School Improvement Grant (SIG)
Round 5

and

School Innovation Fund (SIF)
Round 3

Informational Webinar

January 2014

Webinar Agenda

- ## Background
  - Priority Schools - options and eligibility
- ## Overview of RFPs
- ## Proposal Narratives
  - District-level and School-level
- ## Budgets and Budget Guidance
- ## Scoring
- ## Application and Submission

Background - *Priority Schools*

- Among the lowest performing schools in the state based on combined ELA/math performance, or significantly low graduation rates
  - ❑ List of Priority Schools – http://www.p12.nysed.gov/accountability/ESEADesignations.html

- These schools must implement a whole-school reform model that fully incorporates federal principles for school turnaround
  - ❑ ESEA Waiver – http://usny.nysed.gov/docs/10-things-to-know-about-the-esea-waiver.pdf

Background – *Options for Priority Schools*

Options	Funding	Features
SIG plan	Competitive	**Implement 1 of 4 models** •**Turnaround** •**Restart** •**Transformation** •**Closure**
SIF plan	Competitive	**Implement 1 of 6 whole-school redesign frameworks**
SURR/SCEP plan	LEA-Funded	**Whole-school plan based on seven (7) USED turnaround principles**

Background - *Eligibility*

- Priority Schools **not** currently receiving **either** SIG or SIF funding

- LEAs may apply for <u>both</u> SIG and SIF (may only accept one if a school is awarded both)

- LEAs must be in compliance with Education Law 3012(c) regarding APPR throughout the entire grant period

    http://open.nysenate.gov/legislation/bill/A11171

Overview of RFPs –
School Improvement Grant (SIG) and School Innovation Fund (SIF)

- **Essential <u>Similarities</u>**

  - Designed to promote focused and sustainable school improvement

  - Competitive grants with a minimum scoring threshold of 65 to be awarded

  - District-level plan, school-level plan, budget

  - Three-year funding period

  - APPR required for duration of the grant

Overview of RFPs –
School Improvement Grant (SIG) and School Innovation Fund (SIF)

- Essential **Differences**

  - (SIF) four federal models or (SIF) six whole-school design frameworks

  - Educational partner organization (EPO) *required* for <u>all</u> SIF frameworks

  - EPO *required* for SIG *Restart* <u>only</u>

  - Maximum funding: (SIG) $1,000,000 or (SIF) $750,000

<u>Overview of RFPs</u> - *Intervention Models*

- **School Improvement Grant (SIG)**
  - ❑ *Turnaround*, *Restart*, *Transformation* and *Closure*
  - ❑ Federal Guidance:
    http://www2.ed.gov/programs/sif/sigguidance03012012.doc

- **School Innovation Fund (SIF)**
  - ❑ *College Pathways School Design*
  - ❑ *Community-Oriented School (wrap-around services) Design*
  - ❑ *Arts and/or Cultural Education School Design*
  - ❑ *Career and Technical Education (CTE) School Design*
  - ❑ *Virtual/Blended/Online School Design*
  - ❑ *Network-Affiliated School*

Proposal Narratives

- **General Points of Emphasis for Proposal Development**

  - ❑ Specificity and information-rich description

  - ❑ Clear evidence of capacity to implement:

    - Board policies and/or procedures

    - Contractual provisions

    - Clearly articulated labor-management agreements

  - ❑ Clear evidence of school and community input in the plan

Proposal Narratives

- **District-level Plan** *(20 points)*
  - ❑ District Overview
  - ❑ Operational Autonomies
  - ❑ District Accountability and Support
  - ❑ Teacher and Leader Pipeline
  - ❑ External Partner Recruitment, Screening and Matching
  - ❑ Enrollment and Retention Policies, Practices and Strategies
  - ❑ District-level Labor-Management Collaboration

Proposal Narratives

- **School-level Plan** *(60 points)*
  - School Overview
  - Needs Assessment
  - School Model/Framework Selection
  - Leadership
  - Instructional Staff
  - Partnerships
  - Organizational Plan
  - Educational Plan
  - Training, Support, and PD
  - Stakeholder Involvement and Communication
  - Project Plan and Timeline

Budget and Budget Guidance *(20 points)*

■ **Budget Narrative:**

❑ Clear explanation/justification of costs
❑ Specific identification of *all* funding sources
❑ Strategies for sustainability

■ **Budget Forms:**

❑ Year-One Implementation Period FS-10
❑ Budget Summary Chart

Additional Budget Guidance

- Budgeted items must be closely connected to organizational and pedagogical needs and purposes identified in the plan

- Be thoughtful about budget requests for "supplies and materials" (e.g., technology, office supplies, etc.)

- District costs must be associated with administration and support, and may not exceed 10% of total funding request

Scoring

- Scoring of application based on 100 possible points
  - District-level plan (20 points)
  - School-level plan (60 points)
  - District/school budget and narrative (20 points)

- 65-point threshold for award consideration

- Scoring rubric

- Required elements
  - Refer to Submission Checklist
  - Applications must include *ALL* required elements

Submission

- *Both* SIG and SIF applications may be found at: http://usny.nysed.gov/rttt/rfp/

- *Complete* applications must be <u>*successfully*</u> uploaded through *Review Room* by 3:00 p.m. on February 28, 2014 http://nysed-schoolturnaround.myreviewroom.com/

- A *complete* original hardcopy (plus one copy) must be postmarked by February 28, 2014

Submission *(cont.)*

- Letters of Intent are requested, and should be submitted through *Review Room* by 5:00 p.m. on February 14, 2014

- Notification Letters will be sent to districts in late April, 2014

- M/WBE requirements

- Implementation Period begins June 1, 2014 (ends June 30, 2015)

Submission *(cont.)*

- ## SIG/SIF Application *Questions*
  - ❑ Must be received by 5:00 p.m. on January 31, 2014
  - ❑ SIG: SIGAPP2014@mail.nysed.gov
  - ❑ SIF: SIFGRANT@mail.nysed.gov

- ## Questions and Answers posted
  - ❑ Posted by February 7, 2014
  - ❑ http://usny.nysed.gov/rttt/rfp

- ## Review Room Questions
  - ❑ Technical assistance for electronic submission only
  - ❑ Tracy Farrell at tfarrell@mail.nysed.gov

School Improvement Grant (SIG) Round 5

and

School Innovation Fund (SIF) Round 3

Thank You

NYSED School Turnaround Office