



THE STATE EDUCATION DEPARTMENT / THE UNIVERSITY OF THE STATE OF NEW YORK / ALBANY, NY 12234

COMMISSIONER OF EDUCATION  
PRESIDENT OF THE UNIVERSITY OF THE STATE OF NEW YORK

August 30, 2012

Dr. Paul Kreutzer, Superintendent  
Katonah-Lewisboro Union Free School District  
P.O. Box 387  
Katonah, NY 10536

Dear Superintendent Kreutzer:

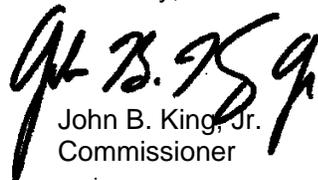
Congratulations. I am pleased to inform you that your Annual Professional Performance Review Plan (APPR) meets the criteria outlined in Education Law §3012-c and Subpart 30-2 of the Commissioner's Regulations and has been approved for the 2012-2013 school year. As a reminder, we are relying on the certification and assurances that are part of your approved APPR. If any material changes are made to your approved APPR plan, your district/BOCES must submit such material changes to us for approval.

Pursuant to Education Law §3012-c and Subpart 30-2, the Department will continue to work with districts to help ensure compliance with the statute and the regulations. We will be analyzing data supplied by districts, BOCES, and/or schools and may ask for a corrective action plan if there are unacceptably low correlation results between the student growth subcomponent and any other measures of teacher and principal effectiveness and/or if the teacher or principal scores or ratings show little differentiation across educators and/or the lack of differentiation is not justified by equivalently consistent student achievement results. Please be advised that, if any provisions of your APPR plan violate the statute or the regulations, the Department reserves the right to require your district to correct and/or resolve such violations.

The Department looks forward to continuing our work together, with the goal of ensuring that every school has world-class educators in the classroom, every teacher has a world-class principal to support his or her professional growth, and every student achieves college and career readiness.

Thank you again for your hard work.

Sincerely,

  
John B. King, Jr.  
Commissioner

c: James T. Langlois

NOTE: If your district/BOCES has provided for value-added measures (15 points vs. 20 points scale and categorization of your district/BOCES's grade configurations) in your APPR and no value-added measures are approved by the Board of Regents for a grade/subject and/or grade configuration for the 2012-13 school year, your district/BOCES will be required to revise and resubmit its APPR accordingly. Conversely, if your district/BOCES has not provided for value-added measures in your district/BOCES's APPR submission and value-added measures are approved for the 2012-13 school year, your district/BOCES will be required to revise and resubmit its APPR accordingly.

# Annual Professional Performance Reviews: 2012-13

Created Tuesday, May 08, 2012

Updated Tuesday, August 28, 2012

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## 1

### Disclaimers

The Department will review the contents of each school district's or BOCES' APPR plan as submitted using this online form, including required attachments, to determine if the plan rigorously complies with Education Law section 3012-c and subpart 30-2 of the Rules of the Board of Regents. Department approval does not imply endorsement of specific educational approaches in a district's or BOCES' plan.

The Department will not review any attachments other than those required in the online form. Any additional attachments supplied by the school district or BOCES are for informational purposes only for the teachers and principals reviewed under this APPR plan. Statements and/or materials in such additional attachments have not been approved and/or endorsed by the Department. However, the Department reserves the right to request further information from the school district or BOCES, as necessary, as part of its review.

If the Department reasonably believes through investigation or otherwise that statements made in this APPR plan are not true or accurate, it reserves the right to reject this plan at any time and/or to request additional information to determine the truth and/or accuracy of such statements.

### 1. SCHOOL DISTRICT INFORMATION

#### 1.1) School District's BEDS Number : 660101030000

If this is not your BEDS Number, please enter the correct one below

*660101030000*

#### 1.2) School District Name: KATONAH-LEWISBORO UFSD

If this is not your school district, please enter the correct one below

*KATONAH-LEWISBORO UFSD*

#### 1.3) School Improvement Grant (SIG) Districts Only

SIG districts only: Indicate whether this APPR plan is for SIG schools only or for the entire district. Other districts and BOCES, please skip this question.

*(No response)*

#### 1.4) Award Classification

Please check if the district has applied for and/or has been awarded any of the following (if applicable):

- 
- Governor's Management Efficiency Grant
-

## 1.5) Assurances

Please check all of the boxes below:

1.5) Assurances   Assure that the content of this form represents the district/BOCES' entire APPR plan and that the APPR plan is in compliance with Education Law §3012-c and Subpart 30-2 of the Rules of the Board of Regents	Checked
1.5) Assurances   Assure that this APPR plan will be posted on the district or BOCES website by September 10, or within 10 days after approval, whichever is later	Checked
1.5) Assurances   Assure that it is understood that this district/BOCES' APPR plan will be posted in its entirety on the NYSED website following approval	Checked

## 1.6) Is this a first-time submission, a re-submission, or a submission of material changes to an approved APPR plan?

*Re-submission to address deficiencies*

## 1.7) Is this submission for an annual or multi-year plan?

*If the plan is multi-year, please write the years that are included.*

*Annual (2012-13)*

## 2. Growth on State Assessments or Comparable Measures (Teachers)

Created Tuesday, May 08, 2012  
Updated Friday, August 10, 2012

### Page 1

#### STATE-PROVIDED MEASURES OF STUDENT GROWTH

(25 points with an approved value-added measure)

For teachers in grades 4 - 8 Common Branch, ELA, and Math, NYSED will provide a value-added growth score. That score will incorporate students' academic history compared to similarly academically achieving students and will use special considerations for students with disabilities, English language learners, students in poverty, and, in the future, any other student-, classroom-, and school-level characteristics approved by the Board of Regents. NYSED will also provide a HEDI subcomponent rating category and score from 0 to 25 points.

While most teachers of 4-8 Common Branch, ELA and Math will have state-provided measures, some may teach other courses in addition where there is no state-provided measure. Teachers with 50 – 100% of students covered by State-provided growth measures will receive a growth score from the State for the full Growth subcomponent score of their evaluation. Teachers with 0 – 49% of students covered by State-provided growth measures must have SLOs for the Growth subcomponent of their evaluation and one SLO must use the State-provided measure if applicable for any courses. (See guidance for more detail on teachers with State-provided measures AND SLOs.)

Please note that if the Board of Regents does not approve a value-added measure for these grades/subjects for 2012-13, the State-provided growth measure will be used for 20 points in this subcomponent. NYSED will provide a HEDI subcomponent rating category and score from 0 to 20 points.

#### 2.1) Assurances

Please check the boxes below:

2.1) Assurances   Assure that the value-added growth score provided by NYSED will be used, where applicable.	Checked
2.1) Assurances   Assure that the State-provided growth measure will be used if a value-added measure has not been approved for 2012-13.	Checked

#### STUDENT LEARNING OBJECTIVES AS COMPARABLE GROWTH MEASURES (20 points)

Student Learning Objectives will be the other comparable growth measures for teachers in the following grades and subjects. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For core subjects: grades 6-8 Science and Social Studies, high school English Language Arts, Math, Science, and Social Studies courses associated in 2010-11 with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO:**

State assessments (or Regents or Regent equivalents), *required if one exists*  
*If no State assessment or Regents exam exists:*

District-determined assessments from list of State-approved 3rd party assessments; or

District, regional or BOCES-developed assessments provided that it is rigorous and comparable across classrooms

**For other grades/subjects: district-determined assessments from options below may be used as evidence of student learning within the SLO:**

State assessments, *required if one exists*

List of State-approved 3rd party assessments

District, regional, or BOCES-developed assessments provided that it is rigorous and comparable across classrooms

School- or BOCES-wide, group or team results based on State assessments

**Please note:** If your district or BOCES does not have grade/subject-specific teachers for one or more of the rows in questions 2.2 through 2.9, choose "Not applicable" from the drop-down box and type N/A in the assessment box. This would be appropriate if, for example, common branch teachers also teach 6th grade science and/or social studies and therefore would have State-provided growth measures, not SLOs; the district or BOCES does not have certain grades; the district does not offer a specific subject; etc.

Districts or BOCES that intend to use a district, regional, or BOCES-developed assessment must include the name, grade, and subject of the assessment. For example, a regionally-developed 7th grade Social Studies assessment would be written as follows: [INSERT SPECIFIC NAME OF REGION]-developed 7th grade Social Studies assessment.

## 2.2) Grades K-3 ELA

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. State assessments must be used where applicable.

	ELA	Assessment
K	School-or BOCES-wide, group or team results based on State assessments	Grade 3-5 ELA
1	School-or BOCES-wide, group or team results based on State assessments	Grade 3-5 ELA
2	School-or BOCES-wide, group or team results based on State assessments	Grade 3-5 ELA

	ELA	Assessment
3	State assessment	3rd Grade State Assessment

For K-3 ELA: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Points were allocated based upon review of prior data.
Highly Effective (18 - 20 points) Results are well-above state average for similar students (or District goals if no state test).	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18:85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet state average for similar students (or District goals if no state test).	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target

15:81% of students meet or exceed target  
 14:80% of students meet or exceed target  
 13:79% of students meet or exceed target  
 12:78% of students meet or exceed target  
 11:77% of students meet or exceed target  
 10:76% of students meet or exceed target  
 9:75% of students meet or exceed target

Developing (3 - 8 points) Results are below state average for similar students (or District goals if no state test).

8:73-74% of students meet or exceed target  
 7:70-72% of students meet or exceed target  
 6:67-69% of students meet or exceed target  
 5:64-66% of students meet or exceed target  
 4:62-63% of students meet or exceed target  
 3:60-61% of students meet or exceed target

Ineffective (0 - 2 points) Results are well-below state average for similar students (or District goals if no state test).

2:55-59% of students meet or exceed target  
 1:50-54% of students meet or exceed target  
 0:0-49% of students meet or exceed target

### 2.3) Grades K-3 Math

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. State assessments must be used where applicable.

	Math	Assessment
K	School-or BOCES-wide, group or team results based on State assessments	Grade 3-5 Math
1	School-or BOCES-wide, group or team results based on State assessments	Grade 3-5 Math
2	School-or BOCES-wide, group or team results based on State assessments	Grade 3-5 Math

	Math	Assessment
3	State assessment	3rd Grade State Assessment

For Grades K-3 Math: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.

Points were allocated based upon review of prior data.

Highly Effective (18 - 20 points) Results are well-above state average for similar students (or District goals if no state test).

20:95-100% of students meet or exceed target  
 19:90-94% of students meet or exceed target  
 18:85-89% of students meet or exceed target

Effective (9 - 17 points) Results meet state average for similar students (or District goals if no state test).

17:83-84% of students meet or exceed target  
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## 2.4) Grades 6-8 Science

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. State assessments must be used where available.

	Science	Assessment
6	District, regional or BOCES-developed assessment	K-L Grade 6 Science Assessment
7	District, regional or BOCES-developed assessment	K-L Grade 7 Science Assessment
	Science	Assessment
8	State assessment	8th Grade State Science Assessment

For Grades 6-8 Science: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Points were allocated based upon review of prior data.
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## 2.5) Grades 6-8 Social Studies

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. State assessments must be used where available.

	Social Studies	Assessment
6	District, regional or BOCES-developed assessment	K-L Grade 6 Social Studies Assessment
7	District, regional or BOCES-developed assessment	K-L Grade 7 Social Studies Assessment
8	District, regional or BOCES-developed assessment	K-L Grade 8 Social Studies Assessment

For Grades 6-8 Social Studies: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Points were allocated based upon review of prior data.
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## 2.6) High School Social Studies Regents Courses

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. Regents assessments must be used where available.

Note: Additional high school social studies courses may be listed below in the "All Other Courses" section of this form.

		Assessment
Global 1	School-/BOCES-wide group/team results based on State assessments	Global Regents

	Social Studies Regents Courses	Assessment
Global 2	Regents assessment	Regents assessment
American History	Regents assessment	Regents assessment

For High School Social Studies Regents Courses: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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## 2.7) High School Science Regents Courses

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. Regents assessments must be used where available.

Note: Additional high school science courses may be listed below in the "All Other Courses" section of this form.

	Science Regents Courses	Assessment
Living Environment	Not applicable	Not applicable
Earth Science	Regents Assessment	Regents assessment
Chemistry	Not applicable	Not applicable
Physics	Not applicable	Not applicable

For High School Science Regents Courses: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances

in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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## 2.8) High School Math Regents Courses

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. Regents assessment must be used where available.

Note: Additional high school math courses may be listed below in the "All Other Courses" section of this form.

	Math Regents Courses	Assessment
Algebra 1	Regents assessment	Regents assessment
Geometry	Not applicable	Not applicable
Algebra 2	Not applicable	Not applicable

For High School Math Regents Courses: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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## 2.9) High School English Language Arts

Using the drop-down boxes below, please first select the assessment that will be used for SLOs for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment. Regents assessment must be used where available. Be sure to select the English Regents assessment in at least one grade in Task 2.9 (9, 10, and/or 11).

Note: Additional high school English courses may be listed below in the "All Other Courses" section of this form.

	High School English Courses	Assessment
Grade 9 ELA	School-/BOCES-wide group/team results based on State assessments	NYS Comprehensive Examination in English
Grade 10 ELA	Regents assessment	NYS Comprehensive Examination in English
Grade 11 ELA	School-/BOCES-wide group/team results based on State assessments	NYS Comprehensive Examination in English

For High School English Language Arts: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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## 2.10) All Other Courses

Fill in, as applicable, for all other teachers in additional grades/subjects that have Student Learning Objectives. If you need additional space, duplicate this form and upload (below) as an attachment to your APPR plan. You may combine into one line any groups of teachers for whom the answers in the boxes are the same including, for example, "all other teachers not named above" .

Course(s) or Subject(s)	Option	Assessment
ESL	State Assessment	NYSESLAT
Special Class Teachers	State Assessment	NYS Alternate Assessment
Elementary Math RTI	School/BOCES-wide/group/team results based on State	Math 3-5
All other elementary teachers	School/BOCES-wide/group/team results based on State	ELA 3-5
MS Library, Reading, Speech and Language, World Language, ELA AIS	School/BOCES-wide/group/team results based on State	ELA 6-8
MS Math AIS	School/BOCES-wide/group/team results based on State	Math 7-8
MS Math RTI	School/BOCES-wide/group/team results based on State	Grade 6 Math
All other middle school teachers	School/BOCES-wide/group/team results based on State	ELA 6-8
All other high school teachers	School/BOCES-wide/group/team results based on State	NYS Comprehensive Examination in English

For all other courses, as applicable: describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to teachers based on SLO results consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 2.11, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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Highly Effective (18 - 20 points) Results are well-above District goals for similar students.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18: 85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District goals for similar students.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District goals for similar students.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3: 60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well-below District goals for similar students.	2:55-59% of students meet or exceed target 1: 50-54% of students meet or exceed target 0: 0-49% of students meet or exceed target

If you need additional space, upload a copy of "Form 2.10: All Other Courses" as an attachment for review. Click here for a downloadable copy of Form 2.10. (MS Word)

*(No response)*

## 2.11) HEDI Tables or Graphics

For questions 2.2 through 2.10 above, if you are using tables or other graphics to explain your general process for assigning HEDI categories, please combine all such tables or graphics into a single file, labeling each so it is clear which grades/subjects it applies to, and upload that file here.

*(No response)*

## 2.12) Locally Developed Controls

Describe any adjustments, controls, or other special considerations that will be used in setting targets for Comparable Growth Measures, the rationale for including such factors, and the processes that will be used to mitigate potentially problematic incentives associated with the controls or adjustments.

Note: The only allowable controls or adjustments for Comparable Growth Measures are those used in State Growth measures, which include: student prior academic history, students with disabilities, English language learners, students in poverty, and, in the future, any other student-, classroom-, and school-level characteristics approved by the Board of Regents.

*N/A*

## 2.13) Teachers with more than one growth measure

If educators have more than one state-provided growth or value-added measure, those measures will be combined into one HEDI rating and score for the growth subcomponent according to a formula determined by the Commissioner. (Examples: Common branch teacher with state-provided value-added measures for both ELA and Math in 4th grades; Middle school math teacher with both 7th and 8th

grade math courses.)

If educators have more than one SLO for comparable growth (or a State-provided growth measure and an SLO for comparable growth), the measures will each earn a score from 0-20 points which Districts must weight proportionately based on the number of students in each SLO.

## 2.14) Assurances

Please check all of the boxes below:

2.14) Assurances   Assure the application of locally developed controls will be rigorous, fair, and transparent and only those used for State Growth will be used for Comparable Growth Measures.	Checked
2.14) Assurances   Assure that use of locally developed controls will not have a disparate impact on underrepresented students in accordance with applicable civil rights laws.	Checked
2.14) Assurances   Assure that enrolled students in accordance with teacher of record policies are included and may not be excluded.	Checked
2.14) Assurances   Assure that procedures for ensuring data accuracy and integrity are being utilized.	Checked
2.14) Assurances   Assure that district will develop SLOs according to the rules established by SED (see: <a href="http://usny.nysed.gov/rttt/teachers-leaders/slo/home.html">http://usny.nysed.gov/rttt/teachers-leaders/slo/home.html</a> ).	Checked
2.14) Assurances   Assure that past academic performance and/or baseline academic data of students will be taken into account when developing an SLO.	Checked
2.14) Assurances   Assure that the process for assigning points for SLOs for the Growth Subcomponent will use the narrative HEDI descriptions described in the regulations to effectively differentiate educators in ways that improve student learning and instruction.	Checked
2.14) Assurances   Assure that it is possible for an educator to earn each point, including 0, for SLOs in the Growth subcomponent scoring range.	Checked
2.14) Assurances   Assure that processes are in place to monitor SLOs to ensure rigor and comparability across classrooms.	Checked

### 3. Local Measures (Teachers)

Created Tuesday, May 08, 2012

Updated Friday, August 10, 2012

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#### Page 1

#### Locally Selected Measures of Student Achievement or Growth

"Comparable across classrooms" means that the same locally-selected measures of student achievement or growth must be used across all classrooms in the same grade/subject in the district or BOCES.

Please note: If your district or BOCES does not have grade/subject-specific teachers for one or more of the rows in questions 3.1 through 3.11, choose "Not applicable" from the drop-down box and type N/A in the assessment box. This would be appropriate if, for example, the district does not have certain grades, the district does not offer a specific subject, etc.

Locally selected measures for common branch teachers: This form calls for locally selected measures in both ELA and math in grades typically served by common branch teachers. Districts may select local measures for common branch teachers that involve subjects other than ELA and math. Whatever local measure is selected for common branch teachers, please enter it under ELA and/or math and describe the assessment used, including the subject. Use N/A for other lines in that grade level that are served by common branch teachers. Describe the HEDI criteria for the measure in the same section where you identified the locally selected measure and assessment.

.Please note: Only one locally-selected measure is required for teachers in the same grade/subject across the district, but some districts may prefer to have more than one measure for all teachers within a grade/subject. Also note: Districts may use more than one locally-selected measure for **different** groups of teachers **within a grade/subject** if the district/BOCES verifies comparability based on Standards of Educational and Psychological Testing. This APPR form only provides space for one measure for teachers in the same grade/subject across the district. Therefore, if more than one locally-selected measure is used for all teachers in any grades or subject, districts must complete additional copies of this form and upload as attachments for review.

Districts or BOCES that intend to use a district, regional, or BOCES-developed assessment must include the name, grade, and subject of the assessment. For example, a regionally-developed 7th grade Social Studies assessment would be written as follows: [INSERT SPECIFIC NAME OF REGION]-developed 7th grade Social Studies assessment.

#### LOCALLY SELECTED MEASURES OF STUDENT ACHIEVEMENT FOR TEACHERS IN GRADES FOR WHICH THERE IS AN APPROVED VALUE-ADDED MEASURE (15 points)

**Growth or achievement measure(s) from these options.**

*One or more of the following types of local measures of student growth or achievement may be used for the evaluation of teachers.*

*The options in the drop-down menus below are abbreviated from the following list:*

Measures based on:

1) The change in percentage of a teacher's students who achieve a specific level of performance as determined locally, on such assessments/examinations compared to those students' level of performance on such assessments/examinations in the previous school year (e.g., a three percentage point increase in students earning the proficient level (three) or better performance level on the 7th grade

math State assessment compared to those same students' performance levels on the 6th grade math State assessment, or an increase in the percentage of a teacher's students earning the advanced performance level (four) on the 4th grade ELA or math State assessments compared to those students' performance levels on the 3rd grade ELA or math State assessments)

- 2) Teacher specific growth score computed by the Department based on the percent of the teacher's students earning a State determined level of growth. The methodology to translate such growth into the State-established sub-component scoring ranges shall be determined locally
  
- 3) Teacher specific achievement or growth score computed in a manner determined locally based on a measure of student performance on the State assessments, Regents examinations and/or Department approved alternative examinations other than the measure described in subclause 1) or 2) of this clause
  
- 4) Student growth or achievement computed in a manner determined locally based on a State-approved 3rd party assessment
  
- 5) Student growth or achievement computed in a manner determined locally based on a district, regional or BOCES-developed assessment that is rigorous and comparable across classrooms
  
- 6) A school-wide measure of either student growth or achievement based on either:
  - (i) A State-provided student growth score covering all students in the school that took the State assessment in ELA or Math in Grades 4-8; or
  - (ii) A school-wide measure of student growth or achievement computed in a manner determined locally based on a State, State-approved 3rd party, or district, regional or BOCES developed assessment that is rigorous and comparable across classrooms.

### 3.1) Grades 4-8 ELA

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

	Locally-Selected Measure from List of Approved Measures	Assessment
4	6(ii) School wide measure computed locally	AIMSWeb K-5
5	6(ii) School wide measure computed locally	AIMSWeb K-5
6	6(ii) School wide measure computed locally	K-L Grade 6 ELA Assessment
7	6(ii) School wide measure computed locally	K-L Grade 7 ELA Assessment
8	6(ii) School wide measure computed locally	K-L Grade 8 ELA Assessment

For Grades 4-8 ELA: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.3, below.	Points were allocated based upon the relationship to the 20 point scale.
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Highly Effective (14 - 15 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	15: 92-100% of students meet or exceed target 14: 85-91% of students meet or exceed target
Effective (8- 13 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	13: 82-84% of students meet or exceed target 12:80-81% of students meet or exceed target 11:79% of students meet or exceed target 10:77-78% of students meet or exceed target 9:75-76% of students meet or exceed target 8:73-74% of students meet or exceed target
Developing (3 - 7 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3:60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1:50-54% of students meet or exceed target 0:0-49% of students meet or exceed target

### 3.2) Grades 4-8 Math

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

	Locally-Selected Measure from List of Approved Measures	Assessment
4	6(ii) School wide measure computed locally	AIMSWeb K-5
5	6(ii) School wide measure computed locally	AIMSWeb K-5
6	6(ii) School wide measure computed locally	K-L Grade 6 Math Assessment
7	6(ii) School wide measure computed locally	K-L Grade 7 Math Assessment
8	6(ii) School wide measure computed locally	K-L Grade 8 Math Assessment

For Grades 4-8 Math: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.3, below.	Points were allocated based upon the relationship to the 20 point scale.
Highly Effective (14 - 15 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	15: 92-100% of students meet or exceed target 14: 85-91% of students meet or exceed target
Effective (8- 13 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	13: 82-84% of students meet or exceed target 12:80-81% of students meet or exceed target 11:79% of students meet or exceed target 10:77-78% of students meet or exceed target 9:75-76% of students meet or exceed target 8:73-74% of students meet or exceed target

Developing (3 - 7 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3:60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1:50-54% of students meet or exceed target 0:0-49% of students meet or exceed target

### 3.3) HEDI Tables or Graphics

For questions 3.1 and 3.2 above, if you are using tables or other graphics to explain your general process for assigning HEDI categories, please combine all such tables or graphics into a single file, labeling each so it is clear which grades/subjects it applies to, and upload that file here.

*(No response)*

### LOCALLY SELECTED MEASURES OF STUDENT ACHIEVEMENT FOR ALL OTHER TEACHERS (20 points)

**Growth or achievement measure(s) from these options.**

*One or more of the following types of local measures of student growth or achievement may be used for the evaluation of teachers.*

*The options in the drop-down menus below are abbreviated from the following list:*

Measures based on:

1) The change in percentage of a teacher’s students who achieve a specific level of performance as determined locally, on such assessments/examinations compared to those students’ level of performance on such assessments/examinations in the previous school year (e.g., a three percentage point increase in students earning the proficient level (three) or better performance level on the 7th grade math State assessment compared to those same students’ performance levels on the 6th grade math State assessment, or an increase in the percentage of a teacher’s students earning the advanced performance level (four) on the 4th grade ELA or math State assessments compared to those students’ performance levels on the 3rd grade ELA or math State assessments)

2) Teacher specific growth score computed by the Department based on the percent of the teacher’s students earning a State determined level of growth. The methodology to translate such growth into the State-established sub-component scoring ranges shall be determined locally

3) Teacher specific achievement or growth score computed in a manner determined locally based on a measure of student performance on the State assessments, Regents examinations and/or Department approved alternative examinations other than the measure described in 1) or 2), above

4) Student growth or achievement computed in a manner determined locally based on a State-approved 3rd party assessment

5) Student growth or achievement computed in a manner determined locally based on a district, regional or BOCES-developed assessment that is rigorous and comparable across classrooms

6) A school-wide measure of either student growth or achievement based on either:

(i) A State-provided student growth score covering all students in the school that took the State assessment in ELA or Math in Grades 4-8; or

(ii) A school-wide measure of student growth or achievement computed in a manner determined locally based on a State, State-approved 3rd party, or district, regional or BOCES developed assessment that is rigorous and comparable across classrooms

7) Student Learning Objectives (only allowable for teachers in grades/subjects without a Value-Added measure for the State Growth subcomponent). Used with one of the following assessments: State, State-approved 3rd party, or a district, regional or BOCES-developed assessment that is rigorous and comparable across classrooms

### 3.4) Grades K-3 ELA

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

	Locally-Selected Measure from List of Approved Measures	Assessment
K	6(ii) School-wide measure computed locally	AIMSWeb K-5
1	6(ii) School-wide measure computed locally	AIMSWeb K-5
2	6(ii) School-wide measure computed locally	AIMSWeb K-5
3	6(ii) School-wide measure computed locally	AIMSWeb K-5

For Grades K-3 ELA: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
Highly Effective (18-20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18:85-89% of students meet or exceed target
Effective (9-17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3:60-61% of students meet or exceed target

Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1:50-54% of students meet or exceed target 0:0-49% of students meet or exceed target
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### 3.5) Grades K-3 Math

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

	Locally-Selected Measure from List of Approved Measures	Assessment
K	6(ii) School-wide measure computed locally	AIMSWeb K-5
1	6(ii) School-wide measure computed locally	AIMSWeb K-5
2	6(ii) School-wide measure computed locally	AIMSWeb K-5
3	6(ii) School-wide measure computed locally	AIMSWeb K-5

For Grades K-3 Math: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers to determine expected results and assign points accordingly
Highly Effective (18-20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18:85-89% of students meet or exceed target
Effective (9-17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District -or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3:60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1:50-54% of students meet or exceed target 0:0-49% of students meet or exceed target

### 3.6) Grades 6-8 Science

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

	Locally-Selected Measure from List of Approved Measures	Assessment
6	6(ii) School wide measure computed locally	8th Grade State Science Assessment
7	6(ii) School wide measure computed locally	8th Grade State Science Assessment
8	6(ii) School wide measure computed locally	8th Grade State Science Assessment

For Grades 6-8 Science: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers to determine expected results and assign points accordingly
Highly Effective (18 - 20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18:85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3:60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1:50-54% of students meet or exceed target 0:0-49% of students meet or exceed target

### 3.7) Grades 6-8 Social Studies

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

	Locally-Selected Measure from List of Approved Measures	Assessment
6	6(ii) School wide measure computed locally	NYS Grade 6 ELA
7	6(ii) School wide measure computed locally	NYS Grade 7 ELA
8	6(ii) School wide measure computed locally	NYS Grade 8 ELA

For Grades 6-8 Social Studies: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or*

assurances listed to the left of each box.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers to determine expected results and assign points accordingly
Highly Effective (18 - 20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18:85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3:60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1:50-54% of students meet or exceed target 0:0-49% of students meet or exceed target

### 3.8) High School Social Studies

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

Note: Additional high school social studies courses may be listed below in the "All Other Courses" section of this form.

	Locally-Selected Measure from List of Approved Measures	Assessment
Global 1	6(ii) School wide measure computed locally	NYS Comprehensive Examination in English
Global 2	6(ii) School wide measure computed locally	NYS Comprehensive Examination in English
American History	6(ii) School wide measure computed locally	NYS Comprehensive Examination in English

For High School Social Studies: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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Highly Effective (18 - 20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18: 85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3: 60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1: 50-54% of students meet or exceed target 0: 0-49% of students meet or exceed target

### 3.9) High School Science

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

Note: Additional high school science courses may be listed below in the "All Other Courses" section of this form.

	Locally-Selected Measure from List of Approved Measures	Assessment
Living Environment	Not applicable	not applicable
Earth Science	6(ii) School wide measure computed locally	K-L Earth Science Assessment
Chemistry	Not applicable	not applicable
Physics	Not applicable	not applicable

For High School Science: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
Highly Effective (18-20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18: 85-89% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target

grade/subject.	6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3: 60-61% of students meet or exceed target
Effective (9 - 17points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1: 50-54% of students meet or exceed target 0: 0-49% of students meet or exceed target

### 3.10) High School Math

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

Note: Additional high school math courses may be listed below in the "All Other Courses" section of this form.

	Locally-Selected Measure from List of Approved Measures	Assessment
Algebra 1	6(ii) School wide measure computed locally	K-L Algebra 1 Assessment
Geometry	Not applicable	not applicable
Algebra 2	Not applicable	not applicable

For High School Math: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
Highly Effective (18 - 20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18: 85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target

Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3: 60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1: 50-54% of students meet or exceed target 0: 0-49% of students meet or exceed target

### 3.11) High School English Language Arts

Using the drop-down boxes below, select the assessment that will be used for the locally-selected measure for the grade/subject listed. Then name the specific assessment, listing the full name of the assessment.

Note: Additional high school English courses may be listed below in the "All Other Courses" section of this form.

	Locally-Selected Measure from List of Approved Measures	Assessment
Grade 9 ELA	6(ii) School wide measure computed locally	American History Regents
Grade 10 ELA	6(ii) School wide measure computed locally	American History Regents
Grade 11 ELA	6(ii) School wide measure computed locally	American History Regents

For High School English Language Arts: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this subcomponent. If needed, you may upload a table or graphic at 3.13, below.	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
Highly Effective (18 - 20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18: 85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3: 60-61% of students meet or exceed target

Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.

2:55-59% of students meet or exceed target  
 1: 50-54% of students meet or exceed target  
 0: 0-49% of students meet or exceed target

### 3.12) All Other Courses

Fill in for additional grades/subjects, as applicable. If you need additional space, complete additional copies of this form and upload (below) as attachments.

Course(s) or Subject(s)	Locally-Selected Measure from List of Approved Measures	Assessment
Elementary ESL, Special Class Teachers	6(ii) School wide measure computed locally	ELA 3-5
MS ESL, Special Class Teachers	6(ii) School wide measure computed locally	ELA 6-8
HS ESL, Special Class Teachers	6(ii) School wide measure computed locally	NYS Comprehensive Examination in English
Elementary Math RTI	6(ii) School wide measure computed locally	AIMSWEB Math K-5
All other elementary teachers	6(ii) School wide measure computed locally	AIMSWEB ELA K-5
MS Library, Reading, Speech and Language	6(ii) School wide measure computed locally	AIMSWEB ELA Grade 6
MS Math AIS	6(ii) School wide measure computed locally	K-L Grade 8 Math Assessment
MS Math RTI	6(ii) School wide measure computed locally	AIMSWEB Math 6
MS World Language	6(ii) School wide measure computed locally	K-L World Language Assessment
MS ELA AIS	6(ii) School wide measure computed locally	K-L Grade 8 ELA Assessment
MS Unified Arts Teachers	6(ii) School wide measure computed locally	8th Grade State Science Assessment
All other middle school teachers	6(ii) School wide measure computed locally	Math 6-8
All other high school teachers	6(ii) School wide measure computed locally	American History Regents

For all additional courses, as applicable: describe the district-adopted expectations for the level of growth or achievement needed for a teacher to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a teacher to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the general process for assigning HEDI categories for these grades/subjects in this	Collaborate with teachers and use existing data to determine expected results and assign points accordingly
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subcomponent. If needed, you may upload a table or graphic at 3.13, below.	
Highly Effective (18 - 20 points) Results are well above District- or BOCES -adopted expectations for growth or achievement for grade/subject.	20:95-100% of students meet or exceed target 19:90-94% of students meet or exceed target 18: 85-89% of students meet or exceed target
Effective (9 - 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	17:83-84% of students meet or exceed target 16:82% of students meet or exceed target 15:81% of students meet or exceed target 14:80% of students meet or exceed target 13:79% of students meet or exceed target 12:78% of students meet or exceed target 11:77% of students meet or exceed target 10:76% of students meet or exceed target 9:75% of students meet or exceed target
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	8:73-74% of students meet or exceed target 7:70-72% of students meet or exceed target 6:67-69% of students meet or exceed target 5:64-66% of students meet or exceed target 4:62-63% of students meet or exceed target 3: 60-61% of students meet or exceed target
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	2:55-59% of students meet or exceed target 1: 50-54% of students meet or exceed target 0: 0-49% of students meet or exceed target

If you need additional space, upload a copy of "Form 3.12: All Other Courses" as an attachment for review. Click here for a downloadable copy of Form 3.12. (MS Word)

*(No response)*

### 3.13) HEDI Tables or Graphics

For questions 3.4 through 3.12 above, if you are using tables or other graphics to explain your general process for assigning HEDI categories, please combine all such tables or graphics into a single file, labeling each so it is clear which grades/subjects it applies to, and upload that file here.

*(No response)*

### 3.14) Locally Developed Controls

Describe any adjustments, controls, or other special considerations that will be used in setting targets for local measures, the rationale for including such factors, and the processes that will be used to mitigate potentially problematic incentives associated with the controls or adjustments.

*N/A*

### 3.15) Teachers with More Than One Locally Selected Measure

Describe the district's process for combining multiple locally selected measures, each scored from 0-15 or 0-20 points as applicable, into a single subcomponent HEDI category and score. Examples may include: 4th grade teacher with locally-selected measures for both ELA and Math; High School teacher with more than 1 SLO.

*For teachers with multiple locally-selected measures, the points will be allocated proportionately to their student rosters. The district will use a minimum of 51% of a teacher's case load to determine which data to apply.*

### 3.16) Assurances

Please check all of the boxes below:

3.16) Assurances   Assure the application of locally-developed controls will be rigorous, fair, and transparent.	Checked
3.16) Assurances   Assure that use of locally-developed controls will not have a disparate impact on underrepresented students in accordance with any applicable civil rights laws.	Checked
3.16) Assurances   Assure that enrolled students in accordance with teacher of record policies are included and may not be excluded.	Checked
3.16) Assurances   Assure that procedures for ensuring data accuracy and integrity are being utilized.	Checked
3.16) Assurances   Assure that the process for assigning points for locally selected measures will use the narrative HEDI descriptions described in the regulations to effectively differentiate educators' performance in ways that improve student learning and instruction.	Checked
3.16) Assurances   Assure that it is possible for an educator to earn each point, including 0, for the locally-selected measures subcomponent.	Checked
3.16) Assurances   Assure that locally-selected measures are rigorous and comparable across all classrooms in the same grade/subject in the district.	Checked
3.16) Assurances   If more than one type of locally-selected measure is used for different groups of teachers within a grade/subject, certify that the measures are comparable based on the Standards of Educational and Psychological Testing.	Checked
3.16) Assurances   Assure that all locally-selected measures for a teacher are different than any measures used for the State assessment or other comparable measures subcomponent.	Checked

## 4. Other Measures of Effectiveness (Teachers)

Created Tuesday, May 08, 2012

Updated Friday, August 10, 2012

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### Page 1

#### 4.1) Teacher Practice Rubric

Select a teacher practice rubric from the menu of State-approved rubrics to assess performance based on NYS Teaching Standards. If your district has been granted a variance by NYSED through the variance process, select "district variance" from the menu.

The "Second Rubric" space is required for districts that have chosen an observation-only rubric (CLASS or NYSTCE) from the State-approved list.

(Note: Any district may use multiple rubrics, as long as the same rubric(s) is used for all classroom teachers in a grade/subject across the district.)

*Danielson's Framework for Teaching (2011 Revised Edition)*

*(No response)*

#### 4.2) Points Within Other Measures

State the number of points (if any) that will be assigned to each of the following measures, making sure that the points total 60. If you are not using a particular measure, enter 0.

This APPR form only provides one space for assigning points within other measures for teachers. If your district/BOCES prefers to assign points differently for different groups of teachers, enter the points assignment for one group of teachers below. For the other group(s) of teachers, fill out copies of this form and upload as an attachment for review.

Is the following points assignment applicable to all teachers?

*Yes*

If you checked "no" above, fill in the group of teachers covered (e.g., "probationary teachers"):

*(No response)*

Multiple (at least two) classroom observations by principal or other trained administrator, at least one of which must be unannounced [at least 31 points]	32
One or more observation(s) by trained independent evaluators	0
Observations by trained in-school peer teachers	0
Feedback from students using State-approved survey tool	0
Feedback from parents/caregivers using State-approved survey tool	0
Structured reviews of lesson plans, student portfolios and other teacher artifacts	28

If the above points assignment is not for "all teachers," fill out an additional copy of "Form 4.2: Points Within Other Measures" for each group of teachers, combine them into a single file, and upload as an attachment for review. Click here for a downloadable copy of Form 4.2. (MS Word )

(No response)

### 4.3) Survey Tools (if applicable)

If you indicated above that 1 or more points will be assigned to feedback using a State-approved survey tool, please check the box below:

(No response)

If the district plans to use one or more of the following surveys of P-12 students from the menu of State-approved surveys, please check all that apply. If your district has been granted a variance by NYSED through the variance process, select "district variance" from the menu. Note: As the State-approved survey lists are updated, this form will be updated with additional approved survey tools.

[SurveyTools.0] Tripod Early Elementary Student Perception Survey K-2	(No response)
[SurveyTools.1] Tripod Elementary Student Perception Survey 3-5	(No response)
[SurveyTools.2] Tripod Secondary Student Perception Survey	(No response)
[SurveyTools.3] District Variance	(No response)

### 4.4) Assurances

Please check all of the boxes below:

4.4) Assurances   Assure that all NYS Teaching Standards not addressed in classroom observations are assessed at least once a year.	Checked
4.4) Assurances   Assure that the process for assigning points for the "other measures" subcomponent will use the narrative HEDI descriptions described in the regulations to effectively differentiate educators' performance in ways that improve student learning and instruction.	Checked
4.4) Assurances   Assure that it is possible for an educator to earn each point, including 0, for the "other measures" subcomponent.	Checked
4.4) Assurances   Assure that the same rubric(s) is used for all classroom teachers in a grade/subject across the district.	Checked

### 4.5) Process for Assigning Points and Determining HEDI Ratings

Describe the process for assigning points and determining HEDI ratings using the teacher practice rubric and/or any additional instruments used in the district. Include, if applicable, the process for combining results of multiple "other measures" into a single result for this subcomponent.

*The APPR committee spent time reviewing the NYS Teaching Standards and the rubric. We assigned 42 points of the 60 to the instructional domains (I-III). we assigned the remaining 18 points to Domain IV. The points are earned as follows: 32 from direct observation and 28 from a review of teacher artifacts correlated to the rubric.*

If you are using tables or other graphics to explain your process for assigning points and determining HEDI ratings, please clearly label them, combine them into a single file, and upload that file here.

*assets/survey-uploads/5091/126678-eka9yMJ855/points assigned to rubric.pdf*

Describe the level of performance required for each of the HEDI rating categories, consistent with the narrative descriptions in the regulations for the "other measures" subcomponent. Also describe how the points available within each HEDI category will be assigned.

Highly Effective: Overall performance and results exceed NYS Teaching Standards.	Teachers must be proficient in all areas and be distinguished in at least one area as observed by an administrator. Additionally, teacher must submit artifacts indicating proficiency in all areas of the rubric.
Effective: Overall performance and results meet NYS Teaching Standards.	Teachers may have a combination of basic and proficient ratings as observed by an administrator. Additionally, teacher must submit artifacts indicating proficiency in most areas of the rubric.
Developing: Overall performance and results need improvement in order to meet NYS Teaching Standards.	Teachers may have a combination of basic and ineffective ratings as observed by an administrator. Additionally, teacher artifacts may not indicate proficiency.
Ineffective: Overall performance and results do not meet NYS Teaching Standards.	Teachers have mostly ineffective ratings as observed by an administrator. Additionally, teacher artifacts do not indicate proficiency.

Provide the ranges for the 60-point scoring bands.

Highly Effective	55-60
Effective	45-54
Developing	30-44
Ineffective	0-29

#### 4.6) Observations of Probationary Teachers

Enter the minimum number of observations of each type, making sure that the number of observations "by building principal or other trained administrators" totals at least 2. If your APPR plan does not include a particular type of observation, enter 0 in that box.

By building principals or other trained administrators

4.6) Observations of Probationary Teachers   Formal/Long	3
4.6) Observations of Probationary Teachers   Informal/Short	0
4.6) Observations of Probationary Teachers   Enter Total	3

By trained in-school peer teachers or other trained reviewers

Formal/Long	0
Informal/Short	0

Independent evaluators

Formal/Long	0
-------------	---

Informal/Short	0
----------------	---

Will formal/long observations of probationary teachers be done in person, by video, or both?

- 
- In Person
- 

Will informal/short observations of probationary teachers be done in person, by video, or both?

- 
- In Person
- 

### 4.7) Observations of Tenured Teachers

Enter the minimum number of observations of each type, making sure that the number of observations "by building principal or other trained administrators" totals at least 2. If your APPR plan does not include a particular type of observation, enter 0 in that box.

By building principals or other trained administrators

4.7) Observations of Tenured Teachers   Formal/Long	2
4.7) Observations of Tenured Teachers   Informal/Short	0
4.7) Observations of Tenured Teachers   Total	2

By trained in-school peer teachers or other trained reviewers

Formal/Long	0
Informal/Short	0

Independent evaluators

Formal/Long	0
Informal/Short	0

Will formal/long observations of tenured teachers be done in person, by video, or both?

- 
- In Person
- 

Will informal/short observations of tenured teachers be done in person, by video, or both?

- 
- In Person
-

# 5. Composite Scoring (Teachers)

Created Tuesday, May 08, 2012

Updated Friday, August 10, 2012

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## Page 1

### **Standards for Rating Categories**

#### **Growth or Comparable Measures**

#### **Locally-selected Measures of growth or achievement**

#### **Other Measures of Effectiveness (Teacher and Leader standards)**

#### **Highly Effective**

Results are well above state average for similar students (or District goals if no state test).

Results are well above District- or BOCES-adopted expectations for student growth or achievement for grade/subject.

Overall performance and results exceed NYS Teaching Standards.

#### **Effective**

Results meet state average for similar students (or District goals if no state test).

Results meet District- or BOCES-adopted expectations for student growth or achievement for grade/subject.

Overall performance and results meet NYS Teaching Standards.

#### **Developing**

Results are below state average for similar students (or District goals if no state test).

Results are below District- or BOCES-adopted expectations for student growth or achievement for grade/subject.

Overall performance and results need improvement in order to meet NYS Teaching Standards.

#### **Ineffective**

Results are well below state average for similar students (or District goals if no state test).

Results are well below District- or BOCES-adopted expectations for student growth or achievement for grade/subject.

Overall performance and results do not meet NYS Teaching Standards.

For the 2013-2014 school year and beyond, the Commissioner shall review the specific scoring ranges for each of the rating categories annually before the start of each school year and shall recommend any changes to the Board of Regents for consideration.

5.1) The 2012-13 scoring ranges for educators for whom there is no approved Value-Added measure of student growth will be:

2012-13 where there is no Value-Added measure

**Growth or Comparable Measures**

**Locally-selected Measures of growth or achievement**

**Other Measures of Effectiveness (60 points)**

**Overall Composite Score**

**Highly Effective**

**18-20**

**18-20**

**Ranges determined locally--see below**

**91-100**

**Effective**

**9-17**

**9-17**

**75-90**

**Developing**

**3-8**

**3-8**

**65-74**

**Ineffective**

**0-2**

**0-2**

**0-64**

Insert district's or BOCES' negotiated HEDI scoring ranges for the Other Measures of Effectiveness subcomponent (same as question 4.5), from 0 to 60 points

Highly Effective	55-60
Effective	45-54
Developing	30-44
Ineffective	0-29

5.2) The 2012-13 scoring ranges for educators for whom there is an approved Value-Added measure for student growth will be:

**2012-13 where Value-Added growth measure applies**

**Growth or Comparable Measures**

**Locally-selected Measures of growth or achievement**

**Other Measures of Effectiveness  
(60 points)**

**Overall  
Composite Score**

**Highly Effective**

**22-25**

**14-15**

**Ranges determined locally--see above**

**91-100**

**Effective**

**10-21**

**8-13**

**75-90**

**Developing**

**3-9**

**3-7**

**65-74**

**Ineffective**

**0-2**

**0-2**

**0-64**

# 6. Additional Requirements - Teachers

Created Tuesday, May 08, 2012  
Updated Friday, August 10, 2012

## Page 1

### 6.1) Assurances -- Improvement Plans

Please check the boxes below:

6.1) Assurances -- Improvement Plans   Assure that teachers who receive a Developing or Ineffective rating will receive a Teacher Improvement Plan (TIP) within 10 school days from the opening of classes in the school year following the performance year	Checked
6.1) Assurances -- Improvement Plans   Assure that TIP plans shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a teacher's improvement in those areas	Checked

### 6.2) Attachment: Teacher Improvement Plan Forms

As a required attachment to this APPR plan, upload the TIP forms that are used in the school district or BOCES. For a list of supported file types, go to the Resources folder (above) and click Technical Tips.

<assets/survey-uploads/5265/126680-Df0w3Xx5v6/KatonahLewisboroTIP.pdf>

### 6.3) Appeals Process

Pursuant to Education Law section 3012-c, a teacher may only challenge the following in an appeal:

(1) the substance of the annual professional performance review

(2) the school district's or BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law section 3012-c

(3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as well as the school district's or BOCES' issuance and/or implementation of the terms of the teacher or principal improvement plan, as required under Education Law section 3012-c

Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way:

*Tenured teachers may appeal evaluation decisions in accordance with the following:*

*1. Within two (2) business days of the receipt of a tenured teacher's annual evaluation, the teacher may request in writing an additional meeting with his/her immediate supervisor (the person who completed the evaluation) to have a collegial conversation with their supervisor regarding his/her evaluation. The purpose of such meeting is to explore whether the supervisor wishes to consider any*

*changes in the evaluation based upon new information provided by the teacher.*

*The immediate supervisor shall provide his/her decision regarding whether he/she has agreed to make any changes in the evaluation within two (2) business days of the meeting noted above.*

*2. Within two (2) business days of the receipt of the immediate supervisor's decision regarding changes to the evaluation, the teacher may request in writing, an appeal of the evaluation to the Assistant Superintendent of Instruction. In the event that the Assistant Superintendent for Instruction had previously been involved in the evaluation of the teacher, the appeal will be directed to the Assistant Superintendent for Human Resources.*

*3. The appeal to the Assistant Superintendent must articulate the specific basis for the appeal. Failure to articulate a particular basis for the appeal shall be deemed a waiver of that claim. The appeal may only raise those issues set forth in Section 3012-c of Education Law.*

*4. Performance ratings of "ineffective" and "developing" are the only ratings subject to appeal. Teachers who receive a rating of "highly effective" or "effective" shall not be permitted to appeal their rating.*

*5. Within ten business days of the receipt of the appeal, the Assistant Superintendent for Instruction shall provide the teacher with a written determination of the appeal.*

*6. Within two (2) business days of the receipt of the appeal determination provided by the Assistant Superintendent as noted in #5 above, the teacher may request in writing, an appeal of the Assistant Superintendent's determination to the Superintendent of Schools.*

*7. The appeal to the Superintendent must articulate the specific basis for the appeal. Failure to articulate a particular basis for the appeal shall be deemed a waiver of that claim. The appeal may only raise those issues set forth in Section 3012-c of Education Law.*

*8. Performance ratings of "ineffective" and "developing" are the only ratings subject to appeal. Teachers who receive a rating of "highly effective" or "effective" shall not be permitted to appeal their rating.*

*9. Within ten (10) business days of receipt of the appeal, the Superintendent of Schools shall render a final and binding written determination of the appeal.*

*10. The determination of the appeal by the Superintendent of Schools shall not be grievable, arbitrable, nor reviewable in any other forum.*

## 6.4) Training and Certification of Lead Evaluators and Evaluators

Describe the process by which evaluators will be trained and the process for how the district will certify and re-certify lead evaluators. Describe the process for ensuring inter-rater reliability. Describe the duration and nature of such training.

*Each administrator will receive appropriate training regarding the observation and evaluation process. In preparation for the 2012-13 school year, all administrators employed during the 2011-2012 school year had access to The Framework for Teaching Proficiency System. "Developed in partnership with ETS and Charlotte Danielson, the Framework for Teaching Proficiency System enables districts and states to promote high-quality observations by implementing rigorous training for all observers." The tools used by the District included observer training and scoring practice.*

*The Board of Education will certify lead evaluators after each administrator documents that s/he has completed training in all of the required areas. Components of the training may be accomplished through participation in professional development at Administrative Council or other in-district meetings, attendance at courses and workshops offered by Putnam-Northern Westchester BOCES or other entities, or completion of online courses or webinars.*

*The District will make efforts to include regular professional development focusing on the observation process and/or tools throughout the school year. This professional development will be designed, at least in part, to address inter-rater reliability over time. Minimally, an annual calibration session will be held for all administrators who conduct classroom observations or who have responsibility for completing year end evaluations for professional staff members.*

*Training for evaluators will include a focus on:*

- New York State Teaching standards*
- Evidence-based observation*
- Application and use of student achievement data*

- *Application and use of approved rubrics, specifically Framework for Teaching, Charlotte Danielson, (2011 Revised Edition)*
- *Scoring methodology*
- *Considerations when evaluating teachers serving special populations*

*Evaluators will receive updated training annually on any changes to the law, regulations, or applicable collective bargaining agreements.*

## 6.5) Assurances -- Evaluators

Please check the boxes below:

- 
- Checked
- 

(1) the New York State Teaching Standards, and their related elements and performance indicators and the Leadership Standards and their related functions, as applicable

(2) evidence-based observation techniques that are grounded in research

(3) application and use of the student growth percentile model and the value-added growth model as defined in section 30-2.2 of this Subpart

(4) application and use of the State-approved teacher or principal rubric(s) selected by the district or BOCES for use in evaluations, including training on the effective application of such rubrics to observe a teacher or principal's practice

(5) application and use of any assessment tools that the school district or BOCES utilizes to evaluate its classroom teachers or building principals, including but not limited to, structured portfolio reviews; student, parent, teacher and/or community surveys; professional growth goals and school improvement goals, etc.

(6) application and use of any State-approved locally selected measures of student achievement used by the school district or BOCES to evaluate its teachers or principals

(7) use of the Statewide Instructional Reporting System

(8) the scoring methodology utilized by the Department and/or the district or BOCES to evaluate a teacher or principal under this Subpart, including how scores are generated for each subcomponent and the composite effectiveness score and application and use of the scoring ranges prescribed by the Commissioner for the four designated rating categories used for the teacher's or principal's overall rating and their subcomponent ratings

(9) specific considerations in evaluating teachers and principals of English language learners and students with disabilities

- 
- Checked
- 

## 6.6) Assurances -- Teachers

Please check all of the boxes below:

6.6) Assurances -- Teachers   Assure the entire APPR plan will be completed for each teacher as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the classroom teacher's performance is being measured.	Checked
6.6) Assurances -- Teachers   Assure that the district or BOCES will provide the teacher's score and rating on the locally selected measures subcomponent, if available, and on the other measures of teacher and principal effectiveness subcomponent for a teacher's annual professional performance review, in writing, no later than the last school day of the school year for which the teacher or principal is being measured.	Checked
6.6) Assurances -- Teachers   Assure that the APPR will be put on the district website by September 10 or within 10 days after approval, whichever is later.	Checked
6.6) Assurances -- Teachers   Assure that the evaluation system will be used as a significant factor for employment decisions.	Checked
6.6) Assurances -- Teachers   Assure that teachers will receive timely and constructive feedback as part of the evaluation process.	Checked
6.6) Assurances -- Teachers   Assure the district has appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.	Checked

## 6.7) Assurances -- Data

Please check all of the boxes below:

6.7) Assurances -- Data   Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.	Checked
6.7) Assurances -- Data   Certify that the district provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.	Checked
6.7) Assurances -- Data   Assure scores for all teachers will be reported to NYSED for each subcomponent, as well as the composite rating, as per NYSED requirements.	Checked

# 7. Growth on State Assessments or Comparable Measures (Principals)

Created Tuesday, May 08, 2012  
Updated Friday, August 10, 2012

## Page 1

### 7.1) STATE-PROVIDED MEASURES OF STUDENT GROWTH (25 points with an approved Value-Added Measure)

For principals in buildings with Grades 4-8 ELA, Math and/or High School courses with State or Regents assessments, (or principals of programs with any of these assessments), NYSED will provide value-added measures. NYSED will also provide a HEDI subcomponent rating category and score from 0 to 25 points.

In order for a principal to receive a State-provided value-added measure, at least 30% of the students in the principal's school or program must take the applicable State or Regents assessments. This will include most schools in the State.

Value-Added measures will apply to schools or principals with the following grade configurations in this district (please list, e.g., K-5, PK-6, 6-8, 6-12, 9-12):

K-5
6-8
9-12
(No response)
(No response)
(No response)
(No response)

### 7.2) Assurances -- State-Provided Measures of Student Growth

Please check the boxes below:

7.2) Assurances -- State-Provided Measures of Student Growth   Assure that the value-added growth score provided by NYSED will be used, where applicable	Checked
7.2) Assurances -- State-Provided Measures of Student Growth   Assure that the State-provided growth measure will be used if a value-added measure has not been approved for 2012-13	Checked

### 7.3) STUDENT LEARNING OBJECTIVES AS COMPARABLE GROWTH MEASURES (20 points)

Student Learning Objectives will be the other comparable growth measures for principals in buildings or programs in which fewer than 30% of students take Grades 4-8 ELA, Math, and/or High School courses with State or Regents assessments. SLOs will be developed using the assessment covering the most students in the school or program and continuing until at least 30% of students in the school or program are covered by SLOs. District-determined assessments from the options below may be used as evidence of student learning within the SLO:

State assessments, *required if one exists*

District, regional, or BOCES-developed assessments that are rigorous and comparable across classrooms

List of State-approved 3rd party assessments

First, list the school or program type this SLO applies to. Then, using the drop-down boxes below, please select the assessment that will be used for SLOs for the school/program listed. Finally, name the specific assessment listing the full name of the assessment. Districts or BOCES that intend to use a district, regional, or BOCES-developed assessment must include the name, grade, and subject of the assessment. For example, a regionally-developed 7th grade Social Studies assessment would be written as follows: [INSERT SPECIFIC NAME OF REGION]-developed 7th grade Social Studies assessment.

***Please remember that State assessments must be used with SLOs if applicable to the school or program type.***

School or Program Type	SLO with Assessment Option	Name of the Assessment

Describe the district-adopted expectations for the level of performance required for each HEDI rating category and the process for assigning points to principals based on SLO results, consistent with regulations and assurances in the Comparable Growth Measures subcomponent. Include any district-determined expectations for student performance.

Use this box, if needed, to describe the process for assigning HEDI categories in this subcomponent. If needed, you may upload a table or graphic below.	(No response)
Highly Effective (18 - 20 points) Results are well above state average for similar students (or District goals if no state test).	N/A - calculated by SED
Effective (9 - 17 points) Results meet state average for similar students (or District goals if no state test).	N/A - calculated by SED
Developing (3 - 8 points) Results are below state average for similar students (or District goals if no state test).	N/A - calculated by SED
Ineffective (0 - 2 points) Results are well below state average for similar students (or District goals if no state test).	N/A - calculated by SED

If you are using tables or other graphics to explain your process for assigning HEDI categories, please clearly label them, combine them into a single file, and upload that file here.

*(No response)*

## 7.4) Special Considerations for Comparable Growth Measures

Describe any adjustments, controls, or other special considerations that will be used in setting targets for Comparable Growth Measures, the rationale for including such factors, and the processes that will be used to mitigate potentially problematic incentives associated with the controls or adjustments.

Note: The only allowable controls or adjustments for Comparable Growth Measures are those used in State Growth measures, which include: prior student achievement results, students with disabilities, English language learners, students in poverty, and, in the future, any other student-, classroom-, and school-level characteristics approved by the Board of Regents.

*(No response)*

## 7.5) Principals with More Than One Growth Measure

If educators have more than one state-provided growth or value-added measure, those measures will be combined into one HEDI category and score for the growth subcomponent according to a formula determined by the Commissioner. (Examples: Principals of K-8 schools with growth measures for ELA and Math grades 4-8.)

If Principals have more than one SLO for comparable growth (or a State-provided growth measure and an SLO for comparable growth), the measures will each earn a score from 0-20 points and Districts will weight each in proportion to the number of students covered by the SLO to reach a combined score for this subcomponent.

## 7.6) Assurances -- Comparable Growth Measures

Please check all of the boxes below:

7.6) Assurances -- Comparable Growth Measures   Assure the application of locally developed controls will be rigorous, fair, and transparent and only those used for State Growth will be used for Comparable Growth Measures.	Checked
7.6) Assurances -- Comparable Growth Measures   Assure that use of locally developed controls will not have a disparate impact on underrepresented students in accordance with applicable civil rights laws.	Checked
7.6) Assurances -- Comparable Growth Measures   Assure that procedures for ensuring data accuracy and integrity are being utilized.	Checked
7.6) Assurances -- Comparable Growth Measures   Assure that district will develop SLOs according to the rules established by NYSED for principal SLOs: <a href="http://usny.nysed.gov/rttt/teachers-leaders/slo/home.html">http://usny.nysed.gov/rttt/teachers-leaders/slo/home.html</a> .	Checked
7.6) Assurances -- Comparable Growth Measures   Assure that the process for assigning points for SLOs for the Growth Subcomponent will use the narrative HEDI descriptions described in the regulations to effectively differentiate educator performance in ways that improve student learning and instruction.	Checked
7.6) Assurances -- Comparable Growth Measures   Assure that it is possible for a principal to earn each point, including 0, for SLOs in the Growth subcomponent scoring range.	Checked
7.6) Assurances -- Comparable Growth Measures   Assure that processes are in place to monitor SLOs to ensure rigor and comparability across classrooms.	Checked

# 8. Local Measures (Principals)

Created Tuesday, May 08, 2012

Updated Tuesday, August 28, 2012

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## Page 1

### Locally-Selected Measures of Student Achievement or Growth

Locally comparable means that the same locally-selected measures of student achievement or growth must be used for all principals in the same or similar programs or grade configurations across the district or BOCES.

Please note: only one locally-selected measure is required for principals in the same or similar programs or grade configurations, but some districts may prefer to have more than one measure for principals in the same or similar programs or grade configurations. This APPR form therefore provides space for multiple locally-selected measures for each principal in the same or similar program or grade configuration across the district. Therefore, if more than one locally-selected measure is used for all principals in the same or similar program or grade configuration, districts must complete additional copies of this form and upload as attachments for review.

Also note: districts may use more than one locally-selected measure for **different** groups of principals **within the same or similar programs or grade configurations** if the district/BOCES prove comparability based on Standards of Educational and Psychological Testing. If a district is choosing different measures for different groups of principals within the same or similar programs or grade configurations, they must complete additional copies of this form and upload as attachments for review.

### 8.1) LOCALLY SELECTED MEASURES OF STUDENT ACHIEVEMENT FOR PRINCIPALS WITH AN APPROVED VALUE-ADDED MEASURE (15 points)

**In the table below, list all of the grade configurations used in your district or BOCES (e.g., K-5, 6-8, 9-12). Then for each grade configuration, select a local measure from the menu.**

*Note: Districts and BOCES may select one or more types of growth or achievement measures for each grade configuration. If you are using more than one type of local measure for the evaluation of principals in a given grade configuration, list that grade configuration multiple times. If more space is needed, duplicate this portion of the form and upload additional pages (below) as an attachment.*

*The options in the drop-down menus below are abbreviated from the following list:*

- (a) student achievement levels on State assessments in ELA and/or Math in Grades 4-8 (e.g., percentage of students in the school whose performance levels on State assessments are proficient or advanced)
- (b) student growth or achievement on State assessments in ELA and/or Math in Grades 4-8 for students in each specific performance level (e.g., Level 1, Level 2)
- (c) student growth or achievement on State assessments in ELA and/or Math in Grades 4-8 for students with disabilities and English Language Learners in Grades 4-8

- (d) student performance on any or all of the district-wide locally selected measures approved for use in teacher evaluations
- (e) four, five and/or six-year high school graduation and/or dropout rates for principals employed in a school with high school grades
- (f) percentage of students who earn a Regents diploma with advanced designation and/or honors for principals employed in a school with high school grades
- (g) percentage of a cohort of students that achieve specified scores on Regents examinations and/or Department approved alternative examinations (including, but not limited to, Advanced Placement examinations, International Baccalaureate examinations, SAT II, etc.), for principals employed in a school with high school grades (e.g., the percentage of students in the 2009 cohort that scored at least a 3 on an Advanced Placement examination since entry into the ninth grade)
- (h) students' progress toward graduation in the school using strong predictive indicators, including but not limited to 9th and/or 10th grade credit accumulation and/or the percentage of students that pass 9th and/or 10th grade subjects most commonly associated with graduation and/or students' progress in passing the number of required Regents examinations for graduation, for principals employed in a school with high school grades

Grade Configuration	Locally-Selected Measure from List of Approved Measures	Assessment
k-5	(d) measures used by district for teacher evaluation	AIMSweb
6-8	(d) measures used by district for teacher evaluation	Intermediate Level Science Exam
9-12	(e) 4, 5, and/or 6-year high school grad and/or dropout rates	4 year Graduation Rate

Describe the district-adopted expectations for the level of growth or achievement needed for a principal to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a principal to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the process for assigning HEDI categories. If needed, you may upload a table or graphic below.	For k-5: AIMSweb will provide conversion into 0-15 For 6-8: based upon % of students achieving the target achievement level on the state science assessment 9-12: graduation rate converted into 0-15 based upon district historical data of graduation rates
Highly Effective (14 - 15 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	k-5: Results are well above 3rd party assessment benchmark 6-8: at least 85% of students achieve or exceed target 9-12: high school's graduation rate is at least 99%
Effective (8- 13 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	k-5: results meet third party assessment benchmark 6-8: 75-84% of students achieve or exceed target 9-12: high school's graduation rate is between 95 and 98.99%
Developing (3 - 7 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	k-5: results are below third party assessment benchmark 6-8: 60-74% of students achieve or exceed target 9-12: high school's graduation rate is between 85.45 and 94.99%
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for	k-5: results are well below third party assessment benchmark 6-8: less than 60% of students achieve or exceed target

If you need additional space, upload a copy of "Form 8.1: Locally Selected Measures for Principals with an Approved Value-Added Measure" as an attachment for review. Click here for a downloadable copy of Form 8.1. (MS Word )

*(No response)*

If you are using tables or other graphics to explain your process for assigning HEDI categories, please clearly label them, combine them into a single file, and upload that file here.

*assets/survey-uploads/5366/126684-qBFVOWF7fC/hedi for principal appr version 2.docx*

## 8.2) LOCALLY SELECTED MEASURES OF STUDENT ACHIEVEMENT FOR ALL OTHER PRINCIPALS (20 points)

**In the table below, list all of the grade configurations used in your district or BOCES (e.g., K-5, 6-8, 9-12). Then for each grade configuration, select a local measure from the menu.**

***Note: Districts and BOCES may select one or more types of growth or achievement measures for each grade configuration. If you are using more than one type of local measure for the evaluation of principals in a given grade configuration, list that grade configuration multiple times. If more space is needed, duplicate this portion of the form and upload additional pages (below) as an attachment.***

***The options in the drop-down menus below are abbreviated from the following list: <!--***

*(a) student achievement levels on State assessments in ELA and/or Math in Grades 4-8 (e.g., percentage of students in the school whose performance levels on State assessments are proficient or advanced)*

*(b) student growth or achievement on State assessments in ELA and/or Math in Grades 4-8 for students in each specific performance level (e.g., Level 1, Level 2)*

*(c) student growth or achievement on State assessments in ELA and/or Math in Grades 4-8 for students with disabilities and English Language Learners in Grades 4-8*

*(d) student performance on any or all of the district-wide locally selected measures approved for use in teacher evaluations*

*(e) four, five and/or six-year high school graduation and/or dropout rates for principals employed in a school with high school grades*

*(f) percentage of students who earn a Regents diploma with advanced designation and/or honors for principals employed in a school with high school grades*

*(g) percentage of a cohort of students that achieve specified scores on Regents examinations and/or Department approved alternative examinations (including, but not limited to, Advanced Placement examinations, International Baccalaureate examinations, SAT II, etc.), for principals employed in a school with high school grades (e.g., the percentage of students in the 2009 cohort that scored at least a 3 on an Advanced Placement examination since entry into the ninth grade)*

*(h) students' progress toward graduation in the school using strong predictive indicators, including but not limited to 9th and/or 10th grade credit accumulation and/or the percentage of students that pass 9th and/or 10th grade subjects most commonly associated with graduation and/or students' progress in passing the number of required Regents examinations for graduation, for principals employed*

in a school with high school grades

(i) student learning objectives (only allowable for principals in programs/buildings without a Value-Added measure for the State Growth subcomponent). Used with one of the following assessments: State, State-approved 3rd party, or a District, regional, or BOCES-developed assessment that is rigorous and comparable across classrooms

**Districts or BOCES that intend to use a district, regional, or BOCES-developed assessment must include the name, grade, and subject of the assessment. For example, a regionally-developed 7th grade Social Studies assessment would be written as follows: [INSERT SPECIFIC NAME OF REGION]-developed 7th grade Social Studies assessment.**

Grade Configuration	Locally-Selected Measure from List of Approved Measures	Assessment

Describe the district-adopted expectations for the level of growth or achievement needed for a principal to earn each of the four HEDI rating categories and the process for assigning points within rating categories that ensures it is possible for a principal to earn any of the points in a scoring range, consistent with regulations and assurances.

*Note: when completing the HEDI boxes below, it is not acceptable to just repeat the text descriptions from the regulations and/or assurances listed to the left of each box.*

Use this box, if needed, to describe the process for assigning HEDI categories. If needed, you may upload a table or graphic below.	(No response)
Highly Effective (18 - 20 points) Results are well above District- or BOCES-adopted expectations for growth or achievement for grade/subject.	N/A - all principals covered by 8.1
Effective (9- 17 points) Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.	N/A - all principals covered by 8.1
Developing (3 - 8 points) Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	N/A - all principals covered by 8.1
Ineffective (0 - 2 points) Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.	N/A - all principals covered by 8.1

If you need additional space, upload a copy of "Form 8.2: Locally Selected Measures for All Other Principals" as an attachment for review. Click here for a downloadable copy of Form 8.2. (MS Word)

(No response)

If you are using tables or other graphics to explain your process for assigning HEDI categories, please clearly label them, combine them into a single file, and upload that file here.

(No response)

### 8.3) Locally Developed Controls

Describe any adjustments, controls, or other special considerations that will be used in setting targets for local measures, the rationale for including such factors, and the processes that will be used to mitigate potentially problematic incentives associated with the controls or adjustments.

*none*

### 8.4) Principals with More Than One Locally Selected Measure

Describe the district's process for combining multiple locally selected measures where applicable for principals, each scored from 0-15 or 0-20 points as applicable, into a single subcomponent HEDI category and score.

*N/A*

### 8.5) Assurances

Please check all of the boxes below:

8.5) Assurances   Assure that the application of locally developed controls will be rigorous, fair, and transparent	Check
8.5) Assurances   Assure that use of locally developed controls will not have a disparate impact on underrepresented students, in accordance with any applicable civil rights laws.	Check
8.5) Assurances   Assure that enrolled students are included in accordance with policies for student assignment to schools and may not be excluded.	Check
8.5) Assurances   Assure that procedures for ensuring data accuracy and integrity are being utilized.	Check
8.5) Assurances   Assure that the process for assigning points for locally selected measures will use the narrative HEDI descriptions described in the regulations to effectively differentiate principals' performance in ways that improve student learning and instruction.	Check
8.5) Assurances   Assure that it is possible for a principal to earn each point, including 0, for the locally selected measures subcomponent.	Check
8.5) Assurances   Assure that locally-selected measures are rigorous and comparable across all principals in the same or similar programs or grade configurations across the district.	Check
8.5) Assurances   If more than one type of locally-selected measure is used for different groups of principals in the same or similar grade configuration or program, certify that the measures are comparable based on the Standards of Educational and Psychological Testing.	Check
8.5) Assurances   Assure that all locally-selected measures for a principal are different than any measures used for the State assessment or other comparable measures subcomponent.	Check

# 9. Other Measures of Effectiveness (Principals)

Created Tuesday, May 08, 2012

Updated Tuesday, August 28, 2012

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## Page 1

### 9.1) Principal Practice Rubric

Select the choice of principal practice rubric from the menu of State-approved rubrics to assess performance based on ISLLC 2008 Standards. If your district has been granted a variance by NYSED through the variance process, select "district variance" from the menu.

The "Second Rubric" space is optional. A district may use multiple rubrics, as long as the same rubric(s) is used for all principals in the same or similar programs or grade configurations across the district.

*Multidimensional Principal Performance Rubric*

*(No response)*

### 9.2) Points Within Other Measures

State the number of points that will be assigned to each of the following measures, making sure that the points total 60. If you are not assigning any points to the "ambitious and measurable goals" measure, enter 0.

Some districts may prefer to assign points differently for different groups of principals. This APPR form only provides one space for assigning points within other measures for principals. If your district/BOCES prefers to assign points differently for different groups of principals, enter the points assignment for one group of principals below. For the other group(s) of principals, fill out copies of this form and upload as an attachment for review.

Is the following points assignment for all principals?

*Yes*

If you checked "no" above, fill in the group of principals covered:

*(No response)*

State the number of points that will be assigned to each of the following measures, making sure that the points total 60. If you are not assigning any points to the "ambitious and measurable goals" measure, enter 0.

Broad assessment of principal leadership and management actions based on the practice rubric by the supervisor, a trained administrator or a trained independent evaluator. This must incorporate multiple school visits by supervisor, trained administrator, or trained independent evaluator, at least one of which must be from a supervisor, and at least one of which must be unannounced. [At least 31 points]	60
Any remaining points shall be assigned based on results of one or more ambitious and measurable goals set collaboratively with principals and their superintendents or district superintendents.	0

If the above points assignment is not for "all principals," fill out an additional copy of "Form 9.2: Points Within Other Measures" for each group of principals, combine them into a single file, and upload as an attachment for review. Click here for a downloadable copy of Form 9.2. (MS Word)

(No response)

### 9.3) Assurances -- Goals

Please check the boxes below (if applicable):

9.3) Assurances -- Goals   Assure that if any points are assigned to goals, at least one goal will address the principal's contribution to improving teacher effectiveness based on one or more of the following: improved retention of high performing teachers; correlation of student growth scores to teachers granted vs. denied tenure; or improvements in proficiency rating of the principal on specific teacher effectiveness standards in the principal practice rubric.	Checked
9.3) Assurances -- Goals   Assure that any other goals, if applicable, shall address quantifiable and verifiable improvements in academic results or the school's learning environment (e.g. student or teacher attendance).	Checked

### 9.4) Sources of Evidence (if applicable)

If you indicated above that one or more points will be assigned to the "ambitious and measurable goals" measure, identify at least two of the following sources of evidence that will be utilized as part of assessing every principal's goal(s):

9.4) Sources of Evidence (if applicable)   Structured feedback from teachers using a State-approved tool	Checked
9.4) Sources of Evidence (if applicable)   Structured feedback from students using a State-approved tool	Checked
9.4) Sources of Evidence (if applicable)   Structured feedback from families using a State-approved tool	Checked
9.4) Sources of Evidence (if applicable)   School visits by other trained evaluators	Checked
9.4) Sources of Evidence (if applicable)   Review of school documents, records, and/or State accountability processes (all count as one source)	Checked

### 9.5) Survey Tool(s) (if applicable)

If you indicated above that 1 or more points will be assigned to feedback using a State-approved survey tool, please check the box below:

(No response)

Note: When the State-approved survey list is posted, this form will be updated with dropdown menus of approved survey tools.

(No response)

(No response)

(No response)

### 9.6) Assurances

Please check all of the boxes below:

9.6) Assurances   Assure that all ISLLC 2008 Leadership Standards are assessed at least one time per year.	Checked
9.6) Assurances   Assure that the process for assigning points for the "other measures" subcomponent will use the narrative HEDI descriptions described in the regulations to effectively differentiate principals' performance in ways that improve student learning and instruction	Checked
9.6) Assurances   Assure that it is possible for a principal to earn each point, including 0, for the "other measures" subcomponent.	Checked
9.6) Assurances   Assure that the same rubric(s) is used for all principals in the same or similar programs or grade configurations across the district or BOCES.	Checked

## 9.7) Process for Assigning Points and Determining HEDI Ratings

Describe the process for assigning points and determining HEDI ratings using the principal practice rubric and/or any additional instruments used in the district. Include, if applicable, the process for combining results of multiple "other measures" into a single result for this subcomponent.

*Each of the 6 domains of the rubric are weighted differently. Each domain consists of points awarded based upon school visits and supervisor evaluation, points awarded for the submission of artifacts and school documents, and points for principal reflection. These three categories are integral parts of a principals' broad leadership and management assessment. The breakdown of the points for each domain and each category of evaluation are set forth in the following chart (page 1). Page 2 of the attachment describes the process for assignment of points based upon a rating of Highly Effective, Effective, Developing, or Ineffective by a supervisor.*

If you are using tables or other graphics to explain your process for assigning points and determining HEDI ratings, please clearly label them, combine them into a single file, and upload that file here.

[assets/survey-uploads/5143/126686-pMADJ4gk6R/Other 60% scoring.PDF](#)

Describe the level of performance required for each of the HEDI rating categories, consistent with the narrative descriptions in the regulations for the "other measures" subcomponent. Also describe how the points available within each HEDI category will be assigned.

Highly Effective: Overall performance and results exceed standards.	100% of the total point value received for the evaluation
Effective: Overall performance and results meet standards.	96% of the total point value received for the evaluation
Developing: Overall performance and results need improvement in order to meet standards.	83% of the total point value received for the evaluation
Ineffective: Overall performance and results do not meet standards.	0% of the total point value received for the evaluation

Please provide the locally-negotiated 60 point scoring bands.

Highly Effective	57.5-60
Effective	49.5-57.4
Developing	6.5-49.4
Ineffective	0-6.4

## 9.8) School Visits

Enter the minimum number of school visits that will be done by each of the following evaluators, making sure that the number of visits "by supervisor" is at least 1 and the total number of visits is at least 2, for both probationary and tenured principals. If your APPR plan does not include visits by a trained administrator or independent evaluator, enter 0 in those boxes.

**Probationary Principals**

By supervisor	3
By trained administrator	0
By trained independent evaluator	0
Enter Total	3

**Tenured Principals**

By supervisor	2
By trained administrator	0
By trained independent evaluator	0
Enter Total	2

# 10. Composite Scoring (Principals)

Created Tuesday, May 08, 2012

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## Page 1

### **Standards for Rating Categories**

#### **Growth or Comparable Measures**

#### **Locally-selected Measures of growth or achievement**

#### **Other Measures of Effectiveness (Teacher and Leader standards)**

#### **Highly**

##### **Effective**

Results are well above state average for similar students (or District goals if no state test).

Results are well above District- or BOCES- adopted expectations for growth or achievement for grade/subject.

Overall performance and results exceed ISLLC leadership standards.

##### **Effective**

Results meet state average for similar students (or District goals if no state test).

Results meet District- or BOCES-adopted expectations for growth or achievement for grade/subject.

Overall performance and results meet ISLLC leadership standards.

##### **Developing**

Results are below state average for similar students (or District goals if no state test).

Results are below District- or BOCES-adopted expectations for growth or achievement for grade/subject.

Overall performance and results need improvement in order to meet ISLLC leadership standards.

##### **Ineffective**

Results are well below state average for similar students (or District goals if no state test).

Results are well below District- or BOCES-adopted expectations for growth or achievement for grade/subject.

Overall performance and results do not meet ISLLC leadership standards.

For the 2013-2014 school year and beyond, the Commissioner shall review the specific scoring ranges for each of the rating categories annually before the start of each school year and shall recommend any changes to the Board of Regents for consideration.

10.1) The 2012-13 scoring ranges for principals for whom there is no approved Value-Added measure of student growth will be:

**2012-13 where there is no Value-Added measure**

**Growth or Comparable Measures**

**Locally-selected Measures of  
growth or achievement**

**Other Measures of Effectiveness**

**(60 points)**

**Overall**

**Composite Score**

**Highly Effective**

**18-20**

**18-20**

**Ranges determined locally--see below**

**91-100**

**Effective**

**9-17**

**9-17**

**75-90**

**Developing**

**3-8**

**3-8**

**65-74**

**Ineffective**

**0-2**

**0-2**

Insert district's or BOCES' negotiated HEDI scoring ranges for the Other Measures of Effectiveness Subcomponent (same as question 9.7), from 0 to 60 points

Highly Effective	57.5-60
Effective	49.5-57.4
Developing	6.5-49.4
Ineffective	0-6.4

10.2) The 2012-13 scoring ranges for principals for whom there is an approved Value-Added measure for student growth will be:

**2012-13 where Value-Added growth measure applies**

**Growth or Comparable Measures**

**Locally-selected Measures of growth or achievement**

**Other Measures of Effectiveness**

**(60 points)**

**Overall**

**Composite Score**

**Highly Effective**

22-25

14-15

Ranges determined locally--see above

91-100

**Effective**

10-21

8-13

75-90

**Developing**

3-9

3-7

**65-74**

**Ineffective**

**0-2**

**0-2**

**0-64**

# 11. Additional Requirements - Principals

Created Tuesday, May 08, 2012  
Updated Friday, August 10, 2012

## Page 1

### 11.1) Assurances -- Improvement Plans

Please check the boxes below.

11.1) Assurances -- Improvement Plans   Assure that principals who receive a Developing or Ineffective rating will receive a Principal Improvement Plan (PIP) within 10 school days from the opening of classes in the school year following the performance year	Checked
11.1) Assurances -- Improvement Plans   Assure that PIPs shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a principal's improvement in those areas	Checked

### 11.2) Attachment: Principal Improvement Plan Forms

As a required attachment to this APPR plan, upload the PIP forms that are used in your school district or BOCES. For a list of supported file types, go to the Resources folder (above) and click Technical Tips.

[assets/survey-uploads/5276/126688-Df0w3Xx5v6/Principal Improvement Plan Form.PDF](#)

### 11.3) Appeals Process

Pursuant to Education Law section 3012-c, a principal may only challenge the following in an appeal:

(1) the substance of the annual professional performance review

(2) the school district's or BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law section 3012-c

(3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as well as the school district's or BOCES' issuance and/or implementation of the terms of the teacher or principal improvement plan, as required under Education Law section 3012-c

Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way:

#### *Appeals Process*

##### *A. Who Can File an Appeal*

*All tenured principals receiving a rating of "ineffective" (or its substantive equivalent, should that term not be employed) shall have the right to appeal their APPR or improvement plan as described below.*

*Probationers, principals with ratings other than "ineffective," shall have no right to appeal an APPR rating or improvement plan.*

*In the spring of 2013, the District and KLAAS will negotiate over whether to permit principals to appeal “developing” ratings given in 2013-14. In the event that the parties cannot agree, then in 2013-14 only, the second consecutive 100-point rating of “developing” given in that year or a 100-point rating of “developing” given that year that directly follows a 100-point rating of “ineffective,” will be appealable. In the meantime, Taylor Law dispute resolution procedures (mediation; factfinding; superconciliation) will be used to achieve agreement.*

#### *B. When an Appeal Can Be Filed*

*All appeals must be submitted in writing no later than 15 calendar days from the date when the principal received his/her “ineffective” 100-point rating or PIP. Failure to file an appeal within this time frame shall be deemed a waiver of the right to appeal. This period shall be tolled for any days during said 15 day period that the principal is on vacation or out sick. The challenge should be submitted to the evaluator, with a simultaneous copy to the District Clerk. For the purposes of this Procedure, the date of submission will be considered to be the date of receipt.*

*Before filing a written appeal, a principal may first request an informal meeting with the evaluator to discuss the matters of concern, but this does not extend the 15-day time limit.*

#### *C. What an Appeal Should Contain*

*The notice of appeal must include a detailed written description of the specific area(s) of disagreement and the reason(s) why the rating or PIP is thought to be erroneous or unfair. The performance review, rating, or improvement plan being challenged must be submitted with the notice of appeal. Any information not submitted at the time the notice of appeal is filed need not be considered. A principal may not file more than one appeal regarding the same rating or improvement plan. All grounds for appeal must be raised with specificity within one appeal. Any grounds not raised at the time of the first stage in the appeal process shall be deemed waived.*

#### *D. What May Be Appealed*

- *District adherence to agreed-upon standards and methodologies for reviews, evaluations, and ratings*
- *District adherence to the Commissioner’s regulations, as applicable to such reviews, evaluations and ratings*
- *Lack of compliance with, or arbitrary, capricious or disparate application of locally-negotiated procedures related to APPR or PIP’s*

#### *E. Appeal Process*

*JOINT REVIEW BOARD STEP: Appeals will be heard by a Joint Review Board consisting of two representatives appointed by KLAAS and one representative appointed by the Superintendent. The Joint Review Board shall have 30 calendar days to issue a written decision. The Joint Review Board shall have the power to discuss an appeal with the evaluator, the appealing principal, or with both at the same time, if it believes such a discussion will be helpful.*

*The Joint Review Board cannot act except when all of its members are present. The Joint Review Board’s decisions will be explained in a writing that sets forth the reasoning of each panel member. If the Joint Review Board’s decision is 3-0 in favor of the unit member, then the decision will be final, binding, and unreviewable. If the decision is 3-0 or 2-1 against the unit member, then the unit member may appeal the decision to the Superintendent. If the decision is 2-1 in favor of the unit member, and there is substantial evidence to support the minority vote, then the Superintendent may choose to review it within 7 school days (or, in the summer, 14 calendar days) of his/her receipt of the decision; if the Superintendent does not so choose, then the decision of the Joint Review Board will be final, binding, and unreviewable.*

*SUPERINTENDENT STEP: Within 7 calendar days of receipt of an appealable decision of the Joint Review Board (or, if earlier, the date 37 days after the appeal was submitted to the Joint Review Board), the unit member may submit a written appeal to the Superintendent, specifically stating the points of disagreement and all the reasons for the unit member’s position. All documentation from the prior step will be included. The Superintendent shall issue a written decision within 21 calendar days after receiving such written appeal. If that deadline is missed, then the appeal shall be deemed granted.*

*The Superintendent’s decision shall be final, binding, and unreviewable.*

*Principals shall be entitled to union representation at each stage of the appeal process.*

#### *F. Exclusivity of Procedure*

*This appeal procedure shall be the exclusive means for initiating, reviewing, and resolving any and all challenges and appeals related to a principal’s performance review, improvement plan, evaluation, or rating. No contractual grievance procedure or administrative or judicial process may be used for this purpose. Notwithstanding the aforementioned language, nothing herein shall be construed as limiting the right of the employee to challenge any evaluation including the second consecutive ineffective annual composite APPR evaluation in any proceeding brought pursuant to Education Law Section 3020-a or an alternative disciplinary arbitration to the extent allowed by law.*

## 11.4) Training and Certification of Lead Evaluators and Evaluators

Describe the process by which evaluators will be trained and the process for how the district will certify and re-certify lead evaluators. Describe the process for ensuring inter-rater reliability. Describe the duration and nature of such training.

*The District will ensure that all lead evaluators and evaluators are properly trained and certified, as necessary, to complete an individual's performance review. Evaluator training has been and will continue to be conducted by Eastern Suffolk BOCES. Lead evaluator training has been and will continue to be conducted in accordance with the certification requirements per the Commissioner's regulations.*

*This training will include the following:*

- *New York State Teaching Standards*
- *Evidence-based observation*
- *Application and use of Student Growth Percentile and Value Added Growth Model data*
- *Application and use of the State-approved teacher or principal rubrics*
- *Application and use of any assessment tools used to evaluate teachers*
- *Application and use of State-approved locally selected measures of student achievement*
- *Use of Statewide Instructional Reporting System*
- *Scoring methodology used to evaluate teachers*
- *Specific considerations in evaluating teachers of ELLs and students with disabilities*

*The Board of Education will certify lead evaluators upon recommendation by the Superintendent, who shall review documentation that the individual has fully completed training. The Superintendent will maintain records of certification of evaluators.*

*The Superintendent or his designee will ensure that lead evaluators participate in annual training and are recertified on an annual basis. BOCES will be utilized to provide the training and recertification. Any individual who fails to achieve required training or certification or recertification, as applicable, shall not conduct or complete evaluations.*

*The District is now establishing a process to ensure that lead evaluators maintain inter-rater reliability over time in accordance with NYSED guidance and protocols recommended in training for lead evaluators. The District anticipates that these protocols will include measures such as: data analysis; periodic comparisons of assessments; and/or annual calibration sessions across evaluators.*

*For the 2012-2013 school year, all evaluators shall be appropriately trained and certified before conducting any evaluations.*

*The district will provide professional development and training on MPPR and ISSLC throughout the school year 2012-2013 so that a common language and understanding of the rubric and standards are defined.*

## 11.5) Assurances -- Evaluators

Please check the boxes below:

- 
- Checked
- 

(1) the New York State Teaching Standards, and their related elements and performance indicators and the Leadership Standards and their related functions, as applicable

(2) evidence-based observation techniques that are grounded in research

(3) application and use of the student growth percentile model and the value-added growth model as defined in section 30-2.2 of this Subpart

(4) application and use of the State-approved teacher or principal rubric(s) selected by the district or BOCES for use in evaluations, including training on the effective application of such rubrics to observe a teacher or principal's practice

(5) application and use of any assessment tools that the school district or BOCES utilizes to evaluate its classroom teachers or building principals, including but not limited to, structured portfolio reviews; student, parent, teacher and/or community surveys; professional growth goals and school improvement goals, etc.

(6) application and use of any State-approved locally selected measures of student achievement used by the school district or BOCES to evaluate its teachers or principals

(7) use of the Statewide Instructional Reporting System

(8) the scoring methodology utilized by the Department and/or the district or BOCES to evaluate a teacher or principal under this Subpart, including how scores are generated for each subcomponent and the composite effectiveness score and application and use of the scoring ranges prescribed by the Commissioner for the four designated rating categories used for the teacher's or principal's overall rating and their subcomponent ratings

(9) specific considerations in evaluating teachers and principals of English language learners and students with disabilities

- 
- Checked
- 

## 11.6) Assurances -- Principals

Please check all of the boxes below:

11.6) Assurances -- Principals   Assure the entire APPR plan will be completed for each principal as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the building principal's performance is being measured.	Checked
11.6) Assurances -- Principals   Assure that the district will provide the principal's score and rating on the locally selected measures subcomponent, if available, and on the other measures of principal effectiveness subcomponent for a principal's annual professional performance review, in writing, no later than the last school day of the school year for which the principal is being measured.	Checked
11.6) Assurances -- Principals   Assure that the APPR will be put on the district website by September 10 or within 10 days after approval, whichever is later.	Checked
11.6) Assurances -- Principals   Assure that the evaluation system will be used as a significant factor for employment decisions.	Checked
11.6) Assurances -- Principals   Assure that principals will receive timely and constructive feedback as part of the evaluation process.	Checked
11.6) Assurances -- Principals   Assure the district has appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.	Checked

## 11.7) Assurances -- Data

Please check all of the boxes below:

11.7) Assurances -- Data   Assure that the NYSED will receive accurate teacher and student data, including enrollment and attendance data and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with this Subpart, in a format and timeline prescribed by the Commissioner.	Checked
--	---------

11.7) Assurances -- Data   Certify that the district provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.	Checked
--	---------

11.7) Assurances -- Data   Assure scores for all principals will be reported to NYSED for each subcomponent, as well as the composite rating, as per NYSED requirements.	Checked
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## 12. Joint Certification of APPR Plan

Created Tuesday, May 08, 2012

Updated Tuesday, August 28, 2012

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### Page 1

#### 12.1) Upload the Joint Certification of the APPR Plan

Please obtain the required signatures, create a PDF file, and upload your joint certification of the APPR Plan using this form: APPR District Certification Form

*assets/survey-uploads/5581/126689-3Uqgn5g9Iu/Doc - Aug 28, 2012 5-01 PM.pdf*

#### File types supported for uploads

PDF (preferred)

Microsoft Office (.doc, .ppt, .xls)

Microsoft Office 2007: Supported but not recommended (.docx, .pptx, .xlsx)

Open Office (.odt, .ott)

Images (.jpg, .gif)

Other Formats (.html, .xhtml, .txt, .rtf, .latex)

Please note that .docx, .pptx, and .xlsx formats are not entirely supported.

Please save your file types as .doc, .ppt or .xls respectively before uploading.

Component	D-Obs	P-Obs	B-Obs	U-Obs	Accepted Artifact	Artifact not accepted	Max
1a	2	1.7	1.4	0	1	0	3
1b	2	1.7	1.4	0	1	0	3
1c	2	1.7	1.4	0	1	0	3
1d	1	.85	.7	0	1	0	2
1e	2	1.7	1.4	0	1	0	3
1f	1	.85	.7	0	1	0	2
2a	2	1.7	1.4	0	1	0	3
2b	1	.85	.7	0	1	0	2
2c	1	.85	.7	0	1	0	2
2d	1	.85	.7	0	1	0	2
2e	1	.85	.7	0	1	0	2
3a	2	1.7	1.4	0	1	0	3
3b	2	1.7	1.4	0	1	0	3
3c	2	1.7	1.4	0	1	0	3
3d	2	1.7	1.4	0	1	0	3
3e	2	1.7	1.4	0	1	0	3
4a	1	.85	.7	0			3
4a artifact 1					1	0	
4a artifact 2					1	0	
4b	1	.85	.7	0			3
4b artifact 1					1	0	
4b artifact 2					1	0	
4c	1	.85	.7	0			3
4c artifact 1					1	0	
4c artifact 2					1	0	
4d	1	.85	.7	0			3
4d artifact 1					1	0	
4d artifact 2					1	0	
4e	1	.85	.7	0			3
4e artifact 1					1	0	
4e artifact 2					1	0	
4f	1	.85	.7	0			3
4f artifact 1					1	0	
4f artifact 2					1	0	

Katonah-Lewisboro School District  
Teacher Improvement Plan

Teacher:

School:

Date of Documentation of Concern:

Date of Collaborative Conference:

Time Period of Plan:

A. Description of Need: Not all areas may need to be addressed in the plan

**1. Knowledge of Students and Student Learning –**

**2. Knowledge of Content and Instructional Planning –**

**3. Instructional Practice –**

**4. Learning Environment –**

**5. Assessment for Student Learning –**

**6. Professional Responsibilities and Collaboration –**

**7. Professional Growth –**

B. Description of Desired State: Not all areas may need to be addressed in the plan

**1. Knowledge of Students and Student Learning –**

**2. Knowledge of Content and Instructional Planning –**

**3. Instructional Practice –**

**4. Learning Environment –**

**5. Assessment for Student Learning –**

**6. Professional Responsibilities and Collaboration –**

**7. Professional Growth –**

C. Description of observational evidence and artifacts which will document improvement:  
Not all areas may need to be addressed in the plan

**1. Knowledge of Students and Student Learning –**

**2. Knowledge of Content and Instructional Planning –**

**3. Instructional Practice –**

**4. Learning Environment –**

**5. Assessment for Student Learning –**

**6. Professional Responsibilities and Collaboration –**

**7. Professional Growth –**

D. Specific Interventions and Time Frame for Implementation: (modify as appropriate)

1. By (date), the supervisor will have assigned a mentor to the teacher.
2. By (date), the teacher will have signed up for (workshop/conference/course) to attend on (date).
3. By (date), the teacher will have scheduled a peer observation with (name) to occur on a date prior to (date).
4. By (date), the teacher will submit plans electronically for the week of (date) to the supervisor.
5. By (date), the teacher will submit electronically informal assessment data to the supervisor.
6. By (date), the teacher will submit electronically parent communication to the supervisor.
7. By (date), the teacher will submit electronically formal assessment data to the supervisor.

E. Conferring with the supervisor to monitor plan:

Meeting time and day (monthly)

Name	Signature as Agreement to Plan	Date
Teacher	_____	_____
Supervisor	_____	_____

Cc: Superintendent  
Assistant Superintendent for Instruction  
Assistant Superintendent for Human Resources  
Personnel File  
Association President

Multidimensional Principal Performance Rubric

Multidimensional Principal Performance Rubric			
Domain	Point Value	Points for Artifact	Reflection
Domain 1: Shared Vision of Learning	6	2	1
a. Culture	3	1	
b. Sustainability	3	1	
Domain 2: School Culture & Instructional Program	10	5	1
a. Culture	2	1	
b. Instructional Program	2	1	
c. Capacity Building	2	1	
d. Sustainability	2	1	
e. Strategic Planning Process: monitor/inquiry	2	1	
Domain 3: Safe, Efficient, Effective Learning Environment	8	4	1
a. Capacity Building	2	1	
b. Culture	2	1	
c. Sustainability	2	1	
d. Instructional Program	2	1	
Domain 4: Community	6	3	1
a. Strategic Planning Process	2	1	
b. Culture	2	1	
c. Sustainability	2	1	
Domain 5: Integrity and Fairness	4	2	1
a. Sustainability	1	1	
b. Culture	1	1	
Domain 6: Political, Social, Economic, Legal and Cultural Context	2	2	1
a. Sustainability	1	1	
b. Culture	1	1	
Total Points	36 points	18 points	6 points
Grand Total of Points = 60			

APPENDIX A - Multidimensional Principal Performance Rubric

Multidimensional Principal Performance Rubric							
Domain	HE 100%	E 96%	D 83%	I 0%	Point Value	Points for Artifact	Reflection
Domain 1: Shared Vision of Learning					6	2	1
a. Culture	3	2.9	2.5	0	3	1	
b. Sustainability	3	2.9	2.5	0	3	1	
Domain 2: School Culture & Instructional Program					10	5	1
a. Culture	2	1.9	1.6	0	2	1	
b. Instructional Program	2	1.9	1.6	0	2	1	
c. Capacity Building	2	1.9	1.6	0	2	1	
d. Sustainability	2	1.9	1.6	0	2	1	
e. Strategic Planning Process: monitor/inquiry	2	1.9	1.6	0	2	1	
Domain 3: Safe, Efficient, Effective Learning Environment					8	4	1
a. Capacity Building	2	1.9	1.6	0	2	1	
b. Culture	2	1.9	1.6	0	2	1	
c. Sustainability	2	1.9	1.6	0	2	1	
d. Instructional Program	2	1.9	1.6	0	2	1	
Domain 4: Community					6	3	1
a. Strategic Planning Process	2	1.9	1.6	0	2	1	
b. Culture	2	1.9	1.6	0	2	1	
c. Sustainability	2	1.9	1.6	0	2	1	
Domain 5: Integrity and Fairness					4	2	1
a. Sustainability	2	1.9	1.6	0	2	1	
b. Culture	2	1.9	1.6	0	2	1	
Domain 6: Political, Social, Economic, Legal and Cultural Context					2	2	1
a. Sustainability	1	.9	.8	0	1	1	
b. Culture	1	.9	.8	0	1	1	
Total Points					36 points	18 points	6 points
Grand Total of Points = 60							

## HEDI BREAKDOWN FOR K-5 PRINCIPALS

<b>Highly Effective 18 – 20 points</b>	<b>Effective 9 – 17 points</b>	<b>Developing 3 – 8 points</b>	<b>Ineffective 0 – 2 points</b>
85% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement targets	75% - 84% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement Targets	60% - 74% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement Targets	Below 60% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement Targets.

*The points within each category are distributed as follows:*

18 points: 85% - 89%	9 points: 75%	3 points: 60% - 61%	0 points: 0% - 49%
19 points: 90% - 94%	10 points: 76%	4 points: 62% - 63%	1 point: 50% - 54%
20 points: 95% - 100%	11 points: 77%	5 points: 64% - 66%	2 points: 55% - 59%
	12 points: 78%	6 points: 67% - 69%	
	13 points: 79%	7 points: 70% - 72%	
	14 points: 80%	8 points: 73% - 74%	
	15 points: 81%		
	16 points: 82%		
	17 points: 83% - 84%		

<b>Highly Effective 14 – 15 points</b>	<b>Effective 8 – 13 points</b>	<b>Developing 3 – 7 points</b>	<b>Ineffective 0 – 2 points</b>
85% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement targets	73% -84% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement targets	60% -72% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement targets	Below 60% of the general education students achieve or exceed the national AIMSWeb Reading Curriculum Based Measurement Targets and Mathematics Computation Measurement targets

*The points within each category are distributed as follows:*

14 points: 85% - 91%	8 points: 73-74%	3 points: 60% - 61%	0 points: 0% - 49%
15 points: 92% - 100%	9 points: 75-76%	4 points: 62% - 63%	1 point: 50% - 54%
	10 points: 77-78%	5 points: 64% - 66%	2 points: 55% - 59%
	11 points: 79%	6 points: 67% - 69%	

	12 points: 80-81% 13 points: 82-84%	7 points: 70% - 72%	
--	--	---------------------	--

**HEDI For 6-8 Principals (Achievement on 8<sup>th</sup> grade state assessment)**

14 points: 85% - 91% 15 points: 92% - 100%	8 points: 73-74% 9 points: 75-76% 10 points: 77-78% 11 points: 79% 12 points: 80-81% 13 points: 82-84%	3 points: 60% - 61% 4 points: 62% - 63% 5 points: 64% - 66% 6 points: 67% - 69% 7 points: 70% - 72%	0 points: 0% - 49% 1 point: 50% - 54% 2 points: 55% - 59%
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**Graduation Rates-HEDI breakdown for 9-12 principals**

<b>Points Generated</b>	<b>Graduation Rate</b>
<b>15</b>	<b>99.45-100</b>
<b>14</b>	<b>99.99.44</b>
<b>13</b>	<b>98.67-98.99</b>
<b>12</b>	<b>98.34-98.66</b>
<b>11</b>	<b>98-98.33</b>
<b>10</b>	<b>97-97.99</b>
<b>9</b>	<b>96-96.99</b>
<b>8</b>	<b>95-95.99</b>
<b>7</b>	<b>93.45-94.99</b>
<b>6</b>	<b>91.45-93.44</b>
<b>5</b>	<b>89.45-91.44</b>
<b>4</b>	<b>87.45-89.44</b>
<b>3</b>	<b>85.45-87.44</b>
<b>2</b>	<b>84.45-85.44</b>
<b>1</b>	<b>42.45-84.44</b>
<b>0</b>	<b>0-42.44</b>

## Principal Improvement Plan Form

[To be completed by principal in consultation with evaluator]

School Year 20\_\_-20\_\_

Name:			Position:	
Signature:			Date:	
	Mid-Year Conference		Date:	
	End-of-Year Conference		Date:	
Building(s):				
Evaluator :			Title:	
Signature:			Date:	
Unit Representative Signature:			Date:	

1. Areas for Improvement:
2. Recommendations for Improvement

3. Resources Available

4. Monitoring System

5. Evidence of Achievement

6. Timeline

**DISTRICT CERTIFICATION FORM: Please download this form, sign and upload to APPR form**

By signing this document, the school district or BOCES certifies that this document constitutes the district's or BOCES' complete Annual Professional Performance Review (APPR) Plan, that all provisions of the APPR that are subject to collective negotiations have been resolved pursuant to the provisions of Article 14 of the Civil Service Law and that such APPR Plan complies with the requirements of Education Law §3012-c and Subpart 30-2 of the Rules of the Board of Regents and has been adopted by the governing body of the school district or BOCES. By signing this document, the collective bargaining agent(s) of the school district or BOCES, where applicable, certify that this document constitutes the district's or BOCES' complete Annual Professional Performance Review (APPR) Plan, that collective negotiations have been completed on all provisions of the APPR that are subject to collective bargaining, and that such APPR Plan complies with the requirements of Education Law §3012-c and Subpart 30-2 of the Rules of the Board of Regents and has been adopted by the governing body of the school district or BOCES.

The school district or BOCES and its collective bargaining agent(s), where applicable, also certify that upon information and belief, all statements made herein are true and accurate and that any applicable collective bargaining agreements for teachers and principals are consistent with and/or have been amended and/or modified or otherwise resolved to the extent required by Article 14 of the Civil Service Law, as necessary to require that all classroom teachers and building principals will be evaluated using a comprehensive annual evaluation system that rigorously adheres to Education Law §3012-c and Subpart 30-2 of the Rules of the Board of Regents.

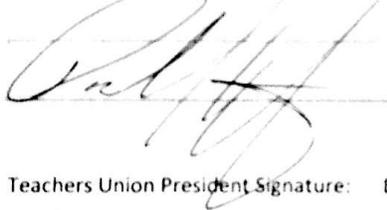
**The school district or BOCES and its collective bargaining agent(s), where applicable, also make the following specific certifications with respect to their APPR Plan:**

- Assure that the evaluation system will be used as a significant factor for employment decisions and teacher and principal development
- Assure that the entire APPR plan will be completed for each teacher or principal as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the classroom teacher or building principal's performance is being measured
- Assure that the district or BOCES will provide the teacher's or principal's score and rating on the locally selected measures subcomponent, if available, and on the other measures of teacher and principal effectiveness subcomponent for a teacher's or principal's annual professional performance review, in writing, no later than the last school day of the school year for which the teacher or principal is being measured
- Assure that the APPR plan will be posted on the district's or BOCES' website by September 10 or within 10 days after it is approved by the Commissioner, whichever is later
- Assure that accurate teacher and student data will be provided to the Commissioner in a format and timeline prescribed by the Commissioner
- Assure that the district or BOCES will report the individual subcomponent scores and the total composite effectiveness score for each classroom teacher and building principal in a manner prescribed by the Commissioner
- Certify that the district provides an opportunity for every classroom teacher and building principal to verify the subjects and/or student rosters assigned to them
- Assure that teachers and principals will receive timely and constructive feedback as part of the evaluation process
- Assure that any training course for lead evaluator certification addresses each of the requirements in the regulations, including specific considerations in evaluating teachers and principals of English Language Learners and students with disabilities
- Assure that educators who receive a Developing or Ineffective rating will receive a TIP or PIP plan, in accordance with the regulations, as soon as practicable but in no case later than 10 school days from the opening of classes in the school year following the performance year
- Assure that all evaluators and lead evaluators will be properly trained and that lead evaluators will be certified and recertified as necessary in accordance with the regulations
- Assure that the district or BOCES has appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal
- Assure that, for teachers, all NYS Teaching Standards are assessed at least once per year, and, for principals, all Leadership Standards are assessed at least once per year
- Assure that it is possible for a teacher or principal to obtain each point in the scoring ranges, including 0 for each subcomponent and that the APPR Plan describes the process for assigning points for each subcomponent
- Assure that locally-selected measures are rigorous and comparable across all classrooms (for teachers, the same locally-selected measure is used across a subject and/or grade level; for principals, the same locally-selected measure must be used for all principals in the same or similar program or grade configuration)

- Assure that, if more than one type of locally-selected measure is used for different groups of teachers within a grade/subject, the measures are comparable based on the Standards of Educational and Psychological Testing
- Assure that, if more than one type of locally-selected measure is used for principals in the same or similar grade configuration or program, the measures are comparable based on the Standards of Educational and Psychological Testing
- Assure that the process for assigning points for all subcomponents and the composite scores will use the narrative HEDI descriptions described in the regulations to effectively differentiate educators' performance in ways that improve student learning and instruction
- Assure that district or BOCES will develop SLOs according to the rules and/or guidance established by SED and that past academic performance and / or baseline academic data of students is taken into account when developing an SLO
- Assure that Student Growth/Value Added Measure will be used where applicable
- Assure that any material changes to this APPR Plan will be submitted to the Commissioner for approval as soon as practicable and/or in a timeframe prescribed by the Commissioner
- Assure that this APPR Plan applies to all classroom teachers and building principals as defined in the regulation and SED guidance
- Assure that the district or BOCES will provide the Department with any information necessary to conduct annual monitoring pursuant to the regulations
- If this APPR Plan is being submitted subsequent to July 1, 2012, assure that this was the result of unresolved collective bargaining negotiations

**Signatures, dates**

Superintendent Signature:      Date:

 8/24/12

Teachers Union President Signature:      Date:

 8/24/12

Administrative Union President Signature:      Date:

 8/24/12

Board of Education President Signature:      Date:

 8/24/12