



New York State Race to the Top Plan

**PARTICIPATING LEA FINAL SCOPE OF WORK – TEACHER AND PRINCIPAL EVALUATION
SYSTEM IMPLEMENTATION CERTIFICATIONS FOR SUBMISSION ON OR AFTER
JULY 1, 2011**

INTRODUCTION

School Districts

Because LEA activities under Section D of the State's RTTT plan (*Great Teachers and Leaders*) are tied to implementation of the new evaluation law, NYSED requires that at least 25% of the four-year LEA allocation must be spent for this purpose. School districts must begin implementation of the law's provisions in order to qualify for the release of that portion of RTTT funding. Accordingly, before any RTTT monies may be spent for purposes of implementing the teacher and principal evaluation system, the school district and any teacher and principal collective bargaining agents must certify to the Department that their contracts comply with the provisions of Education Law §3012-c and Commissioner's regulations. If any teachers and/or principals in a school district are not represented by a collective bargaining agent, the school district must certify that it will evaluate those teachers and principals in accordance with all applicable provisions of Education Law §3012-c and Commissioner's regulations. Once a school district provides such certifications (as applicable), the Section D apportionment will be available to the school district to spend on implementation activities.

Public Charter Schools

Although public charter schools are not legally required to implement Education Law §3012-c, for purposes of participation in the State's RTTT plan and receiving funds to implement Section D activities, charter schools must evaluate all classroom teachers and building principals using a comprehensive annual evaluation system that is consistent with the following elements of Education Law §3012-c: (1) is based on multiple measures of effectiveness, including 40% student achievement measures, which would result in a single composite effectiveness score for every teacher and principal; (2) differentiates effectiveness for teachers and principals using the following four rating categories: Highly Effective, Effective, Developing, and Ineffective; and use such annual evaluations as a significant factor for employment decisions including promotion, retention, supplemental compensation, and professional development; and (3) provides for the development and implementation of improvement plans for teachers or principals rated Developing or Ineffective. If a public charter school's teachers and/or principals are represented by a collective bargaining agent, such charter school must certify that any contracts comply with the relevant provisions of Education Law §3012-c as stated above before the Section D apportionment will be available to spend on implementation activities. If a public charter school's teachers and/or principals are not represented by a collective bargaining agent, such charter school must certify that it has established a teacher and principal evaluation system that is consistent with the three elements of Education Law §3012-c described above.

INSTRUCTIONS

Please review the six scenarios below, select the scenario(s) that accurately describes your LEA's situation (one or more may be relevant) by checking the box, print out the form, have the appropriate leaders sign and date; then send the form with **original signatures** to:

RTTT Evaluation Law Certification
RTTT Performance Management Office
Room 375 EBA
New York State Education Department
89 Washington Ave.
Albany, NY 12234

This completed certification can be filed at any time between 7/01/11 and 06/30/13.

SCHOOL DISTRICTS WITH COLLECTIVE BARGAINING AGREEMENTS

By signing this document, the school district and its collective bargaining agent(s) hereby certify that all new and/or existing collective bargaining agreements for teachers and principals are consistent with and/or have been amended and/or modified as necessary to require that all classroom teachers and building principals will be evaluated in accordance with the provisions of Education Law §3012-c and Commissioner's regulations.

DISTRICT NAME: _____

LEA Superintendent

Local Teachers Union Leader

Local Principals Union Leader

Signature

Signature

Signature

Print Name

Print Name

Print Name

/ /

/ /

/ /

Date

Date

Date

SCHOOL DISTRICTS WITH SOME OR ALL TEACHERS/PRINCIPALS NOT REPRESENTED

By signing this document, the school district hereby certifies that, to the extent any classroom teachers and/or building principals are not represented by collective bargaining agent(s), the school district will evaluate those teachers and principals using a comprehensive annual evaluation system that is consistent with all applicable provisions of Education Law §3012-c and Commissioner's regulations.

DISTRICT NAME: _____

LEA Superintendent

Signature

Print Name

/ /

Date

TRANSFORMATION MODEL SCHOOLS IN DISTRICTS WITH COLLECTIVE BARGAINING AGREEMENTS

By signing this document, the school district and its collective bargaining agent(s) hereby certify that all new and/or existing collective bargaining agreements for classroom teachers and building principals assigned to schools in which the district will implement an RTTT Transformation Model are consistent with and/or have been amended and/or modified as necessary to require that all classroom teachers and building principals in such schools will be evaluated in accordance with the provisions of Education Law §3012-c and Commissioner's regulations.

DISTRICT NAME: _____

LEA Superintendent

Local Teachers Union Leader

Local Principals Union Leader

Signature

Signature

Signature

Print Name

Print Name

Print Name

/ /

/ /

/ /

Date

Date

Date

TRANSFORMATION MODEL SCHOOLS IN DISTRICTS WITH SOME OR ALL TEACHERS/ PRINCIPALS NOT REPRESENTED

By signing this document, the school district hereby certifies that, to the extent any classroom teachers and/or building principals assigned to schools in which the district will implement an RTTT Transformation Model are not represented by collective bargaining agent(s), the school district will evaluate those teachers and principals using a comprehensive annual evaluation system that is consistent with all applicable elements of Education Law §3012-c and Commissioner's regulations.

DISTRICT NAME: _____

LEA Superintendent

Signature

Print Name

/ /

Date

PUBLIC CHARTER SCHOOLS WITH NO COLLECTIVE BARGAINING AGREEMENT

By signing this document, the participating public charter school hereby certifies that all classroom teachers and building principals will be evaluated using a comprehensive annual evaluation system that is consistent with the following elements of Education Law §3012-c: (1) is based on multiple measures of effectiveness, including 40 % achievement measures, which would result in a single composite effectiveness score for every teacher and principal; (2) differentiates effectiveness for teachers and principals using the following four rating categories: Highly Effective, Effective, Developing, and Ineffective; and uses such annual evaluations as a significant factor for employment decisions including promotion, retention, supplemental compensation, and professional development; and (3) provides for the development and implementation of improvement plans for teachers or principals rated Developing or Ineffective.

Public Charter School Name: _____

Public Charter School Governing Board Chair

Signature

Print Name

/ /

Date

PUBLIC CHARTER SCHOOL WITH COLLECTIVE BARGAINING AGREEMENT

By signing this document, the public charter school and its collective bargaining agent(s) hereby certify that all new and/or existing collective bargaining agreements for teachers and principals are consistent with and/or have been amended and/or modified as necessary to require that all classroom teachers and building principals will be evaluated using a comprehensive annual evaluation system that is consistent with the following elements of Education Law §3012-c: (1) is based on multiple measures of effectiveness, including 40% student achievement measures, which would result in a single composite effectiveness score for every teacher and principal; (2) differentiates effectiveness for teachers and principals using the following four rating categories: Highly Effective, Effective, Developing, and Ineffective; and uses such annual evaluations as a significant factor for employment decisions including promotion, retention, supplemental compensation, and professional development; and (3) provides for the development and implementation of improvement plans for teachers or principals rated Developing or Ineffective.

Public Charter School Name: _____

Public Charter School Governing Board Chair

Local Union Leader

Signature

Signature

Print Name

Print Name

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Date

Date