School Improvement Grant (SIG) RFP# TA-13 (2013)

(SIG Cohort 4, Round 2 (SIG 4.2))

Informational Webinar

May 2013

Webinar Agenda

- **Introduction**
  - Eligibility & Options
- **Application Overview**
- **Proposal Narrative**
  - *Turnaround, Restart and Transformation*
    - District-level Plan, School-Level Plan, and Budget
    - Required Elements
    - Scoring
  - *Closure*
    - Closure Plan
    - Required Elements
    - Scoring
- **Wrap-up & Next Steps**

Introduction - *Priority Schools*

- Among the lowest performing schools in the state based on combined ELA/math performance, or significantly low graduation rates

  - List of Priority Schools – http://www.p12.nysed.gov/accountability/ESEADesignations.html

- These schools must, no later than the 2014-2015 school year, implement a whole-school reform model that fully incorporates federal requirements for school turnaround

  - ESEA Waiver – http://usny.nysed.gov/docs/10-things-to-know-about-the-esea-waiver.pdf

Introduction - *Options*

Options	Funding	Features
SIG plan	Competitive	**Implement 1 of 4 models** •**Turnaround** •**Restart** •**Transformation** •**Closure**
SIF plan	Competitive	-**Whole-school redesign** -**Themed frameworks**
SURR/SCEP plan	LEA-Funded	**Whole-school plan based on seven (7) USED turnaround principles**

Introduction - *Eligibility*

- School Improvement Grant (SIG) Eligibility:

  - LEAs with Priority Schools **not** currently receiving (or previously received) a 1003(g) School Improvement Grant (SIG)

  - LEAs with Priority Schools **not** currently receiving a School Innovation Fund (SIF) Grant

Application Overview

- *Competitive* grant for eligible Priority Schools

- RFP can be found at http://usny.nysed.gov/rttt/rfp/ta-13/home.html

- An LEA must demonstrate by September 1, 2013 that it has fully implemented an approved APPR.

- LEAs must maintain a demonstration of full implementation of an approved APPR plan throughout the entire three-year grant period.

- Electronic filing using *Review Room*
  http://nysed-schoolturnaround.myreviewroom.com/

Application Overview *(cont.)*

- Two "pathways" to follow within the SIG application
  - *Turnaround, Transformation and Restart*
    - Three-year grant
    - District *and* school plan required for each Priority School proposing one of these models
  - *Closure*
    - One-year grant
    - District plan *only* for each Priority School proposing this model

Application Overview *(cont.)*

- Letters of Intent are requested, and should be submitted through *Review Room* by May 31, 2013

- Applications must be successfully uploaded through *Review Room* by 3:00 p.m. on June 7, 2013

- A complete original hardcopy (plus one copy) must be postmarked by June 7, 2013

Application Overview *(cont.)*

- **SIG Application Questions**
  - ❑ Received by May 10, 2013
  - ❑ SIGAPP2013@mail.nysed.gov

- **Questions and Answers**
  - ❑ Posted by May 24, 2013
  - ❑ http://usny.nysed.gov/rttt/rfp/ta-13/home.html

  - ❑ Review Room Questions

  - ❑ Technical assistance for electronic submission
  - ❑ Tracy Farrell at tfarrell@mail.nysed.gov

Federal Intervention Models

- ## Turnaround
  - Replace the principal and at least half the staff as part of the process of redesigning the school

- ## Restart
  - Convert the school to a charter school or replace a public school with a new charter school; or, enter into contract with EPO to manage school

- ## Transformation
  - Similar to the turnaround model with the replacement of the principal, but without the requirement to replace at least half the staff. (the *Rule of 9* applies for these models)

- ## Federal Guidance –
  http://www2.ed.gov/programs/sif/sigguidance03012012.doc

Proposal Narrative – *Turnaround, Restart, and Transformation Models*

- **Major Sections**
  - District-Level Plan
  - School-level Plan
  - Budget and Budget Narrative
- **General Points of Emphasis for Proposal Development**
  - Specificity and information-rich description
  - Clear evidence of capacity to implement:
    - Board policies and/or procedures
    - Contractual provisions
    - Clearly articulated labor-management agreements
  - Clear evidence of school and community input in the plan

Proposal Narrative

- *Turnaround, Restart and Transformation Models*

- **District-level Plan** *(20 Points)*
  - District Overview
  - Operational Autonomies
  - District Accountability and Support
  - Teacher and Leader Pipeline
  - External Partner Recruitment, Screening and Matching
  - Enrollment and Retention Policies, Practices and Strategies
  - District-level Labor-Management Collaboration

Proposal Narrative –
Turnaround, Restart and Transformation Models

- **School-level Plan** *(60 points)*
  - Executive Summary
  - Needs Assessment
  - School Model Selection
  - Leadership
  - Instructional Staff
  - Partnerships
  - Organizational Plan
  - Educational Plan
  - Training, Support, and PD
  - Stakeholder Involvement and Communication

Changes Between SIG 4.1 and SIG 4.2

- No Pre-implementation Period

- Specific school leaders must be Identified upon application

- LEA/schools should demonstrate actions taken during these pre-implementation periods to ensure capacity and readiness to implement

Budget and Budget Narrative (20 points)

- **Budget Narrative:**

  - Clear explanation/ justification of costs

  - Specific identification of _all_ funding sources

  - Strategies for sustainability

- **Budget Forms:**

  - Year One Implementation Period FS-10

  - Budget Summary Chart (3-year period)

Additional Budget Guidance

- Budgeted items must be closely connected to organizational and pedagogical needs and purposes identified in the plan.

- Be thoughtful about budget requests for "supplies and materials" (e.g., technology, office supplies, etc.)

Proposal Narrative

- Turnaround, Restart and Transformation Models

- Scoring of application based on 100 possible points
  - School-level plan (60 points)
  - District-level plan (20 points)
  - District/school budget and narrative (20 points)

- 65-point threshold for award consideration

- Scoring rubric

Proposal Narrative - *Turnaround, Restart and Transformation Models*

- **Required Elements**
  - Application Cover Page
  - Project Narrative - District-level and School-level plans
  - Attachments - A, B, C and D - Completed, submitted and signed (if required)
  - Budget Narrative and FS-10s
- **Refer to Submission Checklist**

- **Applications missing required elements will be rejected**

Proposal Narrative - *Closure*

- ## Closure Model
  - Close the school and enroll the students who attended the school in higher achieving schools within the LEA. School closure and the transfer of students in this model occurs in one year or less.

- ## Time frame
  - **one year** of implementation

Proposal Narrative - *Closure*

- Proposal Narrative for *Closure* requires applicant to address the following:

  - District Organizational Capacity
  - Assessing the Needs of the School
  - School Overview, Model Selection, and Rationale
  - Communication, Collaboration and Engagement
  - School Choice and Student Transfers
  - Project Plan Narrative/Timeline

Proposal Narrative - *Closure*

- Scoring of application based on 100 possible points
  - Capacity, Assessment, Rationale, Collaboration and Choice (60 points)
  - Closure plan (20 points)
  - Budget and Narrative (20 points)
- Applications reviewed, averaged and ranked
- 65-point threshold for award consideration

Proposal Narrative - *Closure*

- **Required Elements**
  - Application Cover Page
  - Project Narrative - District-level plan/budget
  - Attachment A and B - Completed, submitted and signed (if required)
  - Budget Narrative and FS-10s
  - Assurances for Federal/Discretionary Funds

- **Refer to Submission Checklist**

- **Missing items will nullify application**

Wrap-up and Next Steps...

- Email SIG RFP# TA-13 questions to SIGAPP2013@mail.nysed.gov by May 10, 2013

- Questions and Answers will be posted at http://usny.nysed.gov/rttt/rfp on or before May 24, 2013.

- Submit Letters of Intent through the *Review Room* portal by May 31, 2013.

Wrap-up and Next Steps...

- Submit *Review Room* questions to Tracy Farrell
  - tfarrell@mail.nysed.gov

- Submit applications through the *Review Room* portal by 3:00 p.m. June 7, 2013.

- Notification Letters will be sent to districts in early August 2013.

- Implementation Period begins September 1, 2013.