000 per workstation)
- 3 scanners (\$708 each)
- 6 Projectors (\$818 each)
- Yearly office space rental: \$125,000

Total, for the first year: \$183,112

Total for each subsequent year: \$125,000

#### **5) Supplies**

Estimate cost of supplies and materials needed for the ETACIT staff total \$5,700 per year

- General office supplies of \$2,700
- Instructional Materials (professional development books, pamphlets, educational magazine subscriptions): \$3,000

#### **6) Contractual**

To execute the work on this project, the following team will be contracted:

- Center Director responsible for oversight and management of the operations of the External Technical Assistance Center for Innovation and Turnaround (ETACIT). This individual will have extensive experience (at least 6 years) in managing the operations and administration of educational programs, including contracting with outside educational vendors. The director will be responsible for hiring all staff needed for the operation of the center and in addition, to submit to SED an annual evaluation of the center's activities (paid commensurate with an annual salary of \$200,000, loaded with benefits and indirect costs, as well as appropriate secretarial support)
- Professional Staff of approximate 20 FTE will work with at least five LEAs across the state, and will be assigned according to the number of lowest-achieving schools in each LEA. The responsibilities of Professional staff include: working with participating LEAs to plan and implement one of the four intervention models; gathering and disseminating research to LEAs on effective school intervention strategies; coordinating with other SED offices and outside educational organizations to provide professional development to LEAs; identifying lead partners to work with LEAs, for implementation of the restart and transformation models; outreach and technical assistance to possible lead partners; and collecting data on LEA model implementation and results (paid

commensurate with an annual salary of \$114,472, each, loaded with benefits and indirect costs, as well as appropriate secretarial support)

- Professional staff must have extensive knowledge and experience in school improvement/intervention, with specific experience in one or more of the following areas:
  - school organization and leadership;
  - curriculum content and standards alignment, assessment and instruction;
  - specialized intervention strategies, including serving students with disabilities and/or English language learners;
  - smaller learning communities and grade reconfiguration;
  - zoning or enrollment changes;
  - expanded options for over-age/under- credited students;
  - innovative use of facilities;
  - phasing-out/closing schools and developing new schools; and/or
  - any other effective school intervention strategies.

Each additional year assumes a 3% increase in contract price.

In addition, the following services will be purchased:

- Professional Development - Cost related to design and provision of professional development for LEAs prior to selection of school intervention model, and during the development of the school intervention model Plan. This allocation will cover the cost of consultants and materials.
  - \$400,000 per year
- Outreach and Development of Lead Partners- Estimate cost for conducting outreach activities to recruit new lead partners to work with LEAs in the turnaround, restart and transformation models. This includes travel, meetings, materials, and speakers.
  - \$340,000 per year
- Data Collection and Research - Cost related to gathering and disseminating research on effective turnaround practices to LEAs, and data collection to document LEA efforts and choices. This includes an evaluation conducted by a vendor on the outcomes of the implementation of the school intervention models.
  - \$125,000 per year

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year 1: \$4.9MM
- Project Years 2-4: \$5.0MM each

- Project Total: \$19.9MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Year 1: \$5.3MM
- Project Years 2-4: \$5.4MM each
- Project Total: \$21.5MM

**Project ID:** E3

**Project Name:** New York State Education Department Office of Innovative School Models

**Associated with Criteria:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	\$408	\$420	\$433	\$446	\$1,707
2. Fringe Benefits	\$174	\$179	\$185	\$190	\$728
3. Travel	\$2.5	\$2.5	\$2.5	\$2.5	\$10.0
4. Equipment	\$10				\$10
5. Supplies					
6. Contractual					
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$595	\$602	\$620	\$639	\$2,455
10. Indirect Costs	\$60	\$61	\$63	\$65	\$249
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$654	\$663	\$683	\$704	\$2,704

### 1) Personnel

OISM will have a total of 20 staff, including one Coordinator. A majority of positions will be filled with a reallocation of current SED staff. However, to facilitate building state capacity for the work of OISM, SED will use federal funds to temporarily hire 4 additional staff, and a Coordinator. After the initial grant period of four years, these positions will be eliminated, and the remaining staff will carry on the work of the OISM:

- One FTE Coordinator (G-28) will report directly to the Commissioner regarding the activities of the ETACIT, and the progress of LEAs intervening in the persistently lowest- achieving schools; hired at an initial salary of \$83,000

- Four FTE Professional Staff (G 26) will have expertise in grants management/compliance, procurement, contract management, human capital management and state policy; hired at an initial salary of \$72,000 each
- One FTE Support Staff (G 11), hired at an initial salary of \$37,000

Each additional year assumes a 3% salary increase.

## **2) Fringe Benefits**

Calculated at 42.67% of Personnel costs

## **3) Travel**

Staff will make approximately 50 trips in the first year to support LEAs in developing new policies and implement grants. The budget assumes an average of \$50 per trip based on the assumption that most staff are located in regional offices near the districts/schools being served.

## **4) Equipment**

Equipment to purchase includes four computer stations, with desks, chairs, desktop computer and printer, at \$2,500 each.

## **5) Supplies**

## **6) Contractual**

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Years 1-4: \$0.6MM each
- Project Total: \$2.5MM

## **10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

## **13) Total Costs**

- Project Years 1-4: \$0.7MM each
- Project Total: \$2.7MM

---

**Project ID:** E5

**Project Name:** Statewide Evaluation Of Intervention and Innovative School Models

**Associated Criteria:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual	\$245	\$247	\$248	\$250	\$990
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$245	\$247	\$248	\$250	\$990
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$245	\$247	\$248	\$250	\$990

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

**5) Supplies**

## **6) Contractual**

The state will issue a competitive RFP to select an independent statewide evaluator to evaluate the implementation and performance of all intervention and innovation schools. The budget for the contract allows for approximately 2 FTE at \$100,000 each annually.

In addition, this project will require a Project Coordinator to support the implementation of the statewide evaluator and serve as the conduit between the Department and participating schools. This person is expected to be required at 30% FTE, and paid commensurate to a salary of \$93,000 (fully loaded with benefits and indirect costs). This Coordinator will be required in years after the Race to the Top grant period.

Each additional year assumes a 3% increase in contract price.

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Years 1-4: \$0.24MM each
- Project Total: \$0.97MM

## **10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

## **13) Total Costs**

- Project Years 1-4: \$0.25MM each
- Project Total: \$0.99MM

**Project ID:** E6

**Project Name:** Virtual Schools: Digital Learning: Approval of Course Content

**Associated Criterion:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	\$347	\$357	\$368	\$379	\$1,452
2. Fringe Benefits	\$148	\$153	\$157	\$162	\$620
3. Travel	\$2.6	\$2.6	\$2.6	\$2.6	\$10.3
4. Equipment	\$8.5				\$8.5
5. Supplies	\$10.0	\$5.0	\$5.0	\$5.0	\$25.0
6. Contractual	\$50	\$50	\$50	\$50	\$200
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$566	\$568	\$583	\$599	\$2,315
10. Indirect Costs	\$51	\$52	\$54	\$55	\$212
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$617	\$620	\$637	\$654	\$2,527

**1) Personnel**

The following personnel will be required to manage the review and approval of course content:

- 1 FTE Project Manager (Associate, SG-26), hired at an initial salary of \$86,000
- 2.5 FTE Course Reviewers (Assistants, SG-22) , hired at an initial salary of \$70,000
- 1 FTE Web Site Technical Support (SG-18) , hired at an initial salary of \$57,000
- 1 FTE Support Staff (SG -6) , hired at an initial salary of \$31,000

Each additional year assumes a 3% salary increase.

**2) Fringe Benefits**

Calculated at 42.67% of Personnel costs

**3) Travel**

Project Manager and Web Site Technical Support will travel to state meetings four times per year at a cost of \$642 per trip.

**4) Equipment**

Equipment for purchase includes 11 laptops (\$639) and one Printer (\$1,511).

**5) Supplies**

- Web Site Development requiring \$10,000 in the first year, only
- Web Site Maintenance requiring \$5,000 in each of the subsequent three years

**6) Contractual**

Purchased services and materials for review will total \$50,000 annually

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Project Years 1-4: \$0.6MM each
- Project Total: \$2.3MM

**10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs**

- Project Years 1-3: \$0.6MM each
- Project Year 4: \$0.7MM
- Project Total: \$2.5MM

---

**Project ID:** E7

**Project Name:** Virtual Schools: Digital Learning: Establishment of Virtual High Schools

**Associated Criterion:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel	\$2.5	\$2.5	\$2.5	\$2.5	\$10.0
4. Equipment	\$0.0				\$0.0
5. Supplies	\$2.5	\$2.5	\$2.5	\$2.5	\$10.0
6. Contractual	\$3,817	\$526	\$536	\$546	\$5,425
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$3,822	\$531	\$541	\$551	\$5,445
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs	\$479	\$178	\$181	\$184	\$1,022
13. Total Costs (lines 9-12)	\$4,301	\$709	\$722	\$735	\$6,467

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

Personnel travel requiring \$2,500 annually

**4) Equipment**

Equipment for purchase includes three computers (\$519 each) and one printer (\$1,511 each).

**5) Supplies**

General office supplies of \$2,500 annually

## **6) Contractual**

In addition, to execute the work on this project, the following position will be contracted to manage the establishment of virtual high schools:

- 2.0 FTE Program staff (paid commensurate with an annual salary of \$85,000, loaded with benefits and indirect costs, as well as appropriate secretarial support)

Each additional year assumes a 3% increase in contract price.

In addition, the State will require the following purchased services: Curricula and assessments aligned to 28 NYS Learning Standards (including licenses, subscriptions, user fees, etc.)

- Initial cost for implementation (2010-2011): at \$500,000 per learning standard area, for a total of \$3,500,000
- Revisions and additions (2011-2014): \$200,000 annually

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year 1: \$3.8MM
- Project Years 2-4: \$0.5MM each
- Total Project: \$ 5.3MM

## **10) Indirect Costs**

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

Total funding for all participating LEAs will amount to \$12,155,850 (see below), largely funded from the LEA allocations of Race to the Top subgrants. Where the burden of project implementation on certain LEAs overtaxes the awarded subgrant, however, the State has identified funds to supplement LEA implementation needs for establishment of virtual high schools. The provision for supplemental funds is estimated at \$1.0MM

The total LEA-borne costs include the following:

- Professional salaries
  - VHS support staff team (6.0 FTE): \$31,000 each initial salary
  - VHS Administrative team (superintendent/principal, financial officer, academic officer) (3.0 FTE): \$96,000 each initial salary
  - VHS Development team (5.0 FTE): \$86,000 each initial salary
  - VHS Technical Support Team (6.0 FTE): \$57,000 each initial salary
  - Management team: \$255,000 per year
- Instructional resources aligned to 28 NYS Learning Standards
  - Initial cost for implementation (2010-2011): \$4,200,000

- Scale-up, Additions and Revisions and additions (2011-2014): \$573,876 per year for subsequent three project years

**13) Total Costs**

- Project Year 1: \$4.3MM
- Project Years 2-4: \$0.7MM each
- Total Project: \$ 6.5MM

**Project ID:** E8

**Project Name:** Virtual Schools: Digital Learning: Build a Statewide Virtual Learning Environment

**Associated Criterion:** (E)(2)

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	\$1,025	\$1,056	\$1,087	\$1,120	\$4,288
2. Fringe Benefits	\$437	\$451	\$464	\$478	\$1,830
3. Travel	\$6.4	\$6.4	\$6.4	\$6.4	\$25.7
4. Equipment	\$14				\$14
5. Supplies	\$10	\$10	\$10	\$10	\$40
6. Contractual	\$300	\$150	\$150	\$150	\$750
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$1,793	\$1,673	\$1,718	\$1,764	\$6,948
10. Indirect Costs	\$150	\$154	\$159	\$164	\$626
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$1,943	\$1,827	\$1,877	\$1,928	\$7,574

### 1) Personnel

The following personnel will be required to manage the construction of the statewide virtual learning environment:

- 1 Coordinator of NYSED Office of Learning Technology Policy and Programs (M-3) @ \$96,000
- 5 Associates in Instructional Services (SG-26) @ \$85,000
- 5 Assistant in Instructional Services (SG-22) @ \$70,000
- 2 Educational Program Assistants (SG-14) @ \$46,000
- 2 Support Staff - Keyboard Specialist (SG-6) @ \$31,000

Each additional year assumes a 3% salary increase.

## **2) Fringe Benefits**

Calculated at 42.67% of Personnel costs

## **3) Travel**

Staff will travel to out-of-office meetings, conferences, and events, requiring \$6,420 per year.

## **4) Equipment**

Equipment purchases to include 15 laptops (\$639 each) and 3 printers (\$1,511 each).

## **5) Supplies**

Materials and general office supplies will total \$10,000 annually.

## **6) Contractual**

Purchased services to include:

- Development of NYSED.net: \$300,000 first year, only
- Maintenance of NYSED.net: \$150,000 per year in subsequent years

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year 1: \$1.8MM
- Project Year 2: \$1.7MM
- Project Year 3: \$1.7MM
- Project Year 4: \$1.8MM
- Project Total: \$6.9MM

## **10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

## **13) Total Costs**

- Project Year 1: \$1.9MM
- Project Year 2: \$1.8MM
- Project Year 3: \$1.9MM
- Project Year 4: \$1.9MM
- Project Total: \$7.6MM

---

**Project ID: I1****Project Name:** Improving Early Learning Outcomes**Associated with Criteria:** Invitational Priority 3*(in \$K)*

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel	692	713	734	1,269	3,408
2. Fringe Benefits	295	304	313	542	1,454
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual	1,378	1,801	706	706	4,591
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	2,365	2,818	1,753	2,517	9,453
10. Indirect Costs	101	104	107	185	497
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	2,466	2,922	1,860	2,702	9,950

**1) Personnel**

The following requested personnel will all be hired as employees of the project.

- 1 FTE Director (M-3) in years 1-3, at an initial salary of \$96,018
- 1 FTE accompanying Support staff (G-11) in years 1-3, at an initial salary of \$40,252
- 12 Regional staff (G-14) in years 1-3, assigned to identified struggling schools to assess the strengths of their prekindergarten – grade 4 system as electors to improve as part of improving graduation rates, hired at an initial salary of \$46,297 each
- 5 Directors (M-3) in regional settings in year 4, at an initial salary of \$96,018 each
- 5 accompanying Support Staff (G-11) in year 4, at an initial salary of \$40,252
- 5 Data coordinators (M-3) to assist in developing local systems in year 4, at an initial salary of \$96,018 each

Each additional year assumes a 3% salary increase.

## 2) Fringe Benefits

Calculated at 42.67% of Personnel costs

## 3) Travel

## 4) Equipment

## 5) Supplies

## 6) Contractual

Year I, \$1,378,000

- World Class Prekindergarten Standards
  - ~ Use of a national expert for international benchmarking \$5,000/10 days, for a total of \$50,000
  - ~ Use of four content experts to ensure rigor and aligned with content areas @ \$1,000/day/7 days, for a total of \$28,000
- Building an assessment protocol and data system
  - ~ Regional meetings with 0 – 3 system and prekindergarten – grade 4 constituents to assess what is currently used in LEAs and CBOs, \$50,000
  - ~ Purchased Services for a contractor to design protocol and build corresponding data system, \$450,000
- Develop an online early childhood component to the currently existing NYS Reading Academy that is focused upon instructional programming prekindergarten – grade 4, \$500,000
- Parent Assessment Tool for Quality
  - ~ Purchased Service to design a tool for parents so that they can assess the quality of prekindergarten and kindergarten programs and transitions, \$300,000

Year II, \$1,801,000

- World Class Prekindergarten Standards
  - Use of early childhood experts to develop curriculum framework on prekindergarten standard/5 consultants/\$500/30 days, for a total of \$75,000
  - Incorporate curriculum framework into SED web, for a total of \$20,000
- Building an assessment protocol and data system
  - Field test protocols in 2 big cities and 10 struggling schools, \$506,000
  - Continue work to incorporate data into prekindergarten - 12 struggling schools, \$200,000
- Develop an online early childhood component to the currently existing NYS Reading Academy that is focused upon instructional programming prekindergarten – grade 4, \$500,000

- Parent Assessment Tool for Quality
  - Field test tool with parents of newly enrolled prekindergarten students in 2 big cities and 10 struggling schools, \$300,000
  - Develop an evaluation study to assess impact of parent tool, \$200,000

Year III, \$706,000

- World Class Prekindergarten Standards
  - Conduct statewide technical assistance meetings on implementation of standards and curriculum frameworks, \$200,000
- Building an assessment protocol and data system
  - Implement statewide use by working with pilot sites, \$506,000
  - Conduct evaluation study of parent tool

Year IV, \$706,000

- World Class Prekindergarten Standards
  - Conduct statewide technical assistance meetings on implementation of standards and curriculum frameworks, \$200,000
- Building an assessment protocol and data system
  - Implement statewide use by working with pilot sites, \$506,000

## **7) Training Stipends**

## **8) Other**

## **9) Total Direct Costs**

- Project Year One      \$2,365K
- Project Year Two      \$2,818K
- Project Year Three    \$1,753K
- Project Year Four     \$2,517K

## **10) Indirect Costs**

Calculated using 14.60% as a percent of Personnel costs

## **11) Funding for Involved LEAs**

## **12) Supplemental Funding for Participating LEAs**

## **13) Total Costs**

- Project Year One      \$2,466K
- Project Year Two      \$2,922K
- Project Year Three    \$1,860K
- Project Year Four     \$2,702K

---

**Project ID:** I2

**Project Name:** Full Service Schools RFP

**Associated with Criteria:** Invitational Priority 6

(in \$K)

<b>Budget Categories</b>	<b>Project Year 1</b>	<b>Project Year 2</b>	<b>Project Year 3</b>	<b>Project Year 4</b>	<b>Total</b>
1. Personnel					
2. Fringe Benefits					
3. Travel					
4. Equipment					
5. Supplies					
6. Contractual	\$4,500	\$4,500	\$4,500	\$4,500	\$18,000
7. Training Stipends					
8. Other					
9. Total Direct Costs (lines 1-8)	\$4,500	\$4,500	\$4,500	\$4,500	\$18,000
10. Indirect Costs					
11. Funding for Involved LEAs					
12. Supplemental Funding for Participating LEAs					
13. Total Costs (lines 9-12)	\$4,500	\$4,500	\$4,500	\$4,500	\$18,000

**1) Personnel**

**2) Fringe Benefits**

**3) Travel**

**4) Equipment**

**5) Supplies**

**6) Contractual**

The project will competitively award, through RFP process, \$750,000 annually for four years to total twenty schools for a total of \$15,000,000. In addition to this per-school cost, the State budgets an overhead allowance of 20% (\$3,000,000). These contracts are budgeted to be awarded in equal amounts across the four grant years.

**7) Training Stipends**

**8) Other**

**9) Total Direct Costs**

- Each project year \$4.5MM
- Total \$18MM

**10) Indirect Costs**

**11) Funding for Involved LEAs**

**12) Supplemental Funding for Participating LEAs**

**13) Total Costs:**

- Each project year \$4.5MM
- Total \$18MM

### Budget: Indirect Cost Information

To request reimbursement for indirect costs, please answer the following questions:

<p>Does the State have an Indirect Cost Rate Agreement approved by the Federal government?</p> <p>YES     <input checked="" type="radio"/></p> <p>NO      <input type="radio"/></p> <p>If yes to question 1, please provide the following information:</p> <p>Period Covered by the Indirect Cost Rate Agreement (mm/dd/yyyy):</p> <p>From: <u>04 / 01 / 2008</u>                      To: <u>03 / 31 / 2009*</u></p> <p>Approving Federal agency: <u> X ED ___ Other</u></p> <p><i>(Please specify agency):</i> _____</p> <p>*Using prior year rate in absence of current year approved rate per guidance from bidders conference</p>
--

Directions for this form:

1. Indicate whether or not the State has an Indirect Cost Rate Agreement that was approved by the Federal government.
2. If “No” is checked, ED generally will authorize grantees to use a temporary rate of 10 percent of budgeted salaries and wages subject to the following limitations:
  - (a) The grantee must submit an indirect cost proposal to its cognizant agency within 90 days after ED issues a grant award notification; and
  - (b) If after the 90-day period, the grantee has not submitted an indirect cost proposal to its cognizant agency, the grantee may not charge its grant for indirect costs until it has negotiated an indirect cost rate agreement with its cognizant agency.

If “Yes” is checked, indicate the beginning and ending dates covered by the Indirect Cost Rate Agreement. In addition, indicate whether ED, another Federal agency (Other) issued the approved agreement. If “Other” was checked, specify the name of the agency that issued the approved agreement.